ARTICLE IN PRESS

Brain Stimulation xxx (xxxx) xxx





Brain Stimulation

journal homepage: http://www.journals.elsevier.com/brain-stimulation

Synchronized transcranial magnetic stimulation for posttraumatic stress disorder and comorbid major depression

Keywords: Posttraumatic stress disorder Major depression Transcranial magnetic stimulation Electroencephalography Synchronized transcranial magnetic stimulation

Introduction

Posttraumatic stress disorder (PTSD) is a highly prevalent psychiatric disorder associated with high degrees of comorbidity (e.g., major depressive disorder; MDD), poor quality of life, and significant social and occupational dysfunction [1]. Currently available evidence-based pharmacological and psychological treatments for PTSD have only modest efficacy [2], and thus further research is necessary to develop novel approaches that can reduce symptoms in patients with this real-world comorbidity.

The use of non-invasive neuromodulation techniques is rapidly growing across psychiatric disorders; for example, repetitive transcranial magnetic stimulation (rTMS; hereafter simply TMS) is effective in reducing symptoms of MDD [3,4], with emerging evidence for efficacy in PTSD (e.g., Refs. [5–8], reviewed in Ref. [9]). However, currently available TMS treatments require that a patient travel to an outpatient facility daily, for 6–8 weeks, often for at least 30 minutes each day. This can be an inconvenience and poses an additional burden for individuals that already struggle with societal integration and social/occupational dysfunction. Thus, further exploration and development of non-invasive brain stimulatory devices with the same (or better) effectiveness as rTMS, that can be adapted to be utilized in an at home setting, would revolutionize the treatment of PTSD.

Synchronized transcranial magnetic stimulation (sTMS, Neo-Sync Inc.) represents a novel approach to non-invasive brain stimulation. Using three rotating neodymium magnets, sTMS can deliver very low energy, sinusoidal magnetic fields synchronized to an individual's intrinsic alpha frequency (IAF), providing a potential future at-home brain stimulation system. Prior research demonstrated that sTMS might reduce depressive symptoms in MDD [10]. Furthermore, recent work indicates that patients with higher burden of depressive and comorbid anxiety symptoms may respond better to active stimulation compared to sham [11]. We conducted a prospective, sham-controlled, multisite pilot study of sTMS delivered to patients who were symptomatic despite ongoing pharmacotherapy for PTSD and MDD, hypothesizing sTMS would be feasible, safe and effective at reducing these symptoms.

霐

BRAIN

Methods

Thirty-two participants were screened, and twenty-three were randomized; one was excluded because baseline IAF could not be determined; thus 22 veterans with comorbid PTSD and MDD (ages 54.2 years, SD 12.0, range 28-70; 22% female; no significant group differences on any demographic variables) were included in the study. The principle inclusion criteria were comorbid PTSD and MDD, defined as score of >33 on the PTSD Checklist for DSM-5 (PCL) [12] and score of >10 on the Quick Inventory of Depressive Symptoms, Self-Report (QIDS) [13], between the ages of 18-70, and if applicable, symptomatic despite stable treatment (medications and/or psychotherapy) for at least 6 weeks prior to study procedures. Ongoing treatment was allowed to continue unchanged during the entirety of participation. Exclusion criteria followed standard safety criteria used in recent neuromodulation studies in MDD and PTSD (e.g., Refs. [7,10]). The Institutional Review Boards at the Providence and White River Junction VA approved all procedures. The study was registered at clinicaltrials. gov (NCT02981381).

After signing informed consent, participants were randomized (in blocks of four, balanced across both sides), and then their IAF was measured using a two-electrode system (FPz and Oz, using EEG the international 10-20 nomenclature; mean IAF \pm SD = 10.0 \pm 1.1; no group differences). Participants then received four weeks (i.e., 5 treatment sessions per week) of active or sham sTMS, followed by four weeks of optional unblinded sTMS. Sham stimulation was identical except that it did not use rotating magnets; the system delivering unblinded stimulation was a separate device from those used in blinded stimulation. Clinical assessments included the PCL to evaluate total symptom score and count of items rated moderate or higher (i.e., threshold). Selfreported MDD symptom severity was measured using the QIDS. Clinical rating scales were measured at pretreatment baseline, then assessed at the end of every five treatment sessions throughout participation. We used a growth curve mixed model to test the linear change in symptoms over time, both within and across treatment groups (active vs. sham). The targeted hypothesis tests presented were for change in symptoms at 8 weeks, as estimated by the model. Side effects were assessed by participant self-report at each treatment session.

https://doi.org/10.1016/j.brs.2019.06.010 1935-861X/Published by Elsevier Inc.

Please cite this article as: Philip NS et al., Synchronized transcranial magnetic stimulation for posttraumatic stress disorder and comorbid major depression, Brain Stimulation, https://doi.org/10.1016/j.brs.2019.06.010

2

ARTICLE IN PRESS

N.S. Philip et al. / Brain Stimulation xxx (xxxx) xxx

Table 1

Mixed model (linear growth curves) outcomes comparing active and sham sTMS up to eight weeks of treatment

Measure	Active sTMS (pre/post)(n = 10)				Sham sTMS (pre/post)($n = 13$)				Active vs. Sham			
	В	SE	t	р	В	SE	t	р	В	SE	t	р
PCL-5 total score	-17.22	3.30	-5.22	<0.001	-10.10	2.41	-4.20	< 0.001	-7.11	4.08	-1.74	0.083
PTSD threshold symptoms	-7.27	1.39	-5.21	< 0.001	-2.81	1.02	-2.76	0.007	-4.44	1.73	-2.57	0.011
QIDS-SR total score	-6.86	1.16	-5.91	< 0.001	-4.41	0.851	-5.19	< 0.001	-2.45	1.44	-1.70	0.091

Key: sTMS, synchronized transcranial magnetic stimulation; B, estimate of difference (pre-post for within group, between groups for active vs. sham); PCL-5, PTSD checklist for DSM-5; PTSD threshold symptoms, frequency (count) of PCL-5 items with at rating of \geq moderate severity; QIDS-SR, Quick Inventory of Depressive Symptoms – Self-Report.

Results

Ten participants were randomized to active, and thirteen to sham sTMS. Participants were not able to accurately guess their group assignment (Fischer's exact test p = .3). Treatment was well tolerated. There were no statistically significant differences in adverse event reporting across groups. Of note, n = 2 participants in the active group (vs. zero in the sham group) reported headaches, and n = 1 participant reported nausea with active sTMS. There were no seizures. All participants demonstrated significant reductions in PTSD and MDD symptoms (all p < .001). As expected, there were significant reductions in symptoms in both treatment groups, but active stimulation did provide greater reductions in count of PTSD moderate-to-severe symptoms ("threshold PTSD" symptom count as measured on the PCL; difference of -4.44), PTSD symptom severity (PCL score difference of -7.11 points) and depression severity (QIDS score difference of -2.45 points)(Table 1).

Discussion

These results support the feasibility, tolerability and potential efficacy of sTMS for comorbid PTSD and MDD. Although results from a relatively small pilot study should be interpreted cautiously [14], the direction of effects on all measured variables was in the hypothesized direction favoring active stimulation. The greater separation between groups with more TMS treatment sessions is consistent with other studies of brain stimulation in PTSD, where greater exposure over time to active stimulation appears to be associated with superior outcomes [15,16]. Future work in larger samples will require attention to whether biological metrics, such as baseline IAF, can be used to predict clinical outcomes [11]. Limitations of this study include those inherent to small, pilot feasibility studies. Additionally, this study did not include an active stimulation group not using IAF to guide treatment. However, this study represents the first use of sTMS in this patient population with promising results; if replicated with a larger sample size, this represents an important step forward for novel treatment development that could be potentially used outside of the clinic setting, including home use.

Conflicts of interest

The authors report no biomedical conflicts of interest related to this work. Neosync Inc. provided equipment and training for this study. Dr. Holtzheimer receives royalties from Oxford University Press and UpToDate.

Acknowledgements

This research was supported by I01 RX002450 from the U.S. Department of Veterans Affairs (NSP), the VA RR&D Center for Neurorestoration and Neurotechnology, and the VA National Center for

PTSD (PEH). The opinions herein represent those of the authors and not the U.S. Department of Veterans Affairs, or NeoSync; they were not involved in the collection, analysis, and interpretation of the data, in the writing of the report, or in the decision to submit these results for publication. We thank all our participants.

References

- Shalev A, Liberzon I, Marmar C. Post-Traumatic stress disorder. N Engl J Med 2017;376(25):2459–69. https://doi.org/10.1056/NEJMra1612499.
- [2] Watts BV, Schnurr PP, Mayo L, Young-Xu Y, Weeks WB, Friedman MJ. Metaanalysis of the efficacy of treatments for posttraumatic stress disorder. J Clin Psychiatry 2013;74(6):e541–50. https://doi.org/10.4088/JCP.12r08225.
- [3] O'Reardon JP, Solvason HB, Janicak PG, Sampson S, Isenberg KE, Nahas Z, et al. Efficacy and safety of transcranial magnetic stimulation in the acute treatment of major depression: a multisite randomized controlled trial. Biol Psychiatry 2007;62(11):1208–16. https://doi.org/10.1016/j.biopsych.2007.01.018.
- [4] George MS, Lisanby SH, Avery D, McDonald WM, Durkalski V, Pavlicova M, et al. Daily left prefrontal transcranial magnetic stimulation therapy for major depressive disorder: a sham-controlled randomized trial. Arch Gen Psychiatr 2010;67(5):507–16. https://doi.org/10.1001/archgenpsychiatry.2010.46.
- [5] Kozel FA, Van Trees K, Larson V, Phillips S, Hashimie J, Gadbois B, et al. One hertz versus ten hertz repetitive TMS treatment of PTSD: a randomized clinical trial. Psychiatr Res 2019;273:153–62. https://doi.org/10.1016/j.psychres. 2019.01.004.
- [6] Kozel FA, Motes MA, Didehbani N, DeLaRosa B, Bass C, Schraufnagel CD, et al. Repetitive TMS to augment cognitive processing therapy in combat veterans of recent conflicts with PTSD: a randomized clinical trial. J Affect Disord 2018;229:506–14. https://doi.org/10.1016/j.jad.2017.12.046.
- [7] Carpenter LL, Conelea C, Tyrka AR, Welch ES, Greenberg BD, Price LH, et al. 5 Hz Repetitive transcranial magnetic stimulation for posttraumatic stress disorder comorbid with major depressive disorder. J Affect Disord 2018;235: 414–20. https://doi.org/10.1016/j.jad.2018.04.009.
- [8] Philip NS, Barredo J, van 't Wout-Frank M, Tyrka AR, Price LH, Carpenter LL. Network mechanisms of clinical response to transcranial magnetic stimulation in posttraumatic stress disorder and major depressive disorder. Biol Psychiatry 2018;83(3):263–72. https://doi.org/10.1016/j.biopsych.2017.07.021.
- [9] Koek RJ, Roach J, Athanasiou N, van 't Wout-Frank M, Philip NS. Neuromodulatory treatments for post-traumatic stress disorder (PTSD). Prog Neuro-Psychopharmacol Biol Psychiatry 2019;92:148–60. https://doi.org/10.1016/j. pnpbp.2019.01.004.
- [10] Leuchter AF, Cook IA, Feifel D, Goethe JW, Husain M, Carpenter LL, et al. Efficacy and safety of low-field synchronized transcranial magnetic stimulation (sTMS) for treatment for major depression. Brain Stimul 2015;8(4):787–94. https://doi.org/10.1016/j.brs.2015.05.005.
- [11] Philip NS, Leuchter AF, Cook IA, Massaro J, Goethe JW, Carpenter LL. Predictors of response to synchronized transcranial magnetic stimulation for major depressive disorder. Depress Anxiety 2019;36(3):278–85. https://doi.org/10. 1002/da.22862.
- [12] Weathers FW, Litz BT, Keane TM, Palmieri PA, Marx BP, Schnurr PP. The PTSD checklist for DSM-5 (PCL-5). 2013. scale available from: the National Center for PTSD at, www.ptsd.va.gov.
- [13] Rush AJ, Bernstein IH, Trivedi MH, Carmody TJ, Wisniewski S, Mundt JC, et al. An evaluation of the Quick inventory of depressive symptomatology and the Hamilton rating scale for depression: a sequenced treatment alternatives to relieve depression trial report. Biol Psychiatry 2006;59(6):493–501. https:// doi.org/10.1016/j.biopsych.2005.08.022.
- [14] Kraemer HC, Kupfer DJ. Size of treatment effects and their importance to clinical research and practice. Biol Psychiatry 2006;59(11):990-6. https://doi.org/ 10.1016/j.biopsych.2005.09.014.
- [15] Karsen EF, Watts BV, Holtzheimer PE. Review of the effectiveness of transcranial magnetic stimulation for post-traumatic stress disorder. Brain Stimul 2014;7(2):151–7. https://doi.org/10.1016/j.brs.2013.10.006.
- [16] Philip NS, Barredo J, Aiken E, Larson V, Shea MT, Greenberg BG, van't Wout-Frank M. Theta burst stimulation for posttraumatic stress disorder. Am J Psychiatry 2019. https://doi.org/10.1176/appi.ajp.2019.18101160.

Please cite this article as: Philip NS et al., Synchronized transcranial magnetic stimulation for posttraumatic stress disorder and comorbid major depression, Brain Stimulation, https://doi.org/10.1016/j.brs.2019.06.010

ARTICLE IN PRESS

N.S. Philip et al. / Brain Stimulation xxx (xxxx) xxx

Paul E. Holtzheimer National Center for Posttraumatic Stress Disorder, USA

Departments of Psychiatry and Surgery, Geisel School of Medicine at Dartmouth, USA

* Corresponding author. 830 Chalkstone Ave, Providence, RI, USA. *E-mail address:* noah_philip@brown.edu (N.S. Philip).

> 20 May 2019 Available online xxx

Noah S. Philip^{*}, Emily E. Aiken VA RR&D Center for Neurorestoration and Neurotechnology, Providence VA Medical Center, Providence, RI, USA

Department of Psychiatry and Human Behavior, Alpert Medical School of Brown University, USA

Mary E. Kelley Department of Biostatistics and Bioinformatics, Emory University Rollins School of Public Health, Atlanta, GA, USA

William Burch, Laurie Waterman White River Junction VA Medical Center, White River Junction, VT, USA

Please cite this article as: Philip NS et al., Synchronized transcranial magnetic stimulation for posttraumatic stress disorder and comorbid major depression, Brain Stimulation, https://doi.org/10.1016/j.brs.2019.06.010

3