



## RISK MANAGEMENT AND COMPLIANCE

# GAIN INCREASED CONTROL OVER BUSINESS PROCESSES.

CONSERVE PRECIOUS TIME AND RESOURCES SPENT ON COMPLIANCE AND RISK MANAGEMENT, AND GO BACK TO FOCUSING ON VALUE-ADDED ACTIVITIES.



**TECHNOLOGY  
IMPLEMENTATION**



**AUDITS**



**STAFF  
AUGMENTATION**



**ASSESSMENTS**

# TAILORED RISK MANAGEMENT AND COMPLIANCE SERVICES

STAY AHEAD OF CHANGES IN THE CURRENT BUSINESS AND REGULATORY ENVIRONMENT THROUGH OUR COMPREHENSIVE SUPPORT.

## How We Help:

### Sarbanes-Oxley Implementation, Optimization, Compliance, and Technology

- Sarbanes-Oxley Compliance
- Internal Controls Rationalization and Optimization
- Workiva Integrated Risk/Compliance Technology Implementation
- SOX Staff Augmentation
- Process Improvement Advisory and Development

### Enterprise Risk Management (ERM)

- ERM Program Advisory
- Enterprise Risk Assessments
- Workiva ERM Technology Implementation
- Fraud Risk Assessments
- Fraud Detection and Prevention

### Internal Audit

- Internal Audit Advisory
- Internal Audit Staff Augmentation
- External Quality Assessments (EQA)
- Business Process Design and Enhancement
- Pre and Post Acquisition Advisory
- Regulatory Compliance Audits

### IT Audit & Compliance

- IT Audit Co-Source & Full Outsource
- IT Audit Staff Augmentation
- IT SOX and Other Regulatory Program Design and Optimization
- IT Risk and Governance Assessments
- Cybersecurity Risk and Best Practice Assessments

### System and Organization Controls (SOC)

- Conduct SOC Readiness Assessments
- SOC Program Design and Implementation
- SOC Testing and Report Issuance for all types of SOC Reports