

Customer Due Diligence

Client

KELTIE LLP

Your Reference

KELTIE LLP retest

Date

25th April 2018

Expires

25th April 2019

Risk Assessment Result

NON-COMPLIANT

This client is not compliant according with your organisation's Risk Assessment

For more information please refer to the internal report sections

produced by
amluser amluser
Aml Searches (live)

Below is a summary of the Due Diligence Risk Assessment for this Client

Risk Assessment Result	Non-Compliant
Risk Assessment Profile Name	Low Risk
Type of Due Diligence	Enhanced
Documentary Evidence	No Documents Recorded
Relationship with Client	Existing Client
Relationships with other Clients	No Additional Relationships Recorded
Method of Introduction	Marketing
Territory	United Kingdom
Company Type	Limited liability partnership
Inception Date	29/11/2010
Filing History	Refer to Filing Section
Company Status	Active
Ultimate Beneficial Owner (UBO)	Not Identified ⚠️
UK Consolidated Financial Sanctions	Not Found
European Financial Sanctions	Not Found
Specially Designated Nationals (SDN)	No

Please refer to Additional Investigation Section for further Risk Assessment information.

Note: AML Search Risk Assessment Profiles relate to a service or work type as defined by your firm. Compliant Risk Assessments mean this Client is Compliant with your Risk Assessment for the corresponding work or service type. For further information about results please refer to the Client CDD Record.

Below is the Client Data used in this Risk Assessment

Your Reference

KELTIE LLP retest

Identification

Organisation Name	KELTIE LLP
Registration Number	OC359888
Country Territory	United Kingdom
Country Code	GB
Organisation Type	Limited liability partnership
Organisation Status	Active
Inception Date	29/11/2010
Registered Address	1 London Bridge, London, SE1 9BA

Representatives

Name	Sangham Hussain
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Ultimate Beneficial Owners(UBO)

No Ultimate Beneficial Owner identified or recorded

Controlling Parties

No Controlling Parties identified or recorded

Directors/Officers (or equivalent)

Name	AHMAD, Sheikh Shakeel, Dr - LLP Designated Member
Name	BRITTER, Benjamin Neilus - LLP Designated Member
Name	CALDWELL, Judith Margaret - LLP Designated Member
Name	CARDAS, Rosemary Anne - LLP Designated Member
Name	CREASE, Devanand John, Dr - LLP Designated Member
Name	CUMMINGS, Sean Patrick - LLP Designated Member
Name	FOUNTAIN, Sullivan Edward - LLP Designated Member
Name	GAY, Alistair Robertson - LLP Designated Member
Name	HOPLEY, Joanne Selina, Dr - LLP Designated Member
Name	KENT, Peter Joseph - LLP Designated Member
Name	LAWRENCE, Richard Anthony - LLP Designated Member
Name	MACCHI, Manuela - LLP Designated Member
Name	MOORE, Michael Richard, Dr - LLP Designated Member
Name	RICHARDSON, Mark - LLP Designated Member

Shareholders (with 25% or more shares)

None identified or recorded

Structure

None identified or recorded

Note: Data elements marked with a* above have been added by the initiator of this Risk Assessment. Any related documentation is shown under the Documentation section of this report.

This section of the Risk Assessment displays the available Filing History for the Company

Date	Type	Description
05/02/2018	Accounts	Group of companies' accounts made up to 30-04-2017
30/01/2018	Officers	Second filing for the appointment of Peter Joseph Kent as a member
07/11/2017	Officers	Appointment of Mr Sullivan Edward Fountain as a member on 01-05-2017
16/05/2017	Confirmation statement	Confirmation statement made on 30-04-2017 with updates
16/05/2017	Officers	Appointment of Mr Peter Kent as a member on 20-07-2016
16/05/2017	Officers	Appointment of Mr Mark Richardson as a member on 01-11-2015
12/01/2017	Accounts	Group of companies' accounts made up to 30-04-2016
09/12/2016	Officers	Member's details changed for Ms Manuela Macchi-Mandair on 01-12-2016
01/06/2016	Annual return	Annual return made up to 30-04-2016
29/03/2016	Officers	Termination of appointment of David Arthur Keltie as a member on 06-01-2016
19/12/2015	Accounts	Group of companies' accounts made up to 30-04-2015
26/05/2015	Annual return	Annual return made up to 30-04-2015
26/05/2015	Officers	Appointment of Dr Michael Richard Moore as a member on 01-05-2014
26/05/2015	Officers	Appointment of Mr Benjamin Neilus Britter as a member on 01-05-2014
16/01/2015	Accounts	Group of companies' accounts made up to 30-04-2014
17/12/2014	Address	Registered office address changed from Fleet Place House 2 Fleet Place London EC4M 7ET to 1 London Bridge London SE1 9BA on 17-12-2014
08/05/2014	Annual return	Annual return made up to 30-04-2014
08/05/2014	Officers	Appointment of Mr Richard Anthony Lawrence as a member
08/05/2014	Officers	Termination of appointment of Empty Chair Limited as a member
17/04/2014	Auditors	Resignation of an auditor
10/09/2013	Accounts	Full accounts made up to 30-04-2013
16/05/2013	Annual return	Annual return made up to 30-04-2013
10/12/2012	Annual return	Annual return made up to 29-11-2012
10/12/2012	Officers	Member's details changed for Mr David Arthur Keltie on 29-11-2012
10/12/2012	Officers	Member's details changed for Mr Alistair Robertson Gay on 29-11-2012
10/12/2012	Officers	Member's details changed for Dr Joanne Selina Hopley on 29-11-2012
10/12/2012	Officers	Member's details changed for Mr Sean Patrick Cummings on 29-11-2012
10/12/2012	Officers	Member's details changed for Rosemary Anne Cardas on 29-11-2012
10/12/2012	Officers	Member's details changed for Dr Sheikh Shakeel Ahmad on 29-11-2012
10/12/2012	Officers	Member's details changed for Mrs Judith Margaret Caldwell on 29-11-2012
02/10/2012	Accounts	Full accounts made up to 30-04-2012
20/09/2012	Officers	Appointment of Empty Chair Limited as a member
20/09/2012	Change of name	Change of status notice
20/12/2011	Annual return	Annual return made up to 30-04-2011
20/12/2011	Annual return	Annual return made up to 29-11-2011
20/12/2011	Officers	Appointment of Ms Manuela Macchi as a member
20/12/2011	Officers	Appointment of Dr Devanand John Crease as a member
20/12/2011	Officers	Member's details changed for Dr Joanne Selina Pople on 29-11-2010
17/05/2011	Mortgage	legacy
16/05/2011	Accounts	Current accounting period extended from 30-11-2011 to 30-04-2012
26/01/2011	Change of name	Certificate of change of name
29/11/2010	Incorporation	Incorporation of a limited liability partnership

This section displays any additional investigations and management oversight which contributed to the Risk Assessment result along with any monitoring alerts and their resolution if any.

Management Oversight

Verifying the Ultimate Beneficial Owner(s)

We have been unable to confirm the Ultimate Beneficial Owner(s)

Management Oversight updated by

This assessment is awaiting further investigation and completion of the Management Oversight



THE UK STANDARD IN
CUSTOMER DUE DILIGENCE RISK ASSESSMENT

DOCUMENT

Full AML Search v4 Non-Personal Risk Assessment

TERMS AND PRIVACY

<https://v4.amlsearch.co.uk/terms>

MORE INFORMATION OR RESULT VERIFICATION

info@amlsearch.co.uk
<http://www.amlsearch.co.uk>