

## Customer Due Diligence

Client

**Mrs Pyota Zima**

Your Reference

**NCS-1**

Risk Assessment

**Medium Risk**

Date

**28th June 2017**

Expires

**28th June 2018**


Risk Assessment Result

**NON-COMPLIANT**

This client is not compliant according with your organisation's Risk Assessment

For more information please refer to the internal report sections

Below is a summary of the Due Diligence Risk Assessment for this Client

Risk Assessment Result	<b>Non-Compliant</b>
Risk Assessment Profile Name	Medium Risk
Type of Due Diligence	Enhanced
Enhanced Electronic Result	<b>Passed</b>
Documentary Evidence	Refer to page 4
Nationality	British
Residency	United Kingdom
Relationship	New Client
Interviewed	In Person
Client Identified (Enhanced Electronic)	<b>Identified</b>
UK Financial Sanctions	<b>Found</b> 
EU Financial Sanctions	<b>Not Found</b>
Specially Designated National (SDN)	<b>No</b>
Politically Exposed Person (PEP)	<b>No</b>
Interpol Red Warning List	<b>Not Found</b>

Please refer to Additional Investigation on page 5 for further Risk Assessment information.

Below is the Client Data used in this Risk Assessment

The initiator of this Risk Assessment confirmed that the Client has been informed that their personal data will be used to carry out this Risk Assessment.

## Your Reference

**NCS-1**

### Identity

Title/Prefix	Mrs
Forename	Pyota
Surname	Zima
Middle/other names	
Suffix	
Gender	Female
Date of Birth	04/04/1940
Nationality	British
Country of Residence	United Kingdom

### Previous Names / Aliases

No previous names or aliases were supplied

### Address in country of residence

4, TOPHAM LANE  
SOMETOWN  
X9 9LF

### Previous Address

No previous address was supplied

This section of the Risk Assessment displays the selected documentary evidence for this Client

## Documentary Evidence

Type / Reference	Usage	Certified	Certified By
<a href="#">UK Passport</a> 123456	Identity	28/06/2017	amluser amluser
<a href="#">Paper Driving Licence</a> 123456	Identity	28/06/2017	amluser amluser
<a href="#">Utility Bill</a> 3212354365476	Address	28/06/2017	amluser amluser

This section displays any additional investigations and management oversight which contributed to the Risk Assessment result

## Management Oversight

### **UK Consolidated Financial Sanctions**

We have been unable to confirm that the Client is not the person identified under UK Consolidated Financial Sanctions

### **Management Oversight updated by**

This assessment is awaiting further investigation and completion of the Management Oversight

## Input Details

<b>Name</b>	<b>Mrs Pyota Zima</b>
<b>Address</b>	<b>4, TOPHAM LANE, SOMETOWN, X9 9LF</b>
Date of Birth	04/04/1940
User Reference	123456
Search Type	Money Laundering Check
Search Date	28/06/2017 00:00:00
Search ID	00000003:AML_ENH_00004014
Applicant Present/Remote	Interview: In Person
Identity Document Confirmations:	2
Confirmation 1	UK Passport (Ref:123456)
Confirmation 2	Utility Bill (Ref:3212354365476)

## Result Summary

<b>Address</b>	<b>4, TOPHAM LANE, SOMETOWN, X9 9LF</b>
CallML Search ID	{41DE62CF-7D90-4982-B79E-C9596F68A4A8}
Number of Valid Identity Checks	4
Number of Valid Address Checks	3
Identity Documents Pre-Confirmed on Input	2
Number of Confirmed / Total Dates of Birth	3/3
Applicant Verified	Yes

## Address Confirmation

<b>Name</b>	<b>Pyota Zima</b>
<b>Address</b>	<b>4, TOPHAM LANE, SOMETOWN, X9 9LF</b>
Date from, to on Annual Electoral Roll	28/11/2016 to Not Specified
Address confirmed by Royal Mail PAF?	Yes
Electoral Roll data used in decision?	Yes

**Note: Data available for less than 1 year**

## Insolvencies

There are no Insolvency records recorded

## County Court Judgments and Decrees

There are no County Court Judgments and Decrees recorded

## Financial Share Records

<b>Name</b>	<b>Mrs Pyota Zima</b>
<b>Address</b>	<b>4 TOPHAM LANE SOMETOWN X9 9LF</b>
Account Holder Status	NormalAccountHolder
Account Type Group	Unspecified
Date of Birth	1940-04-04
Date of Birth match	Yes
Used in Decision?	Yes

<b>Name</b>	<b>Mrs Pyota Zima</b>
<b>Address</b>	<b>4 TOPHAM LANE SOMETOWN X9 9LF</b>
Account Holder Status	NormalAccountHolder
Account Type Group	Unspecified
Date of Birth	1940-04-04
Date of Birth match	Yes
Used in Decision?	Yes

## Financial Share Records - continued

<b>Name</b>	<b>Mrs Pyota Zima</b>
<b>Address</b>	<b>4 TOPHAM LANE SOMETOWN X9 9LF</b>
Account Holder Status	NormalAccountHolder
Account Type Group	Unspecified
Date of Birth	1940-04-04
Date of Birth match	Yes
Used in Decision?	Yes

## FTSE Records

There are no FTSE Records recorded

## Search History

There is no Search History recorded



Full AML Search v4 Risk Assessment

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For more information or verification of  
this Risk Assessment please contact AML Search

WWW.AMLSEARCH.CO.UK