

# Financial Services Guide

A guide to our services

This document should be read in conjunction with your Adviser's profile.



---

## Purpose of this Financial Services Guide

This Financial Services Guide (FSG) aims to help you make an informed decision whether to use our services and contains information about:

- Who we are
- The services we offer you
- How we are remunerated for our services
- How we handle any complaints you may have

---

## About Primestock Wealth Management Pty Ltd and Prime Financial Group Ltd

Primestock Wealth Management Pty Ltd (Primestock Wealth) holds an Australian Financial Services Licence (240277) and is part of Prime Financial Group Ltd (Prime), an ASX listed integrated wealth management firm for business owners and family groups.

Our Advisers are authorised representatives and provide financial services on our behalf. The distribution of this FSG is authorised by Primestock Wealth.

---

## Not Independent

We are not able to refer to ourselves or our advice as 'independent', 'impartial' or 'unbiased' because:

- we receive commissions on the sale of life risk insurance products that are not rebated in full to clients;
- we have referral arrangements in place with accounting firms;
- we may recommend separately managed accounts managed by Primestock;
- our advisers have interests in financial products that may also be recommended to our wholesale clients;
- we receive fees in respect of our wholesale clients that may constitute conflicts of interest; and
- we operate a limited approved product list with respect to the products our advisers recommend.

---

## Our Services

Primestock Wealth is authorised to provide advice about and deal in the following financial products:

- Securities;
- Managed investment schemes, including investor directed portfolio services;
- Deposit products;
- Life insurance;
- Derivatives;
- Government debentures, stocks or bonds;
- Standard Margin Lending;
- Retirement savings accounts; and
- Superannuation including Self-Managed Super Funds.

Your adviser is an Authorised representative of Primestock Wealth. Primestock Wealth is responsible for the financial services provided to you by its representatives and for ensuring you receive appropriate strategic advice, service and product based on your needs. Authorised Representatives of Primestock Wealth are required to act in your best interests at all times.

Additional information about your Adviser and what services they have been authorised to provide advice on can be found in their Adviser Profile.

---

## What other documents will we give you?

We may give you other documents when we provide our services. These will include:

### Statement of Advice

When we provide you with personal advice, we will give you a Statement of Advice (SoA). This will confirm the advice we have given and explain our fees for giving advice and arranging the financial products we have recommended.

Further advice to you will be documented in a new SoA or in certain circumstances this will be recorded using a Record of Advice (RoA). You can request a copy of the RoA by contacting us within a period of seven years from the date the advice was provided.

When you instruct us to place business on your behalf, you can expect to receive an Execution Only letter.

### Product Disclosure Statement

If we recommend a financial product to you, we will also provide you with a Product Disclosure Statement containing information about the product such as its features and risks.

### Engagement to Provide Ongoing Financial Services

If you engage us to provide ongoing review and advice services, we will provide you with an "Ongoing Service Agreement". This agreement details the ongoing services you will receive and the ongoing fees that you direct us to receive.

### Enhanced Fee Disclosure Statement

If we enter into an ongoing service arrangement with you, we will provide you with an Enhanced Fee Disclosure Statement which outlines a summary of services we have provided and Ongoing Advisory Service Fees which you have paid in the previous 12 months and services that will be provided and fees that will be paid over the coming 12 months and we will ask for your consent to deduct those fees every year. This Statement will also contain a renewal notice and every year we will ask whether you wish to renew your ongoing service arrangement with us.

---

## What products will my Adviser recommend?

Primestock Wealth undertakes due diligence on our products and service providers and also use external research providers to select a range of offerings for our clients. While other products and services may also be suitable to your needs, your Adviser will generally only provide advice on products and services that are listed on Primestock Wealth's Approved Product List (APL).

The APL contains both financial products issued by providers external to Prime and products offered within the group. All products must meet our rigorous selection criteria and approval process to be listed on our APL.

Depending on your objectives, financial situation and needs, your Adviser may need to recommend a financial product that is not on our APL. If this happens, any product that your Adviser may recommend needs to meet our selection criteria and approval process.

---

## Important associations

Primestock Securities Ltd (Primestock) is a related body corporate of Primestock Wealth. Primestock has established joint ventures with accounting firms to provide financial services to their clients. These firms or partner shareholders receive dividends in accordance with their shareholding in the joint venture company.

---

## How are we remunerated for our services?

The fees or other costs that your Adviser may charge for services provided or products recommended, depends on the nature and complexity of your situation and the advice that is provided. The fees and charges for our advice and service may be based on a dollar amount, an hourly rate, a percentage of funds, value-based pricing or a combination of these, which will be fully disclosed to you in any Statement of Advice or Record of Advice we provide to you.

### Strategic Advice

Where we charge based on the time required to prepare your advice, it will be based on an hourly rate between \$220 - \$495 including GST.

Where we charge a fixed fee, we charge a minimum fee of \$4,400 including GST. There may be separate associated fees with our recommendations in the form of Trust Deeds, ATO levies, ASIC company fees, etc. These may be incorporated in our flat fee and disbursed to related providers or alternatively these may be charged separately.

Once we understand the scope of advice you seek, we will provide you with an estimate before providing the advice.

### Implementation & Transaction Fee

**Implementation & Transaction Fee** If you instruct us to implement our strategic advice, we may charge up to 1.65% including GST of the funds we invest on your behalf.

For any ASX listed securities or managed investments we trade on your behalf, there may be a minimum charge of \$110 per trade including GST. This includes the fees of the stockbroker.

## Ongoing Service Program

If you ask us to provide ongoing review and advice services, we may charge up to 1.65% p.a. of funds that we review and manage for you. For example, if we charge you 1.65%, the annual fee on a portfolio of \$350,000 would be \$5,775 including GST.

Please note that our minimum ongoing service fee is \$5,500 including GST.

This fee will vary according to the size and complexity of your portfolio and the services you ask us to provide to you. We will confirm this fee with you when you engage us to provide ongoing services.

We may charge for any additional services outside your ongoing service program at an hourly rate of between \$220 - \$495 including GST.

## Insurance Advice

If we arrange life insurance for you, we will receive commission from the insurer with whom we place your insurance. The amount will vary depending upon the type of insurance and can be between 0% and 66% of the first year's premium (excluding taxes and statutory charges).

We will also receive an ongoing commission from the insurer during the life of your policy and these can be between 0% and 22% of the premium (excluding taxes and statutory charges). These commissions are included in the premium for the policy.

## Other

We may also receive the following additional remuneration, which is paid by the product providers:

- Stamping fees on initial public offerings and issue of hybrid securities, subordinated notes or similar like securities.

---

## How is my adviser paid?

At Primestock Wealth, we have salaried employees and contractors as our Authorised Representatives.

If your Adviser is a salaried employee or contractor, they will receive an annual salary or payment and may be eligible to receive up to 20% of that payment as a bonus. This can be paid as a short-term or long-term incentive or a combination of both.

The bonus incentives an Adviser can receive are based on both financial and non-financial standards set by the firm which include client servicing, training and compliance.

Please refer to the Adviser Profile for further details on how your Adviser is paid.

---

## How can I give you instructions?

You can contact us in person, by phone, fax, text or email. For some matters, we can only implement your wishes if you provide written instructions. We will always tell you if this is needed.

It is important that you provide us with complete and accurate information about your circumstances and you take the time to check any assumptions we make and the basis for our advice. If you don't, our advice may not be appropriate for your needs.

Naturally, your circumstances may change over time. When this happens, our initial advice and recommendations may no longer be appropriate for you. We can periodically review your financial position, personal circumstances, financial goals and investment strategies to decide whether those strategies and goals and the financial products you hold continue to be appropriate for you. Or, you can contact us for a review when your circumstances change.

---

## Professional indemnity insurance

Primestock Wealth holds professional indemnity insurance in respect of all of the financial services we provide. This insurance meets the requirements of the Corporations Act and covers the services provided by our Advisers after they cease working with us, provided we notify the insurer of the claim when it arises and this is done within the relevant policy period.

---

## What should I do if I have a complaint?

If you have a complaint or are not satisfied with the advice or services provided to you, you should contact your Adviser in the first instance and discuss your concerns with them. Most complaints can be resolved quickly and fairly at this stage.

If your complaint has not been resolved within five (5) business days to your satisfaction, you can lodge a complaint with our Complaints Officer on 1800 064 959. We may ask you to put your complaint in writing. We will try and resolve your complaint quickly and fairly within 30 days. If you still do not receive a satisfactory outcome or we do not respond to you within 30 days after you make the initial complaint to us, you have the right to complain to the Australian Financial Complaints Authority (AFCA) at the following address:

A GPO Box 3, Melbourne VIC 3001  
P 1800 931 678 | F 03 9613 6399  
E [info@afca.org.au](mailto:info@afca.org.au) | W [www.afca.org.au](http://www.afca.org.au)

You may only contact AFCA once you have followed the above procedure.

---

## Our privacy policy

We collect and verify information about you to ensure that we provide the products and services most appropriate to your needs and to make certain that we comply with our legal obligations.

If you fail to provide us with the required information, or if you provide us with incomplete, or inaccurate information we may not be able to provide you with the products or services you are seeking within the time periods contemplated. The protection of your personal information is something we take very seriously.

To obtain a copy of our privacy policy please visit [www.primefinancial.com.au](http://www.primefinancial.com.au) or contact our Client Services team on 1800 064 959.

Version 19.0 Issued on 14 March 2023

**A** Level 17, HWT Tower, 40 City Road, Southbank VIC 3006  
**P** 1800 064 959 | **E** [clientservices@primefinancial.com.au](mailto:clientservices@primefinancial.com.au)  
**W** [www.primefinancial.com.au](http://www.primefinancial.com.au)

Prime Financial Group Ltd



# Mark Johnson

Chairman Of The Investment Committee  
Partner - Private Client Adviser

T 03 8825 4738 | M 0410 403 791  
E [markj@primefinancial.com.au](mailto:markj@primefinancial.com.au)

## Qualifications & Designations


- Graduate Diploma of Financial Planning
- Kaplan Professional Education Course in Self Managed Superannuation Funds

## Expertise

Mark is authorised to deal in the following financial products:

- ✓ Basic and non-basic deposit products
- ✓ Derivatives
- ✓ Government debentures, stocks or bonds
- ✓ Interests in managed investment schemes, including IDPS
- ✓ Retirement savings accounts
- ✓ Superannuation
- ✓ Securities
- ✓ Standard Margin Lending

## Prime Financial Group

A Level 17, HWT Tower  
40 City Road, Southbank VIC 3006  
P 1800 064 959  
E [clientservices@primefinancial.com.au](mailto:clientservices@primefinancial.com.au)  
 Prime Financial Group

The Financial Advisory services referred to in this profile are offered by Mark Johnson, Authorised Representative No. 333681. Mark is an authorised representative of Primestock Wealth Management Pty Ltd (Primestock Wealth), AFSL 240277 | ACN 087 388 476. Updated July 2021.

## About Mark

Mark has advised clients in the Financial Services industry for over 23 years. He started his career with Citibank, working in the mortgages division and then moved into Stockbroking, where he spent several years advising clients in building wealth by investing quality Blue Chip shares.

Mark has spent the last 15 years with Primestock Wealth Management Pty Ltd assisting clients to implement tax effective strategies aimed at increasing and maintaining their wealth. Mark is a qualified financial adviser and has strong knowledge of Self Managed Superannuation Funds.

Mark is a salaried employee and is eligible to receive up to 20% of his base level remuneration in both short-term and long-term bonus incentives. This is on the basis that Mark meets the objectives set by Primestock Wealth which include client services standards, training and compliance, in addition to any financial targets.

## Advisory Services

Our financial services are personalised to our clients' needs. We can provide a total financial solution, or alternatively advice can be limited to a particular service or situation, including:

- ✓ Financial & Retirement Planning
- ✓ Wealth Creation, Accumulation & Consolidation
- ✓ Retirement Planning Strategies
- ✓ Investment Advice
- ✓ Life Insurance
- ✓ Superannuation Advice including Self Managed Superannuation Fund (SMSF)
- ✓ Wills & Estate Planning
- ✓ Business Succession Planning
- ✓ Asset Protection
- ✓ Lending & Finance

*aspire, innovate, grow & impact*



# Michelle Bromley CFP®

Director - Strategy & Advice  
Private Client Adviser

T 03 8825 4751 | M 0421 851 196  
E [michelleb@primefinancial.com.au](mailto:michelleb@primefinancial.com.au)

## Qualifications & Designations


- Certified Financial Planner®
- Bachelor of Business (Accounting)
- Diploma of Financial Planning
- Kaplan Professional Education Course in Self Managed Superannuation Funds

## Expertise

Michelle is authorised to deal in the following financial products:

- ✓ Basic and non-basic deposit products
- ✓ Derivatives
- ✓ Government debentures, stocks or bonds
- ✓ Life products
- ✓ Interests in managed investment schemes, including IDPS
- ✓ Retirement savings accounts
- ✓ Superannuation
- ✓ Securities
- ✓ Standard Margin Lending

## Prime Financial Group

A Level 17, HWT Tower  
40 City Road, Southbank VIC 3006  
P 1800 064 959  
E [clientservices@primefinancial.com.au](mailto:clientservices@primefinancial.com.au)  
 Prime Financial Group

The Financial Advisory services referred to in this profile are offered by Michelle Bromley, Authorised Representative No. 1234025. Michelle is an authorised representative of Primestock Wealth Management Pty Ltd (Primestock Wealth), AFSL 240277 | ACN 087 388 476. Updated July 2021.

## About Michelle

Michelle has held a variety of financial services roles since 1996 within Funds Management, Financial Planning, Wealth Management and Private Banking firms. Michelle has held Certified Financial Planner® status since 2007.

Prior to joining Primestock Wealth Management Pty Ltd, Michelle devised structural and strategic financial advice for High Net Worth clients as part of Credit Suisse Private Banking's Wealth Planning team. Previously, Michelle held both support and advisory roles with UBS Wealth Management and the financial services division of PKF Chartered Accountants.

Michelle's diverse experience enables her to work with clients of varying needs and in conjunction with their other Professional Advisers. Michelle has an in-depth knowledge of wealth accumulation and asset protection enabling her to assist clients with comprehensive strategic and investment advice.

Michelle is a salaried employee and is eligible to receive up to 20% of her base level remuneration in both short-term and long-term bonus incentives. This is on the basis that Michelle meets the objectives set by Primestock Wealth which include client services standards, training and compliance, in addition to any financial targets.

## Advisory Services

Our financial services are personalised to our clients' needs. We can provide a total financial solution, or alternatively advice can be limited to a particular service or situation, including:

- ✓ Financial & Retirement Planning
- ✓ Wealth Creation, Accumulation & Consolidation
- ✓ Retirement Planning Strategies
- ✓ Investment Advice
- ✓ Life Insurance
- ✓ Superannuation Advice including Self Managed Superannuation Fund (SMSF)
- ✓ Wills & Estate Planning
- ✓ Business Succession Planning
- ✓ Asset Protection
- ✓ Lending & Finance

*aspire, innovate, grow & impact*



# Marcus Ainger CFP®

Partner – Private Client Adviser

T 02 9134 6292 | M 0428 434 518

E [marcusa@primefinancial.com.au](mailto:marcusa@primefinancial.com.au)

## Qualifications & Designations


- Certified Financial Planner ®
- Bachelor of Business (Banking & Finance)
- Graduate Diploma of Financial Planning
- Financial Essentials Course in Self Managed Superannuation Funds

## Expertise

Marcus is authorised to deal in the following financial products:

- ✓ Basic and non-basic deposit products
- ✓ Derivatives
- ✓ Government debentures, stocks or bonds
- ✓ Life products
- ✓ Interests in managed investment schemes, including IDPS
- ✓ Retirement savings accounts
- ✓ Superannuation
- ✓ Securities
- ✓ Standard Margin Lending

## Prime Financial Group

A Level 17, HWT Tower  
40 City Road, Southbank VIC 3006  
P 1800 064 959  
E [clientservices@primefinancial.com.au](mailto:clientservices@primefinancial.com.au)  
 Prime Financial Group

The Financial Advisory services referred to in this profile are offered by Marcus Ainger, Authorised Representative No. 327234. Marcus is an authorised representative of Primestock Wealth Management Pty Ltd (Primestock Wealth), AFSL 240277 | ACN 087 388 476, Updated July 2021.

## About Marcus

Marcus is a Senior Adviser with significant experience in the banking and wealth industry, previously working at St George Bank and Bank of Melbourne. During this time, he was awarded "Advisor of the Year" in 2009 and 2014.

Marcus works primarily with high net worth individuals and business owners, advising on wealth management, asset protection, superannuation and investments. He has an outstanding knowledge of superannuation as well as associated tax and estate planning issues.

Marcus brings a dynamic and exuberant approach to all client matters. He has a strong commitment to ongoing knowledge and continually strives for the best possible results for clients in an ever changing market.

Marcus is a salaried employee and is eligible to receive up to 20% of his base level remuneration in both short-term and long-term bonus incentives. This is on the basis that Marcus meets the objectives set by Primestock Wealth which include client services standards, training and compliance, in addition to any financial targets.

## Advisory Services

Our financial services are personalised to our clients' needs. We can provide a total financial solution, or alternatively advice can be limited to a particular service or situation, including:

- ✓ Financial & Retirement Planning
- ✓ Wealth Creation, Accumulation & Consolidation
- ✓ Retirement Planning Strategies
- ✓ Investment Advice
- ✓ Life Insurance
- ✓ Superannuation Advice including Self Managed Superannuation Fund (SMSF)
- ✓ Wills & Estate Planning
- ✓ Business Succession Planning
- ✓ Asset Protection
- ✓ Lending & Finance

*aspire, innovate, grow & impact*





# Livio Caiolfa

Director - Private Client Adviser

T 03 8825 4748 | M 0413 448 735

E [livioc@primefinancial.com.au](mailto:livioc@primefinancial.com.au)

## Qualifications & Designations


- Advanced Diploma of Financial Services (Financial Planning)
- Bachelor of Business - Banking, Finance & Accounting
- Kaplan Professional Education Course in Self Managed Superannuation Funds
- Level 1 & 2 Accredited ASX Derivatives Adviser

## Expertise

Livio is authorised to deal in the following financial products:

- ✓ Basic and non-basic deposit products
- ✓ Derivatives
- ✓ Government debentures, stocks or bonds
- ✓ Life products
- ✓ Interests in managed investment schemes, including IDPS
- ✓ Retirement savings accounts
- ✓ Superannuation
- ✓ Securities
- ✓ Standard Margin Lending

## Prime Financial Group

A Level 17, HWT Tower  
40 City Road, Southbank VIC 3006  
P 1800 064 959  
E [clientservices@primefinancial.com.au](mailto:clientservices@primefinancial.com.au)  
 Prime Financial Group

The Financial Advisory services referred to in this profile are offered by Livio Caiolfa, Authorised Representative No. 340081. Livio is an authorised representative of Primestock Wealth Management Pty Ltd (Primestock Wealth), AFSL 240277 | ACN 087 388 476. Updated July 2021.

## About Livio

Livio has worked in the Finance industry since 2001 within Financial Planning, Investment Advisory and offshore trustee businesses.

Livio's diverse experience enables him to work with clients of varying needs and in conjunction with their other Professional Advisers. Livio has in-depth knowledge and experience with Self Managed Super Funds.

He also understands the complexities of wealth creation and asset protection along with the transfer of ownership for business owners and families. He is able to assist his clients with comprehensive strategic and investment advice.

Livio works with Primestock Wealth Management Pty Ltd as a contractor and receives a base level remuneration.

He is eligible to receive up to 20% of that payment as a bonus. This can be paid as a short-term or long-term incentive or a combination of both. The bonus incentives Livio can receive are based on both financial and nonfinancial standards set by the firm which include client servicing, training and compliance.

## Advisory Services

Our financial services are personalised to our clients' needs. We can provide a total financial solution, or alternatively advice can be limited to a particular service or situation, including:

- ✓ Financial & Retirement Planning
- ✓ Wealth Creation, Accumulation & Consolidation
- ✓ Retirement Planning Strategies
- ✓ Investment Advice
- ✓ Life Insurance
- ✓ Superannuation Advice including Self Managed Superannuation Fund (SMSF)
- ✓ Wills & Estate Planning
- ✓ Business Succession Planning
- ✓ Asset Protection
- ✓ Lending & Finance

*aspire, innovate, grow & impact*





# Dylan Cresswell CFP®

Director - Private Client Adviser

T 03 8825 4707 | M 0428 434 801

E [dylanc@primefinancial.com.au](mailto:dylanc@primefinancial.com.au)

## Qualifications & Designations


- Certified Financial Planner®
- Bachelor of Business (Accounting)
- Advanced Diploma of Financial Services
- Kaplan Professional Education Course in Self Managed Superannuation Funds

## Expertise

Livio is authorised to deal in the following financial products:

- ✓ Basic and non-basic deposit products
- ✓ Derivatives
- ✓ Government debentures, stocks or bonds
- ✓ Life products
- ✓ Interests in managed investment schemes, including IDPS
- ✓ Retirement savings accounts
- ✓ Superannuation
- ✓ Securities
- ✓ Standard Margin Lending

## Prime Financial Group

A Level 17, HWT Tower  
40 City Road, Southbank VIC 3006  
P 1800 064 959  
E [clientservices@primefinancial.com.au](mailto:clientservices@primefinancial.com.au)  
 Prime Financial Group

The Financial Advisory services referred to in this profile are offered by Dylan Cresswell, Authorised Representative No. 312219. Dylan is an authorised representative of Primestock Wealth Management Pty Ltd (Primestock Wealth), AFSL 240277 | ACN 087 388 476. Updated July 2021.

## About Dylan

Starting his career in Financial Services in 2005, Dylan has built up an extensive knowledge of Self Managed Superannuation Funds, investment and personal protection strategies.

Dylan has advised High Net Worth clients of Boutique advisory businesses, Commonwealth Financial Planning and more recently he spent five years with Grant Thornton Australia.

Dylan's experience and extensive qualifications ensure he is well placed to work with clients of varying needs, helping them to achieve their financial goals.

Dylan is a salaried employee and is eligible to receive up to 20% of his base level remuneration in both short-term and long-term bonus incentives. This is on the basis that Dylan meets the objectives set by Primestock Wealth which include client services standards, training and compliance, in addition to any financial targets.

## Advisory Services

Our financial services are personalised to our clients' needs. We can provide a total financial solution, or alternatively advice can be limited to a particular service or situation, including:

- ✓ Financial & Retirement Planning
- ✓ Wealth Creation, Accumulation & Consolidation
- ✓ Retirement Planning Strategies
- ✓ Investment Advice
- ✓ Life Insurance
- ✓ Superannuation Advice including Self Managed Superannuation Fund (SMSF)
- ✓ Wills & Estate Planning
- ✓ Business Succession Planning
- ✓ Asset Protection
- ✓ Lending & Finance

*aspire, innovate, grow & impact*



# Nicole Lewis CFP®

Private Client Adviser

T 03 8825 4734 | M 0429 811 624

E [nicolel@primefinancial.com.au](mailto:nicolel@primefinancial.com.au)

## Qualifications & Designations


- Certified Financial Planner®
- Bachelor of Commerce
- Diploma of Financial Planning
- Kaplan Professional Education Course in Self Managed Superannuation Funds

## Expertise

Nicole is authorised to deal in the following financial products:

- ✓ Basic and non-basic deposit products
- ✓ Derivatives
- ✓ Government debentures, stocks or bonds
- ✓ Life products
- ✓ Interests in managed investment schemes, including IDPS
- ✓ Retirement savings accounts
- ✓ Superannuation
- ✓ Securities
- ✓ Standard Margin Lending

## Prime Financial Group

A Level 17, HWT Tower  
40 City Road, Southbank VIC 3006  
P 1800 064 959  
E [clientservices@primefinancial.com.au](mailto:clientservices@primefinancial.com.au)  
 Prime Financial Group

The Financial Advisory services referred to in this profile are offered by Nicole Lewis, Authorised Representative No. 1240164. Nicole is an authorised representative of Primestock Wealth Management Pty Ltd (Primestock Wealth), AFSL 240277 | ACN 087 388 476. Updated July 2021.

## About Nicole

Nicole has been involved in the financial services industry since 2014. After successfully completing a Bachelor of Commerce at Deakin University and a Diploma in Financial Planning, she began with Prime as a Client Services Representative.

After moving to an Associate Adviser role in 2016, Nicole has further progressed her career, becoming a Private Client Adviser in 2019.

With a strong interest in investments, her focus is to provide clients with comprehensive strategic and investment advice to help them achieve their lifestyle and financial goals.

Nicole has since furthered her knowledge by completing a course in Self Managed Super Funds, as well as achieving the Certified Financial Planner® designation.

Nicole is a salaried employee and is eligible to receive up to 20% of her base level remuneration in both short-term and long-term bonus incentives. This is on the basis that Nicole meets the objectives set by Primestock Wealth which include client services standards, training and compliance, in addition to any financial targets.

## Advisory Services

Our financial services are personalised to our clients' needs. We can provide a total financial solution, or alternatively advice can be limited to a particular service or situation, including:

- ✓ Financial & Retirement Planning
- ✓ Wealth Creation, Accumulation & Consolidation
- ✓ Retirement Planning Strategies
- ✓ Investment Advice
- ✓ Life Insurance
- ✓ Superannuation Advice including Self Managed Superannuation Fund (SMSF)
- ✓ Wills & Estate Planning
- ✓ Business Succession Planning
- ✓ Asset Protection
- ✓ Lending & Finance

*aspire, innovate, grow & impact*





# Brent Quinn

Private Client Adviser

M 0400 187 227

E [brentq@primefinancial.com.au](mailto:brentq@primefinancial.com.au)

## Qualifications & Designations


- Bachelor of Business
- Diploma of Financial Services (Financial Planning)
- Kaplan Professional Education in Self Managed Superannuation Funds

## Expertise

Brent is authorised to deal in the following financial products:

- ✓ Basic and non-basic deposit products
- ✓ Derivatives
- ✓ Government debentures, stocks or bonds
- ✓ Life products
- ✓ Interests in managed investment schemes, including IDPS
- ✓ Retirement savings accounts
- ✓ Superannuation
- ✓ Securities

## Prime Financial Group

A Level 17, HWT Tower  
40 City Road, Southbank VIC 3006  
P 1800 064 959  
E [clientservices@primefinancial.com.au](mailto:clientservices@primefinancial.com.au)  
 Prime Financial Group

The Financial Advisory services referred to in this profile are offered by Brent Quinn, Authorised Representative No. 387038. Brent is an authorised representative of Primestock Wealth Management Pty Ltd (Primestock Wealth), AFSL 240277 | ACN 087 388 476. Updated October 2021.

## About Brent

Brent has had experience working with the Accounting and Financial Services sectors since 2001. Brent commenced his career in the United Kingdom working in Corporate Recovery and Insolvency. Upon returning to Australia, Brent spent some time running his own business before joining Prime Financial Group Ltd as Private Client Adviser in 2010.

Since joining Prime Financial Group Ltd, Brent has developed a focus on investment management and strategic advice to high net worth individuals and business owners. Brent has specific expertise in asset protection, superannuation and investments whilst also being a member of the Private Client Team who specialises in advice to SMSF's.

Brent is a salaried employee and is eligible to receive up to 20% of his base level remuneration in both short-term and long-term bonus incentives. This is on the basis that Brent meets the objectives set by Primestock which include client services standards, training and compliance, in addition to any financial targets.

## Advisory Services

Our financial services are personalised to our clients' needs. We can provide a total financial solution, or alternatively advice can be limited to a particular service or situation, including:

- ✓ Financial & Retirement Planning
- ✓ Wealth Creation, Accumulation & Consolidation
- ✓ Retirement Planning Strategies
- ✓ Investment Advice
- ✓ Life Insurance
- ✓ Superannuation Advice including Self Managed Superannuation Fund (SMSF)
- ✓ Wills & Estate Planning
- ✓ Business Succession Planning
- ✓ Asset Protection
- ✓ Lending & Finance

*aspire, innovate, grow & impact*



# Jarrod Rodda CFP®

Private Client Adviser

T 03 8825 4729 | M 0447 481 107

E jarrodr@primefinancial.com.au

## Qualifications & Designations


- Master of Financial Planning
- Certified Financial Planner®
- Bachelor of Commerce (Financial Planning, Accounting & Finance)
- Diploma of Financial Planning
- Kaplan Professional Education
- Course in Self Managed Super Funds

## Expertise

Jarrod is authorised to deal in the following financial products:

- ✓ Basic and non-basic deposit products
- ✓ Derivatives
- ✓ Government debentures, stocks or bonds
- ✓ Life products
- ✓ Interests in managed investment schemes, including IDPS
- ✓ Retirement savings accounts
- ✓ Superannuation
- ✓ Securities
- ✓ Standard Margin Lending

## Prime Financial Group

A Level 17, HWT Tower  
40 City Road, Southbank VIC 3006  
P 1800 064 959  
E clientservices@primefinancial.com.au  
 Prime Financial Group

The Financial Advisory services referred to in this profile are offered by Jarrod Rodda, Authorised Representative No. 1263612. Jarrod is an authorised representative of Primestock Wealth Management Pty Ltd (Primestock Wealth), AFSL 240277 | ACN 087 388 476. Updated February 2023.

## About Jarrod

Jarrod joined Prime back in 2015 and is a Private Client Adviser. He enjoys working with his clients, advising them on areas including wealth management, asset protection, investment and superannuation strategies.

Jarrod followed his passion for comprehensive strategic and investment advice by pursuing further study, completing his Master of Financial Planning whilst also earning his Certified Financial Planner® certification in 2022.

Jarrod's experience and qualifications ensure he is well equipped to help clients meet their financial goals both now and as their situation progresses into the future.

Jarrod is a salaried employee and is eligible to receive up to 20% of his base level remuneration in both short-term and long-term incentives. This is on the basis that Jarrod meets the objectives set by Primestock Wealth which includes client services standards, training and compliance, in addition to any financial targets.

## Advisory Services

Our financial services are personalised to our clients' needs. We can provide a total financial solution, or alternatively advice can be limited to a particular service or situation, including:

- ✓ Financial & Retirement Planning
- ✓ Wealth Creation, Accumulation & Consolidation
- ✓ Retirement Planning Strategies
- ✓ Investment Advice
- ✓ Life Insurance
- ✓ Superannuation Advice including Self Managed Superannuation Fund (SMSF)
- ✓ Wills & Estate Planning
- ✓ Business Succession Planning
- ✓ Asset Protection
- ✓ Lending & Finance

*aspire, innovate, grow & impact*





# Dylan Mayes

Private Client Adviser

T 03 8825 4742

E [dylanm@primefinancial.com.au](mailto:dylanm@primefinancial.com.au)

## Qualifications & Designations

- Bachelor of Accounting (Accounting & Financial Planning)

## Expertise

Dylan is authorised to deal in the following financial products:

- ✓ Basic and non-basic deposit products
- ✓ Government debentures, stocks or bonds
- ✓ Life products
- ✓ Interests in managed investment schemes, including IDPS
- ✓ Retirement savings accounts
- ✓ Superannuation
- ✓ Securities
- ✓ Standard Margin Lending

## Prime Financial Group

A Level 17, HWT Tower  
40 City Road, Southbank VIC 3006

P 1800 064 959

E [clientservices@primefinancial.com.au](mailto:clientservices@primefinancial.com.au)

 Prime Financial Group

The Financial Advisory services referred to in this profile are offered by Dylan Mayes, Authorised Representative No. 1299331. Dylan is an authorised representative of Primestock Wealth Management Pty Ltd (Primestock Wealth), AFSL 240277 | ACN 087 388 476. Updated February 2023.

## About Dylan

Dylan is a Private Client Adviser and has been a part of our team since 2017. He has a passion for helping clients achieve their financial goals and provides his clients with comprehensive advice on superannuation strategies, asset protection and investments.

Dylan is dedicated to providing the best advice possible to his clients and has pursued further education to develop his skills. He is currently studying his Master of Financial Planning to ensure that he is well-equipped to guide clients through all stages of their financial journey.

Dylan is a salaried employee and is eligible to receive up to 20% of his base level remuneration in both short-term and long-term incentives. This is on the basis that Dylan meets the objectives set by Primestock Wealth which includes client services standards, training and compliance, in addition to any financial targets.

## Advisory Services

Our financial services are personalised to our clients' needs. We can provide a total financial solution, or alternatively advice can be limited to a particular service or situation, including:

- ✓ Financial & Retirement Planning
- ✓ Wealth Creation, Accumulation & Consolidation
- ✓ Retirement Planning Strategies
- ✓ Investment Advice
- ✓ Life Insurance
- ✓ Superannuation Advice including Self Managed Superannuation Fund (SMSF)
- ✓ Wills & Estate Planning
- ✓ Business Succession Planning
- ✓ Asset Protection
- ✓ Lending & Finance

*aspire, innovate, grow & impact*



**Wealth Management & Protection**

T 1800 064 959

E [clientservices@primefinancial.com.au](mailto:clientservices@primefinancial.com.au)

**Capital & Corporate Advisory**

T 1800 317 006

E [capital@primefinancial.com.au](mailto:capital@primefinancial.com.au)

**Accounting & Business Advisory**

T 1800 317 005

E [enquiry@primefinancial.com.au](mailto:enquiry@primefinancial.com.au)

**Self Managed Super Funds (SMSF)**

T 1800 064 959

E [smsf@primefinancial.com.au](mailto:smsf@primefinancial.com.au)

**Environmental, Social, Governance  
Advisory (ESG)**

T 1800 317 006

E [esgsydney@primefinancial.com.au](mailto:esgsydney@primefinancial.com.au)

**P** 1800 064 959  
**E** [clientservices@primefinancial.com.au](mailto:clientservices@primefinancial.com.au)  
**W** [www.primefinancial.com.au](http://www.primefinancial.com.au)  
**in** PrimeFinancialGroup

**VIC**  
Level 17, HWT Tower,  
40 City Road,  
Southbank VIC 3006

**NSW**  
Suite 3, Level 3,  
1 Bligh Street,  
Sydney NSW 2000

**QLD**  
Level 6,  
100 Creek Street  
Brisbane QLD 4000