

Coverdell Education Savings Account Transfer Form



Regular Mail:
Aristotle Funds
c/o U.S. Bank Global Fund Services
PO Box 701
Milwaukee, WI 53201-0701

Overnight Delivery:
Aristotle Funds
c/o U.S. Bank Global Fund Services
615 E. Michigan St., FL3
Milwaukee, WI 53202-5207

For additional information, please call toll-free 844-ARISTTL (844-274-7885) or visit us on the web at www.aristotlefunds.com.

- Please use this form to transfer assets from an existing Education Savings Account to a(n) Aristotle Funds Education Savings Account. If you are opening a new Education Savings Account for this transfer, please complete an Education Savings Account Application. Once completed, mail this application to the address above.

1 Name, Address and Consent of Person Who Controls the Current Account

| | | | |
|----------------------------|----------------------|--------------------|------------------------|
| | | | |
| PARENT/GUARDIAN FIRST NAME | M.I. | LAST NAME | SOCIAL SECURITY NUMBER |
| | | | |
| DATE OF BIRTH (MM/DD/YYYY) | MAILING ADDRESS | CITY / STATE / ZIP | |
| | | | |
| EMAIL ADDRESS | DAYTIME PHONE NUMBER | | EVENING PHONE NUMBER |

☐ Mother ☐ Father ☐ Guardian*

*If "guardian", submit proof of guardianship.

2 Name of Student (For whom the education savings account is benefiting)

| | | | |
|----------------------------|----------------------|--------------------|------------------------|
| | | | |
| FIRST NAME OF STUDENT | M.I. | LAST NAME | SOCIAL SECURITY NUMBER |
| | | | |
| DATE OF BIRTH (MM/DD/YYYY) | MAILING ADDRESS | CITY / STATE / ZIP | |
| | | | |
| EMAIL ADDRESS | DAYTIME PHONE NUMBER | | EVENING PHONE NUMBER |

3 Instruction to Current Education Savings Account Custodian or Trustee

| | |
|------------------------|---------------------------|
| | |
| CURRENT ACCOUNT NUMBER | NAME OF CUSTODIAN/TRUSTEE |
| | |
| MAILING ADDRESS | CITY / STATE / ZIP |

Please transfer assets from the above account to U.S. Bank, NA. Transfer should be in cash according to the following instructions:

- ☐ Transfer the total amount in this Account.
☐ Transfer \$_____ or _____ shares and retain the balance.

Make check payable to: Aristotle Funds FBO

| |
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| |
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BENEFITING STUDENT'S NAME

4 Fund Selection

Please indicate the amount to be invested in each fund

Check one box and complete the necessary information:

- ☐ Invest the transferred amount in accordance with the investment instructions currently in effect for the Student's Aristotle Funds Education Savings Account.

If such an account is already open, please provide the account number:

- ☐ Invest the transferred amount to my Education Savings Account as follows:

Fund Selection

A list of available fund names, fund numbers and investment amounts can be found on the last page of this application.

Investment Amount

FUND NAME AND FUND NUMBER

FUND NAME AND FUND NUMBER

FUND NAME AND FUND NUMBER

The undersigned acknowledges having sole responsibility for the foregoing investment choices and having received a current prospectus for each Fund selected. Please read the prospectus(es) for Aristotle Funds selected, including the privacy notice. The undersigned understands that the requirements for a valid transfer between Education Savings Accounts are complex and acknowledges having responsibility for complying with all requirements and for the tax results of any such transfer.

5 Signature of Student, Parent or Guardian

X

SIGNATURE OF STUDENT / PARENT / GUARDIAN (PLEASE CIRCLE ONE)

DATE (MM/DD/YYYY)

SPECIAL NOTE: If Student is a minor under the law of Student's state of residence, the parent or guardian must execute this Education Savings Account Transfer of Assets Form.

SIGNATURE GUARANTEE (only if required by current custodian or trustee): A signature guarantee may be obtained from a bank, a member of a national securities exchange, savings and loan associations, credit union, broker, or other acceptable financial institutions. Please note that a Notary Public stamp or seal is unacceptable.

SIGNATURE GUARANTEE

6 Acceptance by New Custodian

To be completed by U.S. Bank, NA.

U.S. Bank, NA. agrees to accept transfer of the above amount for deposit to the Student's U.S. Bank, NA. Coverdell Education Savings Custodial Account, and requests the liquidation and transfer of assets as indicated above.

Appointment as Custodian accepted:
U.S. BANK, NA



Gregory Farley
Senior Vice President-Mutual Fund Operations

Aristotle Funds - Fund List



ARISTOTLE
FUNDS

Minimum Initial Investment

Class A: \$1,000

Class C: \$1,000

Class I: \$500,000

| FUND | TICKER | CLASS | FUND NUMBER |
|---|--------|-----------|-------------|
| Aristotle Ultra Short Income Fund | PLUAX | Class A | 8160 |
| | PLUDX | Class I-2 | 8148 |
| | PLUIX | Class I | 8147 |
| Aristotle Short Duration Income Fund | PLADX | Class A | 8130 |
| | PLCSX | Class C | 8131 |
| | PLDSX | Class I-2 | 8133 |
| | PLSDX | Class I | 8132 |
| Aristotle ESG Core Bond Fund | PLEDX | Class I-2 | 8105 |
| | PLEBX | Class I | 8104 |
| Aristotle Core Income Fund | PLIAX | Class A | 8100 |
| | PLNCX | Class C | 8101 |
| | PLIDX | Class I-2 | 8103 |
| | PLIIX | Class I | 8102 |
| Aristotle Floating Rate Income Fund | PLFLX | Class A | 8106 |
| | PLBCX | Class C | 8107 |
| | PLFDX | Class I-2 | 8109 |
| | PLFRX | Class I | 8108 |
| Aristotle Strategic Income Fund | PLSTX | Class A | 8143 |
| | PLCNX | Class C | 8144 |
| | PLSFX | Class I-2 | 8146 |
| | PLSRX | Class I | 8145 |
| Aristotle High Yield Bond Fund | PLAHX | Class A | 8111 |
| | PLCHX | Class C | 8112 |
| | PLHYX | Class I-2 | 8114 |
| | PLHIX | Class I | 8113 |
| Aristotle Small/Mid Cap Equity Fund | ARAHX | Class A | 8134 |
| | AISHX | Class C | 8135 |
| | AIHHX | Class I-2 | 8137 |
| | ARIHX | Class I | 8136 |
| Aristotle Small Cap Equity Fund II | ARABX | Class A | 8138 |
| | AISBX | Class C | 8139 |
| | AIBBX | Class I-2 | 8141 |
| | ARRBX | Class R6 | 8142 |
| Aristotle Portfolio Optimization Conservative Fund | POAAX | Class A | 8115 |
| | POACX | Class C | 8116 |
| | PLCDX | Class I-2 | 8117 |
| Aristotle Portfolio Optimization Moderate Conservative Fund | POBAX | Class A | 8124 |
| | POBCX | Class C | 8125 |
| | PMCDX | Class I-2 | 8126 |
| Aristotle Portfolio Optimization Moderate Fund | POCAX | Class A | 8121 |
| | POMCX | Class C | 8122 |
| | POMDX | Class I-2 | 8123 |
| Aristotle Portfolio Optimization Growth Fund | PODAX | Class A | 8118 |
| | PODCX | Class C | 8119 |
| | PMADX | Class I-2 | 8120 |
| Aristotle Portfolio Optimization Aggressive Growth Fund | POEAX | Class A | 8127 |
| | POCEX | Class C | 8128 |
| | POEDX | Class I-2 | 8129 |