

IRA Transfer Form



ARISTOTLE
FUNDS

Regular Mail:
Aristotle Funds
c/o U.S. Bank Global Fund Services
PO Box 701
Milwaukee, WI 53201-0701

Overnight Delivery:
Aristotle Funds
c/o U.S. Bank Global Fund Services
615 E. Michigan St., FL3
Milwaukee, WI 53202-5207

For additional information please call toll-free 844-ARISTTL (844-274-7885) or visit us on the web at www.aristotlefunds.com.

- There may be penalties for withdrawing certain investments before their maturity (i.e., certificates of deposit or annuities). Please contact your current custodian or plan administrator prior to submitting this form to determine the applicable time frames and penalties, if any, or if you need a signature guarantee in section 6 to order this transfer. U.S. Bank Global Fund Services will initiate your request upon receipt of this form.

1 Investor Information

FIRST NAME	M.I.	LAST NAME	SOCIAL SECURITY NUMBER
ADDRESS		CITY / STATE / ZIP	
DAYTIME PHONE NUMBER		EVENING PHONE NUMBER	

2 Instructions to Current IRA Custodian or Plan Administrator

Please include a copy of your current account statement.

CURRENT CUSTODIAN OR PLAN ADMINISTRATOR	FUND NAME, IF APPLICABLE	
ACCOUNT NUMBER	CONTACT PERSON	CONTACT NUMBER
STREET ADDRESS		CITY / STATE / ZIP

Consider this your authorization to redeem my investment and transfer my Traditional IRA, SEP IRA, SIMPLE IRA, Roth IRA, or Inherited IRA, or to directly rollover my qualified retirement plan as directed below: *

☐ All Assets OR ☐ \$ or %

Please process this request:*

☐ Immediately OR ☐ At Maturity (month / day / year)

* If no option is selected, please transfer all assets immediately.

Instructions for Delivery (indicate how you want your current Trustee/Custodian to deliver the assets to U.S. Bank Global Fund Services)

☐ Wire- Funds available immediately upon receipt, your Custodian/Trustee may charge a fee for this service

☐ Check- Funds may not be available for 12-15 Business days

☐ First Class Mail ☐ Overnight Delivery- Take the fee from my account ☐ Overnight Delivery via Third Party – Charge the fee to my FedEx or UPS account

☐ FedEx ☐ UPS Account/Billing Number

2 Instruction to Current IRA Custodian or Plan Administrator continued

Processing Instructions (indicate how you want us to initiate your transfer/rollover)

- ☐ Standard Processing Service- No Charge, transfer form will be sent via First Class Mail
- ☐ Overnight Delivery- \$15.00 fee, select one of the options below; if no selection is made we will use First Class Mail
- We will overnight your transfer form to your previous Custodian/Trustee
 - Physical address must be provided, cannot overnight to a PO BOX
- ☐ Use the attached check made payable to U.S. Bank Global Fund Services
- ☐ Charge the \$15.00 fee to my third party billing provided below
- ☐ FedEx ☐ UPS Account/Billing Number _____

Type of account being transferred/rolled-over:

- ☐ Pension ☐ Profit Sharing Plan ☐ 401(k) ☐ 403(b) ☐ Roth 401(k) ☐ Roth 403(b) ☐ Traditional IRA
- ☐ SEP IRA ☐ SIMPLE IRA ☐ Roth IRA ☐ Inherited IRA ☐ Other

Original Roth IRA funding year (if applicable):

Original SIMPLE IRA funding date (if applicable):

Send the check representing the assets payable to "Aristotle Funds FBO [Shareholder's Name]" along with a copy of this form to the address at the top of page one.

3 Investment Selection

An Aristotle Funds IRA Application must be completed to process this transfer if a new account is being established. The Fund(s) and the allocation(s) specified on the application will be used if they are different from those indicated below.

Fund Selection

A list of available fund names, fund numbers and investment amounts can be found on the last page of this application.

	NEW	EXISTING	ACCOUNT # (IF APPLICABLE)	AMOUNT		%
<input type="text"/> FUND NAME AND FUND NUMBER	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	OR	<input type="text"/>
<input type="text"/> FUND NAME AND FUND NUMBER	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	OR	<input type="text"/>
<input type="text"/> FUND NAME AND FUND NUMBER	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	OR	<input type="text"/>
<input type="text"/> FUND NAME AND FUND NUMBER	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	OR	<input type="text"/>
<input type="text"/> FUND NAME AND FUND NUMBER	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	OR	<input type="text"/>

4 Required Minimum Distribution (RMD) Age Information

Check one of the following:

- ☐ I am under the RMD age and do not turn RMD Age at anytime during this calendar year.

OR

- ☐ I am RMD age or older and understand that no part of my RMD is eligible for transfer or rollover. I further understand that there may be significant tax penalties if a rollover of my RMD occurs.

5 Conversion of Traditional IRA to Roth IRA - Optional

☐ I am converting assets from a Traditional IRA to a Roth IRA. Upon receiving the assets from my current Custodian, I instruct the Fund's transfer agent to invest the proceeds into a new or existing Roth IRA account, as indicated in section 2. I understand this may be a taxable event and that I am solely responsible for all tax consequences of this conversion.

The Fund's transfer agent will only process the conversion if you check the box above.

6 Signature

I certify that I have established an IRA with Aristotle Funds, of which U.S. Bank, N.A., is the Custodian. I agree to contact my present Custodian from whom I am transferring to determine if specific documentation or a signature guarantee is required. I understand that I am responsible for determining my eligibility for all transfers or direct rollovers. I agree to hold the Custodian harmless against any and all situations arising from an ineligible transfer or direct rollover. I acknowledge that the Custodian or its agent cannot provide legal advice and I agree to consult with my own tax professional for advice.

I authorize U.S. Bank Global Fund Services, to act on my behalf in contacting the current custodian or plan administrator to facilitate the transfer of assets.

X

SIGNATURE OF OWNER

DATE (MM/DD/YYYY)

SIGNATURE GUARANTEE* (FOR TRANSFERS FROM ANOTHER CUSTODIAN)

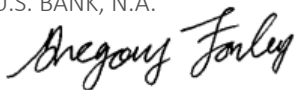
IMPORTANT: Please contact your current Custodian to determine if a signature guarantee* is required.

* A signature guarantee may be obtained from any eligible guarantor institution, as defined by the Securities and Exchange Commission. These institutions include banks, saving associations, credit unions and brokerage firms. The words "SIGNATURE GUARANTEED" must be stamped or typed near your signature. The guarantee must appear with the printed name, title, and signature of an officer and the name of the guarantor institution. Please note that a Notary Public Seal or Stamp is not acceptable.

7 Acceptance / Custodian Authorization

U.S. Bank, N.A., hereby accepts its appointment as Custodian of the above IRA and upon receipt of assets, will deposit such assets in an Aristotle Funds IRA on behalf of the Depositor authorizing this transfer or direct rollover.

Appointment as Custodian accepted:
U.S. BANK, N.A.



Gregory Farley
Senior Vice President-Mutual Fund Operations

Aristotle Funds - Fund List



ARISTOTLE
FUNDS

Minimum Initial Investment

Class A: \$1,000

Class C: \$1,000

Class I: \$500,000

FUND	TICKER	CLASS	FUND NUMBER
Aristotle Ultra Short Income Fund	PLUAX	Class A	8160
	PLUDX	Class I-2	8148
	PLUIX	Class I	8147
Aristotle Short Duration Income Fund	PLADX	Class A	8130
	PLCSX	Class C	8131
	PLDSX	Class I-2	8133
	PLSDX	Class I	8132
Aristotle ESG Core Bond Fund	PLEDX	Class I-2	8105
	PLEBX	Class I	8104
Aristotle Core Income Fund	PLIAX	Class A	8100
	PLNCX	Class C	8101
	PLIDX	Class I-2	8103
	PLIIX	Class I	8102
Aristotle Floating Rate Income Fund	PLFLX	Class A	8106
	PLBCX	Class C	8107
	PLFDX	Class I-2	8109
	PLFRX	Class I	8108
Aristotle Strategic Income Fund	PLSTX	Class A	8143
	PLCNX	Class C	8144
	PLSFX	Class I-2	8146
	PLSRX	Class I	8145
Aristotle High Yield Bond Fund	PLAHX	Class A	8111
	PLCHX	Class C	8112
	PLHYX	Class I-2	8114
	PLHIX	Class I	8113
Aristotle Small/Mid Cap Equity Fund	ARAHX	Class A	8134
	AISHX	Class C	8135
	AIHHX	Class I-2	8137
	ARIHX	Class I	8136
Aristotle Small Cap Equity Fund II	ARABX	Class A	8138
	AISBX	Class C	8139
	AIBBX	Class I-2	8141
	ARRBX	Class R6	8142
Aristotle Portfolio Optimization Conservative Fund	POAAX	Class A	8115
	POACX	Class C	8116
	PLCDX	Class I-2	8117
Aristotle Portfolio Optimization Moderate Conservative Fund	POBAX	Class A	8124
	POBCX	Class C	8125
	PMCDX	Class I-2	8126
Aristotle Portfolio Optimization Moderate Fund	POCAX	Class A	8121
	POMCX	Class C	8122
	POMDX	Class I-2	8123
Aristotle Portfolio Optimization Growth Fund	PODAX	Class A	8118
	PODCX	Class C	8119
	PMADX	Class I-2	8120
Aristotle Portfolio Optimization Aggressive Growth Fund	POEAX	Class A	8127
	POCEX	Class C	8128
	POEDX	Class I-2	8129