# **Privacy Statement**

Effective Date: 2023-12-10

#### Introduction

Hadrius is a software company that manages and automates compliance for regulated investment-related business.

We understand that you are aware of and care about your own personal privacy interests, and we take that seriously. This Privacy Notice describes Hadrius's policies and practices regarding its collection and use of your personal data, and sets forth your privacy rights. We recognize that information privacy is an ongoing responsibility, and so we will from time to time update this Privacy Notice as we undertake new personal data practices or adopt new privacy policies.

#### How we collect and use (process) your personal information

Hadrius collects personal information about the website's visitors. With a few exceptions, this information is generally limited to:

- name
- job title
- employer name
- work address
- work email
- work phone number

Hadrius also collects and uses information about the website's customers, as shared with us. With a few exceptions, this information is generally limited to:

- email communications
- messaging and social media communications
- personal trades
- notes and other media/documents provided by customers on the platform
- marketing materials and other documents uploaded by customers to the platform
- user actions on the platform

We use this information to provide prospects and customers with services.

We do not sell personal information to anyone and only share it with third parties who are facilitating the delivery of our services.

From time to time, Hadrius receives personal information about individuals from third parties. Typically, information collected from third parties will include further details on your employer or industry. We may also collect your personal data from a third party website (e.g. LinkedIn)

### Use of the Hadrius Website

As is true of most other websites, Hadrius's website collects certain information automatically and stores it in log files. The information may include internet protocol (IP) addresses, the region or general location where your computer or device is accessing the internet, browser type, operating system and other usage information about the use of Hadrius's website, including a history of the pages you view. We use this information to help us design our site to better suit our users' needs. We may also use your IP address to help diagnose problems with our server and to administer our website, analyze trends, track visitor movements, and gather broad demographic information that assists us in identifying visitor preferences.

Hadrius has a legitimate interest in understanding how members, customers and potential customers use its website. This assists Hadrius with providing more relevant products and services, with communicating value to our sponsors and corporate members, and with providing appropriate staffing to meet member and customer needs.

Hadrius does not use your personal or company data to train AI for other customers or publicfacing marketing materials.

# Sharing information with third parties

The personal information Hadrius collects from you is stored in one or more databases hosted by third parties located in the United States. These third parties do not use or have access to your personal information for any purpose other than cloud storage and retrieval. On occasion, Hadrius engages third parties to send information to you, including information about our products, services, and events.

This personal information may also be sent to AI providers in the United States to facilitate the operations that we conduct for our business.

We do not otherwise reveal your personal data to non-Hadrius persons or businesses for their independent use unless: (1) you request or authorize it; (2) it's in connection with Hadrius-hosted and Hadrius co-sponsored conferences as described above; (3) the information is provided to comply with the law (for example, compelled by law enforcement to comply with a search warrant, subpoena, or court order), enforce an agreement we have with you, or to protect our rights, property or safety, or the rights, property or safety of our employees or others; (4) the information is provided to our agents, vendors or service providers who perform functions on our behalf; (5) to address emergencies or acts of God; or (6) to address disputes, claims, or to persons demonstrating legal authority to act on your behalf. We may also gather aggregated data about our services and website visitors and disclose the results of such aggregated (but not personally identifiable) information to our partners, service providers, advertisers, and/or other third parties for marketing or promotional purposes.

The Hadrius website connects with third party services such as Facebook, LinkedIn, Twitter and others. If you choose to share information from the Hadrius website through these services, you should review the privacy policy of that service. If you are a member of a third party service, the aforementioned connections may allow that service to connect your visit to our site to your personal data.

## Data storage and retention

Your personal data is stored by Hadrius on its servers, and on the servers of the cloud-based database management services Hadrius engages, located in the United States. Hadrius retains service data for the duration of the customer's business relationship with the Hadrius and for a period of time thereafter, to analyze the data for Hadrius's own operations, and for historical and archiving purposes associated with Hadrius's services. Hadrius retains prospect data until such time as it no longer has business value and is purged from Hadrius systems. All personal data that Hadrius controls may be deleted upon verified request from Data Subjects or their authorized agents. For more information on where and how long your personal data is stored, and for more information on your rights of erasure and portability, please contact us at: hello@hadrius.com

## Children's data

We do not knowingly attempt to solicit or receive information from children.

## Questions, concerns or complaints

If you have questions, concerns, complaints, or would like to exercise your rights, please contact us at:

hello@hadrius.com