

**Welcome to become a unit holder of HCP Bricks alternative investment fund (AIF). Before subscribing, please familiarize yourself with the key information document (KID) and by-laws.**

We ask you to fill in, sign and deliver this subscription form to the address below no later than two business days before the subscription. If you are a new subscriber, attach a copy of your ID. When subscribing for a company, please attach a trade register extract or a similar extract from the register and the representative's identification. Regarding the foundation, please also attach the rules.

The minimum subscription to the fund is €5,000 and the additional subscription is €1,000. The subscription amount must be in the subscription account no later than 16:00 on the last banking day of the quarter. Mark HCP Bricks as the recipient of the payment and your person or business ID in the payment message field. The subscription of fund units takes place according to the value on the last banking day of the quarter. The subscription fee according to the valid price list is deducted from the amount to be invested (information on the size of the subscription fee is available at the HCP office and in the key information document).

### **Subscription form**

**Subscribed fund: Alternative investment fund HCP Bricks**

**Unit class: Distribution**

**Subscription amount** \_\_\_\_\_ €

**The fund's subscription account number: FI5133010001168087**

**BIC/SWIFT: ESSEFIHX**

### **Subscriber's information:**

**Name:** \_\_\_\_\_ **Person/ business ID:** \_\_\_\_\_

**Address:** \_\_\_\_\_ **Zip code and city:** \_\_\_\_\_

**Address of the principal place of business, if it is different from above** \_\_\_\_\_

**Nationalities/ domicile:** \_\_\_\_\_

**Countries of residence:** \_\_\_\_\_

**Place of birth/Country of registration** \_\_\_\_\_

**Phone:** \_\_\_\_\_ **E-mail:** \_\_\_\_\_



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Taxation country/municipality \_\_\_\_\_

Bank account to which redemptions are paid: \_\_\_\_\_

I have familiarized myself with the key information document, the fund prospectus and the by-laws regarding the Alternative investment fund HCP Bricks and I accept them (tick the box)

HCP may deliver an invitation to the unitholders' meeting, announce a change in the by-laws, or deliver another announcement using electronic communication (tick the box)

## Private clients

*The following questions are based on Act 144 on the Prevention of Money Laundering and the Financing of Terrorism, which entered into force on January 3, 2018 (June 28, 2017). The customer's identification information and other personal information can be used to prevent, reveal and investigate money laundering and terrorist financing, as well as for the purpose of investigating money laundering and terrorist financing and the crime by which the property or criminal benefit that is the object of money laundering or terrorist financing has been obtained.*

Estimated earnings and capital income per year (gross);  less than €35,000,  €35,000 - €65,000,  €65,000 - €100,000,  over €100,000

Estimated net worth: \_\_\_\_\_ €

Origin of subscription funds;  salary income,  savings,  inheritance,  gift,  investments,  sports income (if you mark sports income, fill in your employer's contact information below),  other, what? \_\_\_\_\_

Employer's contact information for subscribing sports income (please send a copy of the form to your employer):

Name: \_\_\_\_\_

Contact information: \_\_\_\_\_

- I am making a subscription on my own behalf  
 I am making a subscription on behalf of another party;

Name \_\_\_\_\_ Personal or company ID \_\_\_\_\_

- The goal of investment activity is the pursuit of profit  
 Other, what?



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*The following questions are based on the agreement on the exchange of tax information between Finland and the United States (FATCA) concluded in 2014 and the regulation on the exchange of information between EU member states and OECD countries that came into force. The agreement and the law that has come into force oblige customers in the United States and those living in other EU member states and OECD countries to be identified and to report their investments and deposits to the tax authority of the relevant country. Reporting is handled through the Finnish Tax Administration .*

**Is the subscriber tax resident in a country other than Finland, e.g. in the United States, on the basis of country of residence, citizenship, place of birth, work or residence permit, more than 25% ownership or more than 25% voting ownership of an entity registered in the United States, or on other grounds?**

- No. I declare that I, as a signatory, have no tax liability in other countries.**
- Yes, tax resident in the following states. Enter foreign tax identifiers (TIN)**

If you are a tax resident in the United States , fill out the IRS form W-9, and if you are not a tax resident in the United States, but you have or have had the above-mentioned connection, telephone number, mail forwarding or storage service to the United States, provide a free-form additional explanation\_\_\_\_\_

**Are you a politically influential person in Finland or another country, or a family member or business partner of the aforementioned person during the last 12 months?**

- No**
- Yes, state the reason:**

\_\_\_\_\_

Helsinki Capital Partners Oy processes the customer's personal data in accordance with the current regulations and in the ways stated in more detail in the privacy statement, which the customer should familiarize themselves with. The privacy statement is available on Helsinki Capital Partners Oy's website [www.hcp.fi](http://www.hcp.fi)

**The subscriber undertakes to inform Helsinki Capital Partners Oy of all such changes in circumstances that have an impact on the country of residence for taxation purposes.**

- The underwriter assures that the underwriter is not on the sanctions list and the assets to be invested are not subject to sanctions.**

**I certify that the information I have given above is correct.**

\_\_\_\_\_

**Place and time**

**Signature and name**



## Companies and foundation clients

*The following questions are based on the Act on Prevention of Money Laundering and Terrorist Financing that entered into force on January 3, 2018 (June 28, 2017/144). The customer's identification information and other personal information can be used to prevent, reveal and investigate money laundering and terrorist financing, as well as for the purpose of investigating money laundering and terrorist financing and the crime by which the property or criminal benefit that is the object of money laundering or terrorist financing has been obtained.*

**The company's main industry:**

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**The company's financial position (according to the last confirmed financial statements):**

turnover: \_\_\_\_\_ €

balance sheet: \_\_\_\_\_ €

equity: \_\_\_\_\_ €

operating profit/loss: \_\_\_\_\_ €

**Company's representative information**

Name: \_\_\_\_\_ Person ID: \_\_\_\_\_

Name: \_\_\_\_\_ Person ID: \_\_\_\_\_

Source of subscription funds ;  income from business operations,  investment activities,  
 Other, what?

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**Are you investing funds on behalf of someone else?**

No

Yes, the name of the person or entity \_\_\_\_\_ and person or company ID

**The objective of the investment activity is:**

Pursuit of profit

Other, what?

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### **Beneficial beneficiaries**

The beneficial owner of the company means a natural person who has more than 25% direct or indirect voting share, which is based on ownership, membership, articles of association, company agreement or comparable rules, or more than 25% direct or indirect ownership share, or who otherwise has control in the active entity (the right to fire or appoint a majority of the members in the board of the legal entity in question or in a comparable institution).

If the company does not have any owner who meets the above conditions, then the actual beneficiaries, depending on the form of the company, are the board of directors or responsible managers, the managing director or other a person in a similar position. With regard to such a person, we ask, if necessary, to inform him of his position in the entity and to provide a document on the selection.

The board members entered in the register are considered to be the actual beneficiaries of the intellectual association, religious community, foundation, housing cooperative and real estate company. As far as the foundation is concerned, the actual beneficiaries are also the members of the supervisory board.

**Name:** \_\_\_\_\_, **Person ID:** \_\_\_\_\_,

**Nationality:** \_\_\_\_\_,

**Ownership /voting share or other basis:** \_\_\_\_\_

**Is the actual beneficiary now or has there been in the last year a politically influential person or a family member of such a person or a close company partner? The definition of a politically influential person is presented at the end of this form .**

- No**
- Yes, state the reason** \_\_\_\_\_

**Name:** \_\_\_\_\_, **Person ID:** \_\_\_\_\_,

**Nationality:** \_\_\_\_\_,

**Ownership /voting share or other basis:** \_\_\_\_\_

**Is the actual beneficiary now or has there been in the last year a politically influential person or a family member of such a person or a close company partner? The definition of a politically influential person is presented at the end of this form.**

- No**
- Yes, state the reason** \_\_\_\_\_



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Name: \_\_\_\_\_, Person ID: \_\_\_\_\_,

Nationality: \_\_\_\_\_,

Ownership /voting share or other basis: \_\_\_\_\_

Is the actual beneficiary now or has there been in the last year a politically influential person or a family member of such a person or a close company partner? The definition of a politically influential person is presented at the end of this form.

- No  
 Yes, state the reason \_\_\_\_\_

*The following questions are based on the agreement on the exchange of tax information between Finland and the United States (FATCA) concluded in 2014 and the regulation on the exchange of information between EU member states and OECD countries that came into force. The agreement and the law that has come into force oblige customers in the United States and those living in other EU member states and OECD countries to be identified and to report their investments and deposits to the tax authority of the relevant country. Reporting is handled through the Finnish Tax Administration .*

Is the subscriber liable to tax in a country other than Finland, e.g. the United States, due to the company's domicile, place of incorporation or another reason?

- No. I certify that the company/foundation is not liable for taxes in the United States or in countries other than Finland.  
 Yes, please state the tax countries and tax identifiers (e.g. US TIN) \_\_\_\_\_ and complete an IRS Form W-9 if the subscriber is a US taxpayer.

Is the company or foundation a financial institution (e.g. credit institution, investment company, fund company, insurance company or other entity that keeps funds on behalf and on behalf of another)?

- Finnish       Other. Country: \_\_\_\_\_  
 No  
 Yes, please provide GIN No. \_\_\_\_\_  
 Yes, the entity is a non-reporting financial institution. Indicate the Fatca status of the participating non-reporting financial institution , e.g. "Deemed-Compliant Foreign Financial Institution", "Exempt Beneficial owners":

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In other cases, the non-reporting financial institution must complete IRS Form W8BEN-E.

If the company or entity is not a financial institution, is the company or entity so-called active or passive non-financial company?

- Active  
 Passive

A company is an active company if at least one of the following points is true:



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1) *At least half of the company's gross profit from the previous fiscal year consists of the sale of products and services, and less than half of the company's assets consist of securities and other investment instruments.*

2) *Shares of the company or its parent company are traded on the stock exchange or other established marketplace.*

3) *The customer is or is owned by the state, municipality or other public entity.*

If none of the above is true, the entity is a passive non-financial company.

*Only a passive non-financial institution must answer the question below*

Are any of the above-mentioned actual beneficiaries liable to tax in a country other than Finland, e.g. the United States, based on their country of residence, citizenship, place of birth, work or residence permit, or something else?

No. The declarant assures that none of the actual beneficiaries of the company is liable to tax in the United States or in countries other than Finland.

Yes, in the following countries:

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Enter the persons' addresses, place of birth and tax identifiers (TIN):

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If the beneficial owner is a tax resident in the United States , he must fill out an IRS form W-9.

The subscriber assures that the subscriber's board of directors, supervisory board, CEO, de facto beneficiary or its representative or other person working in the administration is not on the sanctions list and the assets to be invested are not subject to sanctions.

Helsinki Capital Partners Oy processes the customer's personal data in accordance with the current regulations and in the ways stated in more detail in the privacy statement and statement, which the customer should familiarize themselves with. The data protection clause and explanation are available on the website [www.hcp.fi](http://www.hcp.fi).

The company and other entities undertake to notify Helsinki Capital Partners Oy of all such changes in circumstances that have an impact on the country of residence for taxation purposes (countries of tax residency).

I certify that the information I have given above is correct.

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Place and time

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Signature and name



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