



# VALUABLE CAPITAL SECURITIES LLC

## Privacy Policy

---

Your relationship with Valuable Capital Securities LLC (Valuable) is based on trust, confidence and high standards of professional conduct

In order to maintain and service your account(s), as well as comply with regulatory requirements, Valuable will gather and store certain personal, nonpublic information about you. This information, collected via the new account application and other account documentation, is critical to open and administer your account. We understand that the confidentiality and security of personal information that you share with Valuable is important, and have developed policies and practices designed to protect the privacy of that personal information. By opening an account with Valuable, or by utilizing the products and services available through Valuable, you have consented to the collection and use of your personal information in accordance with this Privacy Policy. Please read this notice carefully.

The information collected by Valuable includes, but is not limited to, information we receive from you on applications, agreements or other forms as well as other communications and correspondence with Valuable. The collected information also includes, but is not limited to, transactions with Valuable, its affiliates or non-affiliated third parties. Valuable may also collect information about you via third party sources, such as credit bureaus and third-party information collection vendors such as "Trulioo" A company that provides electronic identity and address verification of both individuals and businesses.

### **Safeguarding Customer Documents**

In addition to this collected information, transactions facilitated by you through Valuable will be kept for reporting purposes and pursuant to Valuable's regulatory requirements. Valuable will disclose collected information when required to do so by law, court order or regulatory agency request. To provide superior service to clients it is necessary for Valuable to, and Valuable may, share information with other Valuable affiliate companies as well as third parties it is currently involved with in a contractual relationship (such as your referring financial institution), except in states where this type of sharing is not permitted by law. This sharing of personal information is carried out on a strictly professional basis and is incidental to servicing your account. Valuable has received assurances from these third parties that they will not share your personal information.

### **Valuable does not sell client lists or client email addresses to third party marketers.**

You understand that through the normal course of servicing your account, certain agents and employees (including the employees of other Valuable affiliate companies), may have access to your confidential account information. This may include operations and support personnel. You understand that access to this information is limited and held in the strictest confidence. You understand that information about former clients who do not currently maintain an account relationship with Valuable is not shared with any outside party.

You understand that due to the partnerships that Valuable has with financial institutions to offer investment services, sharing of information with these financial institutions is critical to servicing your account. Allowing this sharing process to continue uninterrupted will allow you to take full advantage of the value offered by the relationship between your financial institution and Valuable. Valuable does offer you the option to limit the sharing of information between Valuable and your referring financial institution.

**Valuable safeguards the confidentiality of its client information in a number of ways, such as:**

- Maintaining the client information in its physical or electronic control under high standards of security;
- Never selling list of client personal, nonpublic information;
- Restricting access to personal, nonpublic information to those Valuable employees, agents and affiliates who need to know such information in order to service your account;
- Maintaining employment practices and policies that prohibit the employees who have access to your personal, nonpublic information from using or disclosing such information other than for business purposes;
- Limiting access to Valuable systems only to authorized personnel via valid user names and passwords; as well as the utilization of security measures such as encryption and firewalls.

Valuable reserves the right to amend this Privacy Policy, either broadening or narrowing its scope, at any time.