Effective Date: January 31, 2022

## **FACTS**

## WHAT DOES FTX US DO WITH YOUR PERSONAL INFORMATION?

#### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

#### What?

The types of personal information we collect and share depend on the product or service you have with us. This information is identified with specificity in our Privacy Policy, and can include:

- Social Security number
- Account profile information, such as your name, email address, phone number, and physical address
- Assets, income, and employment information
- Investment experience and risk tolerance
- Account balances and payment history
- Transaction information related to purchases and sales of cryptocurrency and NFTs

#### How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information, the reasons FTX US chooses to share, and whether you can limit this sharing.

Reasons we can share your personal information	Does FTX US share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	Yes
For joint marketing with other financial companies	No	N/A
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes— information about your creditworthiness	Yes	No
For our affiliates to market to you	Yes	Yes
For nonaffiliates to market to you	No	N/A

# To limit our sharing

- Email us at privacy@ftx.us;
- Visit us online at <a href="https://ftx.us/home/">https://ftx.us/home/</a>; or
- Mail the form below.

#### Please note:

If you are a *new* customer, we can begin sharing your information 30 days from the date we sent this notice. When you are *no longer* our customer, we continue to share your information as described in this notice.

However, you can contact us at any time to limit our sharing.

#### Questions?

Contact us at privacy@ftx.us

#### Mail-in Form

#### Leave Blank OR

[If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below.

□ Apply my choices only to me]

Mark any/all you want to limit:

- Do not share information about my creditworthiness with your affiliates for their everyday business purposes. Please note that if you choose not to share such information, we may be unable to offer our services to you.
- Do not allow your affiliates to use my personal information to market to me.
- Do not share my personal information with nonaffiliates to market their products and services to me.

Name	Mail to:
Address	West Realm Shires
	Inc.
City, State, Zip	2000 Center Street,
Email Address	Ste 400
	Berkeley, CA 94704
	Attn: Legal

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Who we are		
Who is providing this notice?	West Realm Shires Services, Inc. d/b/a FTX US	
What we do		
How does FTX US protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.	
How does FTX US collect my personal information?	<ul> <li>We collect your personal information, for example, when you</li> <li>open an account or deposit money; or</li> <li>use your credit or debit card or make deposits or withdrawals from your account;</li> <li>give us your contact information; or tell us who receives the money.</li> <li>We also collect your personal information from others, such as from credit bureaus, affiliates or other companies.</li> </ul>	
Why can't I limit all sharing?	Federal law gives you the right to limit only	
Definitions		
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies: Our affiliates include FTX Trading Ltd. and companies with a common name including, without limitation, West Realm Shires Inc. and West Realm Shires Financial Services Inc.	
Nonaffiliates	Companies not related by common ownership or control. They can befinancial and nonfinancial companies.	
Joint marketing	A formal agreement between nonaffiliated financial companies thattogether market financial products or services to you.	

Special Notice for California Residents: Under California law, we will not share the nonpublic information that we have about you with non-affiliated third parties or our family of companies except for permissible legal purposes, including those permitted under Cal. Fin. Code § 4056. Examples of permitted purposes include effecting or administering transactions, at your direction and with your consent or as necessary to protect against fraud, identify theft or unauthorized transactions.
<b>Special Notice to Vermont Residents:</b> We will not disclose information about your creditworthiness to our affiliates and will not disclose your personal information, financial information, credit report, or health information to nonaffiliated third parties to market to you, other than as permitted by Vermont law, unless you authorize us to make those disclosures.
Additional information concerning our privacy policies can be found at <a href="https://help.ftx.us/hc/en-us/articles/360043578593-Privacy-Policy">https://help.ftx.us/hc/en-us/articles/360043578593-Privacy-Policy</a> . You may also email us at <a href="mailto:privacy@ftx.us">privacy@ftx.us</a> or write us at West Realm Shires Inc., 2000 Center Street, Suite 400, Berkeley, CA 94704, Attn: Legal.
Special Notice to North Dakota Residents: We will not share personal information we collect about you with nonaffiliated third parties, except aspermitted by law, such as to process your transactions or to maintain your account.
Special Notice to Kentucky residents: We will not sell or transfer your personal information without your authorization and without putting in place required confidentiality provisions.