

## Item 1- Cover Page

**Rodney T. Yancy**

**Oath Planning, LLC**

1214 East 33rd Street  
Tulsa, Oklahoma 74105  
(918) 200-9094  
www.oathplanning.com

June 21, 2023

This Brochure Supplement provides information about Rodney T. Yancy that supplements the Oath Planning, LLC Brochure. You should have received a copy of that Brochure. Please contact Rod Yancy if you did not receive Oath Planning, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Rodney T. Yancy is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Mr. Yancy's CRD No. is 7030454.

## Item 2- Educational Background and Business Experience

**Rodney T. Yancy**

Born: 1979

### **Education:**

- Graduated from University of Oklahoma, College of Law in 2006 with a J.D. Degree in Law
- Graduated from University of Oklahoma in 2002 with a B.S. in Philosophy and Political Science, Minor in Psychology

### **Employment:**

- Oath Planning, LLC from 09/18 to Present, Investment Advisor Representative, President & Chief Compliance Officer
- Oath Law, dba RTY Law, P.C. from 10/10 to Present, Attorney
- Specialty Optical Services from 08/06 to 10/10, General Manager and General Counsel

### **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

### **Item 4- Other Business Activities**

Mr. Yancy is an Attorney and President of Oath Law, dba RTY Law, P.C.

Mr. Yancy, in his individual capacity, is also a licensed insurance agent and may offer and sell term and permanent life insurance products, and fixed annuity products. Clients are under no obligation to obtain insurance products from Mr. Yancy.

### **Item 5- Additional Compensation**

Mr. Yancy is compensated as an Attorney and President of Oath Law, dba RTY Law, P.C.

Mr. Yancy is also able to receive separate, yet customary commission compensation resulting from implementing insurance product transactions on behalf of advisory clients

There are no arrangements where a non-client provides an economic benefit directly to Mr. Yancy for providing advisory services.

### **Item 6 - Supervision**

Mr. Yancy is the majority owner of Oath Planning, LLC. Mr. Yancy's client accounts are subject to regular review and verification that asset balances are being managed in accordance with a client's investment guidelines. Mr. Brian Kelley is responsible for these reviews. Mr. Kelley can be reached at 918-200-9094.

## Item 1- Cover Page

# **Brian R. Kelley**

## **Oath Planning, LLC**

1214 East 33rd Street  
Tulsa, Oklahoma 74105  
(918) 200-9094

[www.oathplanning.com](http://www.oathplanning.com)

June 21, 2023

This Brochure Supplement provides information about Brian R. Kelley that supplements the Oath Planning, LLC Brochure. You should have received a copy of that Brochure. Please contact Rod Yancy if you did not receive Oath Planning, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Brian R. Kelley is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Mr. Kelley's CRD No. is 7034450.

## Item 2- Educational Background and Business Experience

**Brian R. Kelley**

Born: 1988

### **Education:**

- Graduated from University of Oklahoma in 2017 with an Accounting Degree

### **Employment:**

- Oath Planning, LLC from 09/2018 to Present, Investment Advisor Representative
- Oath Law, dba RTY Law, P.C. from 09/17 to Present, Legal Assistant
- Victoria's Pasta Shop from 05/08 to 08/17, Waiter

### **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

### **Item 4- Other Business Activities**

Mr. Kelley is a Legal Assistant with Oath Law, dba RTY Law, P.C.

### **Item 5- Additional Compensation**

Mr. Kelley is compensated as a Legal Assistant of Oath Law, dba RTY Law, P.C.

There are no arrangements where a non-client provides an economic benefit directly to Mr. Kelley for providing advisory services.

### **Item 6 - Supervision**

Mr. Kelley is supervised by Rod Yancy, Chief Compliance Officer of Oath Planning, LLC. Mr. Kelley's client accounts are subject to regular review and verification that asset balances are being managed in accordance with a client's investment guidelines. Mr. Yancy can be reached at 918-200-9094.

# **Jacob J. Kerk sieck**

## **Oath Planning, LLC**

1214 East 33rd Street  
Tulsa, Oklahoma 74105  
(918) 200-9094  
www.oathplanning.com

June 21, 2023

This Brochure Supplement provides information about Jacob J. Kerk sieck that supplements the Oath Planning, LLC Brochure. You should have received a copy of that Brochure. Please contact Rod Yancy if you did not receive Oath Planning, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Jacob J. Kerk sieck is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Mr. Kerk sieck's CRD No. is 7188286.

## **Item 2- Educational Background and Business Experience**

**Jacob J. Kerk sieck**

Born: 1991

### **Education:**

- Graduated from University of Arkansas Fayetteville in 2013 with a B.S. in Business Administration Management
- Graduated from University of Arkansas Fayetteville School of Law in 2017 with a J.D. in Law

### **Employment:**

- Oath Planning, LLC from 06/17 to Present, Investment Advisor Representative
- Oath Law, dba RTY Law, P.C. from 06/17 to Present, Attorney
- Henry Law Firm from 001/17 to 05/17, Intern
- Legal Aid of Arkansas from 02/16 to 05/16, Support Staff
- Benson and Bennett from 05/14 to 12/15, Intern

### **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

### **Item 4- Other Business Activities**

Mr. Kerksieck is also an Attorney with Oath Law, dba RTY Law, P.C.

### **Item 5- Additional Compensation**

Mr. Kerksieck is compensated as an Attorney with Oath Law, dba RTY Law, P.C.

There are no arrangements where a non-client provides an economic benefit directly to Mr. Kerksieck for providing advisory services.

### **Item 6 - Supervision**

Mr. Kerksieck is an investment advisor representative of Oath Planning, LLC. Mr. Kerksieck's client accounts are subject to regular review and verification that asset balances are being managed in accordance with a client's investment guidelines. Mr. Yancy is responsible for supervising Mr. Kerksieck and can be reached at 918-200-9094.

# **Alec C. Spring**

## **Oath Planning, LLC**

1214 East 33rd Street  
Tulsa, Oklahoma 74105  
(918) 200-9094  
www.oathplanning.com

June 21, 2023

This Brochure Supplement provides information about Alec C. Spring that supplements the Oath Planning, LLC Brochure. You should have received a copy of that Brochure. Please contact Rod Yancy if you did not receive Oath Planning, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Alec C. Spring is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Mr. Spring's CRD No. is 7232710.

## **Item 2- Educational Background and Business Experience**

**Alec C. Spring**

Born: 1995

### **Education:**

- Graduated from University of Oklahoma in 2017 with a Bachelors of Arts and Science in Political Science and Government

### **Employment:**

- Oath Planning, LLC from 11/21 to Present, Investment Advisor Representative
- Equitable Advisors, LLC from 03/20 to 10/21, Registered Representative
- AXA Advisors, LLC from 03/20 to 06/20, Registered Representative
- ACG Materials from 08/17 to 12/19, Sales Representative
- TAG The Alarm Group from 06/16 to 06/17, Office Clerk

### **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

### **Item 4- Other Business Activities**

Mr. Spring is not involved in any business activities outside of his employment with Oath Planning, LLC.

### **Item 5- Additional Compensation**

There are no arrangements where a non-client provides an economic benefit directly to Mr. Spring for providing advisory services.

### **Item 6 - Supervision**

Mr. Spring is an investment advisor representative of Oath Planning, LLC. Mr. Spring's client accounts are subject to regular review and verification that asset balances are being managed in accordance with a client's investment guidelines. Mr. Yancy is responsible for supervising Mr. Spring and can be reached at 918-200-9094.



# **Rachel M. Reinke**

## **Oath Planning, LLC**

1 Chisholm Trail Road, Suite 450

Round Rock, TX 78681

(737) 242-7171

[www.oathplanning.com](http://www.oathplanning.com)

June 21, 2023

This Brochure Supplement provides information about Rachel M. Reinke that supplements the Oath Planning, LLC Brochure. You should have received a copy of that Brochure. Please contact Rod Yancy if you did not receive Oath Planning, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Rachel M. Reinke is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Ms. Reinke's CRD No. is 7476007.

## **Item 2- Educational Background and Business Experience**

**Rachel M. Reinke**

Born: 1996

### **Education:**

- Graduated from Iowa State University in 2018 with a Bachelor of Science in Finance and a Bachelor of Science in Management Information Systems
- Graduated from Drake University Law School in 2021 with a Law Degree

### **Employment:**

- Oath Planning, LLC from 08/2021 to Present, Investment Advisor Representative
- Oath Law, dba RTY Law, P.C. from 08/2021 to Present, Attorney
- UnityPoint Health, from 01/2020 to 08/2021, Law Clerk
- Iowa Legal Aid, from 07/2019 to 12/2019, Law Clerk
- AbleUp, from 09/2016 to 08/2018, Loan Officer
- KPMG, from 09/2017 to 12/2017, IT Advisory Intern

### **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

### **Item 4- Other Business Activities**

Ms. Reinke is also an Attorney with Oath Law, dba RTY Law, P.C.

### **Item 5- Additional Compensation**

Ms. Reinke is compensated as an Attorney with Oath Law, dba RTY Law, P.C.

There are no arrangements where a non-client provides an economic benefit directly to Ms. Reinke for providing advisory services.

### **Item 6 - Supervision**

Ms. Reinke is an investment advisor representative of Oath Planning, LLC. Ms. Reinke's client accounts are subject to regular review and verification that asset balances are being managed in accordance with a client's investment guidelines. Mr. Yancy is responsible for supervising Ms. Reinke and can be reached at 918-200-9094.