MUTUAL SECURITIES, INC.
Member FINRA, SIPC • Est. 1982

NOTICE OF PRIVACY POLICY (Revised 03/2021)

FACTS	WHAT DOES MUTUAL SECURITIES, INC. ("MSI") DO WITH YOUR PERSONAL INFORMATION?

WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

WHAT?	The types of personal information we collect can include: • Social Security number • Investment experience • Risk tolerance • Income • Account balance • Transaction history • Assets • Retirement assets When you are no longer our customer, we continue to share your information as described in this notice.

HOW?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list reasons financial companies can share their customers' personal information, the reasons MSI chooses to share, and whether you can limit this sharing.

Reasons we can share your personal information	Does MSI share?	Can you limit this sharing?
For our everyday business purposes Such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus.	Yes	No
For our marketing purposes MSI does not share information with our affiliates or other non-affiliated third parties to offer you products and services without your consent.	No	No
For joint marketing with other financial companies Federal and certain state laws give us the right to share your information with banks, retirement plans, registered investment advisers, and other financial institution programs with which you are a customer and where a formal agreement exists between us and them to provide or market financial products or services to you. *However, we will not share your information with these financial companies for marketing purposes if your financial advisor is not affiliated with them without your consent, but we may share information with these financial companies where necessary to service your accounts.*	Yes	No

Questions?	If you have any additional questions, please send them in writing to: Mutual Securities, Inc. *Attn: Compliance Department* P.O. Box 2864 Camarillo, CA 93011-2864

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WHO WE ARE	
Who is providing this notice?	**Mutual Securities, Inc. ("MSI")**
WHAT WE DO	
How does MSI protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. MSI maintains physical, electronic, and procedural safeguards to protect your nonpublic personal information.
How does MSI collect my personal information?	We collect your personal information, for example, when you • Open a brokerage and/or investment account • Make deposits or withdrawals from your account or provide account information • Seek advice about your investments • Tell us about your investment or retirement portfolio We also may receive information about you from information services and consumer reporting agencies.
Why can't I limit all sharing?	Federal law gives you the right to limit only • Sharing for affiliates' everyday business purposes – information about your creditworthiness • Affiliates from using your information to market to you • Sharing for non-affiliates to market to you State laws and individual companies may give you additional rights to limit sharing.

DEFINITIONS	
Affiliates	Companies related by common ownership or control. They can be financial and non-financial companies. • MSI does not share information among its affiliates for marketing purposes.
Non-affiliates	Companies not related by common ownership or control. They can be financial and non-financial companies. • MSI does not share information with non-affiliates so they can market to you.
Joint Marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you. • Our joint marketing partners include registered investment advisers, banks, retirement plans, and other financial institution programs.

OTHER IMPORTANT INFORMATION
This notice applies to individual consumers who are customers or former customers of MSI. This notice replaces all previous notices of our consumer privacy and may be amended at any time. We will keep you informed of changes or amendments as required by law.
If you close your account and transfer your account to another firm, in the process of transferring your investments, we may share your information with the new broker-dealer or custodian that you or your representative selects. If our representative servicing your account leaves us, the representative may be permitted to retain copies of your nonpublic personal information so that he/she can assist with the transfer of your account and continue to serve you at a new firm. When your representative associates with a new firm, the representative's continuing use of such information becomes subject to the new firm's privacy policy.
If your address is in Vermont, North Dakota or California, MSI will automatically limit information sharing with non-affiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law. The following notice is required to be made under Nevada law to Nevada customers: At any time, you may request in writing to be placed on our internal "Do Not Call" list. For more on this Nevada law, contact Bureau of Consumer Protection Hotline: 1-702-486-3132 or toll-free 1-888-434-9989; Office of the Attorney General, 555 E. Washington Ave., Suite 3900, Las Vegas NV 89101; email: AgInfo@ag.nv.gov.