



CONTACT INFORMATION

First Class Mail:
Pacific Funds
P.O. Box 9768
Providence, RI 02940-9768

Overnight Delivery:
Pacific Funds
Attn: Work Management
4400 Computer Drive
Westborough, MA 01581

Phone: (800) 722-2333, Option 2
Website: www.PacificFunds.com

Use this form to request an IRA transfer of assets or a direct rollover (excluding qualified rollover conversions to a Roth IRA) from an existing retirement plan account to your IRA at Pacific Funds. Based on your instructions BNY Mellon Investment Servicing Trust Company will initiate the transfer or rollover for you. If you are over age 70½, you are responsible for distributing any required minimum distribution amounts from your current retirement plan account (excluding Roth IRAs) in advance of the transfer or rollover. Please remember that a transfer of assets can only occur between the same types of retirement plans.

Incomplete information will result in delays in processing your request. If you need assistance completing this form, please contact Shareholder Services at 1-800-722-2333, Option 2.

Direct Rollover Notice

If this contribution is a direct rollover from a qualified plan, 403(b), or 457 plan, I understand that by signing page 2 of this form, I am acknowledging that the direct rollover contribution is an irrevocable election and is no longer eligible for special tax treatment which may be accorded to distributions from a qualified plan, 403(b), or 457 plan.

You may want to contact your current plan administrator or custodian to ensure that you have completed any documents they may require. If you are over 70 ½ please contact your current custodian regarding the required minimum distribution rules before initiating a direct rollover. Direct rollovers from a qualified plan to an IRA can only be in the form of cash.

1. Account Information

Shareholder Name (as it appears on account statement) _____

Social Security Number _____

Daytime Telephone Number _____

2. Investment Instructions

Complete items A, B, and C. The minimum initial investment of \$1,000 per fund must be met. Note – If proceeds from the transfer / rollover do not meet the minimum of \$1,000 per fund, the proceeds will **not** be applied.

A. I am opening a new IRA and have attached the required IRA Application.

Deposit the proceeds into my existing IRA Account #: _____

Type of account transferring into: Traditional IRA Rollover IRA SEP-IRA Roth IRA

B. Invest as follows (If this section is not completed, proceeds will be invested according to the account application)

Fund Name or Number: _____ Dollar: \$ _____ or Percentage: _____ %

Fund Name or Number: _____ Dollar: \$ _____ or Percentage: _____ %

Fund Name or Number: _____ Dollar: \$ _____ or Percentage: _____ %

C. Type of Request:

IRA Transfer of Assets (like accounts)*

Direct Rollover from a Qualified Plan

Direct Rollover from a 403(b) or 457

* SEP-IRA and SIMPLE IRAs (after the required 2 year holding period) can be transferred into a Traditional IRA.

3. Transfer / Rollover Instructions

Note: This form should not be used for Roth Conversion requests. Please complete the External Roth Conversion Form to request a conversion from a Traditional IRA held by another custodian to a Pacific Funds Roth IRA.

Complete items A, B, and C.

A. Type of account you are transferring / rolling over from:

Select One: Traditional / Rollover IRA SEP-IRA Roth IRA 403(b) 457 Plan Qualified Plan*

*If you are rolling over a qualified plan, please contact your current plan administrator for distribution / rollover form requirements.

B. Current Custodian Information

Name of Current Custodian

Telephone Number

Street Address for Overnight Delivery

City

State

Zip

C. Please attach your most recent statement. Your current custodian may require a Medallion Signature Guarantee to process your transfer or rollover request. Refer to Authorization, Certification, and Signature section for more information.

1. Name of Investment: _____ Account #: _____

Select One: Liquidate Transfer-in-kind

Select One: All Partial \$ _____ or # of shares _____

For Certificates of Deposit: Immediately* At Maturity Date: _____

2. Name of Investment: _____ Account #: _____

Select One: Liquidate Transfer-in-kind

Select One: All Partial \$ _____ or # of shares _____

For Certificates of Deposit: Immediately* At Maturity Date: _____

***Note:** If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before maturity.

4. Authorization, Certification, and Signature

I authorize the transfer of assets or direct rollover as noted above to my Pacific Funds IRA and authorize my current custodian, Pacific Funds, and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand that it is my responsibility to insure the prompt transfer of assets or direct rollover by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.

**SIGN
HERE** 

Participant's Signature

Date

Medallion Signature Guarantee

Medallion Signature Guarantee Stamp and Signature (if required by your current custodian or transfer agent): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT acceptable substitute for a signature guarantee.