



### CONTACT INFORMATION

**First Class Mail:**  
Pacific Funds  
P.O. Box 9768  
Providence, RI 02940-9768

**Overnight Delivery:**  
Pacific Funds  
Attn: Work Management  
4400 Computer Drive  
Westborough, MA 01581

**Phone:** (800) 722-2333, Option 2  
**Website:** www.PacificFunds.com

Use this form to convert a traditional, SEP-IRA, or SIMPLE (after the required 2 year holding period) Individual Retirement Account (IRA) from another custodian or trustee to a Pacific Funds Roth IRA. If establishing a new Roth IRA, attach a completed Individual Retirement Account Application. Do not use this form to request an internal Roth Conversion or to recharacterize a prior conversion or IRA contribution.

Beginning in 2010 and thereafter, there are no eligibility requirements for converting a traditional, SEP-IRA or SIMPLE (after the required two year holding period) IRA into a Roth IRA under the Tax Increase Prevention and Reconciliation Act of 2006 ("TIPRA").

### 1. Account Information

Shareholder Name (as it appears on account statement)

Social Security Number

Daytime Telephone Number

### 2. Investment Instructions

Complete items A and B. The minimum initial investment of \$1,000 per fund must be met. Note – If proceeds from the transfer / rollover do not meet the minimum of \$1,000 per fund, the conversion will be rejected.

A.  I am opening a new Roth IRA and have the required IRA Application.

Deposit the proceeds into my existing Roth IRA number: \_\_\_\_\_

B. Invest as follows:

Fund Name or Number: \_\_\_\_\_ Dollar: \$ \_\_\_\_\_ or Percentage: \_\_\_\_\_%

Fund Name or Number: \_\_\_\_\_ Dollar: \$ \_\_\_\_\_ or Percentage: \_\_\_\_\_%

Fund Name or Number: \_\_\_\_\_ Dollar: \$ \_\_\_\_\_ or Percentage: \_\_\_\_\_%

### 3. Tax Withholding Election

IRS regulations require the custodian or trustee of your IRA to withhold federal taxes from the conversion distribution at the rate of 10% unless you elect not to have withholding apply. Withholding will apply to the entire amount of the conversion distribution, including the amount of any non-deductible contributions that may have been made to the IRA. You may not convert any portion of the required minimum distributions (RMDs). If you elect to have federal income taxes withheld, you may still invest the entire amount of the conversion distribution into your Pacific Funds Roth IRA by using other assets to replace previous amounts withheld as prepayment of federal income taxes. Remember, if you use assets of your IRA to pay taxes on the conversion distribution amount (either by liquidating additional shares or by not replacing amounts withheld for federal income tax), the IRA assets used to pay those taxes will be considered a premature distribution (if you are under the age 59 ½) since they are not being converted into the Roth IRA or rolled over into another IRA and you could be subject to a 10% early withdrawal penalty.

Select one:

I elect not to have federal income tax withheld from this conversion distribution.

I elect to have 10% federal income tax withheld from this conversion distribution.

I elect to have \_\_\_\_\_% federal income tax withheld from this conversion distribution.

(must be more than 10%)

#### 4. Current Custodian and Account Information

##### A. Current Custodian Information

Name of Current Custodian \_\_\_\_\_

Telephone Number \_\_\_\_\_

Street Address for Overnight Delivery \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

Zip \_\_\_\_\_

**B.** Please attach your most recent statement. Your current custodian may require a Medallion Signature Guarantee to process your transfer or rollover request. Refer to Authorization, Certification, and Signature section for more information.

1. Name of Investment:: \_\_\_\_\_

Account # \_\_\_\_\_

Distribution Account Type:  Traditional / Rollover IRA  SEP-IRA  SIMPLE IRA (after 2 years)

Amount to be Distributed:  Liquidate Entire Account  Liquidate Partial \$ \_\_\_\_\_ or # of shares \_\_\_\_\_

For Certificates of Deposit:  Immediately\*  At Maturity Date \_\_\_\_\_

2. Name of Investment: \_\_\_\_\_

Account # \_\_\_\_\_

Distribution Account Type:  Traditional / Rollover IRA  SEP-IRA  SIMPLE IRA (after 2 years)

Amount to be Distributed:  Liquidate Entire Account  Liquidate Partial \$ \_\_\_\_\_ or # of shares \_\_\_\_\_

For Certificates of Deposit:  Immediately\*  At Maturity Date \_\_\_\_\_

**\*Note:** If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to convert assets from certificates of deposit more than 60 days before maturity.

#### 5. Authorization, Certification, and Signature

**By signing this form, I acknowledge that I have received and read the application and the current Pacific Funds prospectus.** I authorize the current custodian or trustee of my IRA to distribute the amount(s) indicated for the purpose of converting them to a Roth IRA with Pacific Funds and to issue a check as indicated below. I understand it is my responsibility to insure the prompt conversion of assets by the current custodian or trustee. I understand that I am solely responsible for all tax consequences and agree that neither Pacific Funds nor their custodian shall have responsibility for any tax consequences resulting from my instructions. I authorize Pacific Funds and BNY Mellon Investment Servicing Trust Company to process this request on my behalf.

I have read this form and understand and agree to be legally bound by the terms of this form. I also understand that the Custodian, Pacific Funds and their agents will rely on my instructions within this form when accepting my conversion contribution. I understand this conversion is irrevocable.

**SIGN  
HERE** 

Participant's Signature \_\_\_\_\_

Date \_\_\_\_\_

##### Medallion Signature Guarantee

Medallion Signature Guarantee Stamp and Signature (if required by your current custodian or transfer agent): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT acceptable substitute for a signature guarantee.

**Distributor:** Pacific Select Distributors, Inc. (member FINRA & SIPC, registered with MSRB) a subsidiary of Pacific Life Insurance Company.

Shares of Pacific Funds are distributed by the distributor. The distributor is not a bank, and shares of the Fund are not deposits or obligations of, or guaranteed or endorsed by any bank, nor are they federally insured or otherwise supported by the FDIC, the Federal Reserve Board, or any other agency

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**INTERNAL USE ONLY – INSTRUCTIONS FOR RESIGNING CUSTODIAN**

**Issue check payable to: BNY Mellon Investment Servicing**, as the custodian for the Pacific Funds Roth IRA.

Participant Name: \_\_\_\_\_ Recharacterization Account Number: \_\_\_\_\_

**Mail to one of the following addresses:**

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