

This jurisdiction matrix shows the countries in which business will be accepted and under which licence the business is to be submitted through.

The caveat for accepting business where we are not directly authorised is subject to the following factors;

1. The client is not a national of that country and fulfils our target audience of an English speaking professional expatriate seconded to that country. KYC will bear this out.
2. Before prospecting a client in these jurisdiction you will need to establish which providers (we have terms of business with), will allow their products to be available to the client. There will not be a central repository for this information.

3. EU countries in which we accept business will currently be restricted to a fee based offering, direct to platform investments only.
4. Please note that where reference to SCA is made the IA licence can not be used where the products are insurance related. In these cases the Swiss licence should be used.
5. Please note that some Providers will not facilitate business through the licence specified and you will therefore need to review the advice provided or refer to Compliance for assistance.

This matrix will be regularly reviewed and changes will come about as we obtain other licences.

Country	Business Accepted	License Accepted
Afghanistan	No	
Albania	No	
Algeria		Swiss License
Andorra		Swiss License
Angola/Angilla		Swiss License
Antigua and Barbuda		Swiss License
Argentina		Swiss License
Armenia		SCA
Australia	No	
Austria		Swiss License
Azerbaijan		SCA
Bahamas		Swiss License
Bahrain		SCA
Bangladesh		Swiss License
Barbados	No	
Belarus		Swiss License
Belgium		Swiss License
Belize		Swiss License
Benin		Swiss License
Bermuda		Swiss License
Bhutan		Swiss License
Bolivia		Swiss License
Bosnia and Herzegovina		Swiss License
Botswana	No	
Brazil		Swiss License
British Virgin Islands		Swiss License
Brunei		Swiss License
Bulgaria		Swiss License
Burkina Faso		Swiss License

Country	Business Accepted	License Accepted
Burma/Myanmar	No	
Burundi		Swiss License
Cambodia	No	
Cameroon		Swiss License
Canada	No	
Cape Verde		Swiss License
Cayman Islands		
Central African Republic		Swiss License
Chad		Swiss License
Chile		Swiss License
China		Swiss License
Colombia		Swiss License
Comoros		Swiss License
Congo		Swiss License
Democratic Republic of Congo	No	
Costa Rica		Swiss License
Croatia		Swiss License
Cuba		Swiss License
Cyprus	No	
Czech Republic		Swiss License
Denmark		Swiss License
Djibouti		Swiss License
Dominica		Swiss License
Dominican Republic		Swiss License
East Timor		Swiss License
Ecuador		Swiss License
Egypt		SCA
El Salvador		Swiss License
Equatorial Guine		Swiss License

Country	Business Accepted	License Accepted	Country	Business Accepted	License Accepted
Eritrea		Swiss License	Macedonia		Swiss License
Estonia		Swiss License	Madagascar		Swiss License
Ethiopia		Swiss License	Malawi		Swiss License
Faroe Island		Swiss License	Malaysia		Swiss License
Fiji		Swiss License	Maldives		Swiss License
Finland		Swiss License	Mali		Swiss License
France		Swiss License	Malta	No	
Gabon		Swiss License	Marshall Islands		Swiss License
Gambia		Swiss License	Mauritania		Swiss License
Georgia		SCA	Mauritius	No	
Germany		Swiss License	Mexico		Swiss License
Ghana	No		Micronesia		Swiss License
Gibraltar		Swiss License	Moldova		Swiss License
Greece		Swiss License	Monaco		Swiss License
Grenada		Swiss License	Mongolia		Swiss License
Guatemala		Swiss License	Montenegro		Swiss License
Guinea		Swiss License	Montserrat		Swiss License
Guinea-Bissau	No		Morocco		
Guyana		Swiss License	Mozambique		Swiss License
Guernsey		Swiss License	Namibia		Swiss License
Haiti	No		Nauru		Swiss License
Honduras		Swiss License	Nepal		Swiss License
Hong Kong	No		Netherlands		Swiss License
Hungary		Swiss License	Netherlands Antilles		Swiss License
Iceland		Swiss License	New Zealand	No	
India		Swiss License	Nicaragua	No	
Indonesia		Swiss License	Niger		Swiss License
Iran	No		Nigeria		Swiss License
Iraq	No		North Macedonia		Swiss License
Ireland (Southern)	No		Norway		Swiss License
Ireland (Northern)		UK Company Only	Oman		SCA
Israel		SCA	Pakistan	No	
Italy		Swiss License	Palau		Swiss License
Jamaica	No		Palestine	No	
Japan		Swiss License	Panama	No	
Jersey	No		Papua New Guinea		Swiss License
Jordan		SCA	Paraguay		Swiss License
Kazakhstan		SCA	Peru		Swiss License
Kenya		Swiss License	Philippines		
Kiribati		Swiss License	Poland		Swiss License
North Korea	No		Portugal		Swiss License
South Korea		Swiss License	Qatar	No	
Kuwait		SCA	Romania		Swiss License
Kyrgyzstan		Swiss License	Russian Federation		Swiss License
Latvia		Swiss License	Rwanda		Swiss License
Lebanon			Saint Kitts and Nevis		Swiss License
Lesotho		Swiss License	Saint Lucia		Swiss License
Liberia		Swiss License	Saint Vincent and the Grenadines		Swiss License
Libya	No		Samoa		Swiss License
Liechtenstein		Swiss License	San Marino		Swiss License
Lithuania		Swiss License	Sao Tome and Principe		Swiss License
Luxembourg		Swiss License	Saudi Arabia		SCA

Country	Business Accepted	License Accepted
Senegal		
Serbia		Swiss License
Seychelles		Swiss License
Sierra Leone		Swiss License
Singapore	No	
Slovakia		Swiss License
Slovenia		Swiss License
Solomon Islands		Swiss License
Somalia	No	
South Africa	No	
Sudan		Swiss License
South Sudan	No	
Spain		Swiss License
Sri Lanka		Swiss License
Suriname		Swiss License
Swaziland		Swiss License
Sweden		Swiss License
Switzerland		Swiss License
Syria	No	
Tajikistan		SCA
Taiwan		Swiss License
Tanzania		Swiss License
Thailand		Swiss License
Togo		Swiss License
Tonga		Swiss License
Trinidad and Tobago		Swiss License
Tunisia		Swiss License
Turkey		Swiss License
Turkmenistan		SCA
Turks and Caicos		Swiss License
Tuvalu		Swiss License
Uganda	No	
Ukraine		Swiss License
UAE		IA and SCA
UK		UK FCA Only
USA		USA SEC only
Uruguay		Swiss License
Uzbekistan		SCA
Vanuatu		Swiss License
Vatican City		Swiss License
Venezuela	No	
Vietnam		Swiss License
Yemen	No	
Zambia		Swiss License
Zimbabwe	No	