

i-Select

Superannuation Scheme

Investment Options Supplement

Investment Options Supplement

27 March 2024

Issued by i-Select Limited

This Investment Options Supplement is provided under the terms of an exemption that the i-Select Superannuation Scheme has from some of the provisions of the Financial Markets Conduct Act 2013 and Financial Markets Conduct Regulations 2014. You can find a copy of the exemption notice on FMA's website at www.fma.govt.nz/compliance/exemptions.



iSelect

SUPERANNUATION

Description of your investment options

A description of the investment options that are currently available to Members, and the estimated annual fund charges for those investment options, is set out in the table below.

| Annual Fund Charges | Up to 31 March 2024 | From 1 April 2024 |
|---------------------|---------------------|-------------------|
| Manager's fee | 0.50% | 0.50% |
| Scheme expenses* | 0.04% | 0.06% |
| Total | 0.54% | 0.56% |

*Scheme expenses include estimates of the Scheme's accountancy, audit and Supervisor's fees. These are re-estimated each year for the following year and may, therefore, change without notice.

Please note that:

- any changes to the investment options will only be updated quarterly; and
- any changes to the estimated total annual fund charges, other charges and any individual action fees in respect of each investment option will only be updated annually.
- further possible investment options are available for selection by a Member after consultation with their Adviser.

Custodian Brokerage Fees

Other charges

Bank fees may apply where the Manager has to move member funds between accounts, such as from the Scheme's trust account to the Custodian.

Brokerage Fees

Brokerage fees may apply to the following types of investments and will be deducted from your investment amount:

Investment type

| | Apex/ICSL | FNZ* | Hobson Wealth Custodian Limited |
|--------------------|---|--|---|
| NZX Shares | 0.35% min \$24.95 NZD plus Trade Fee \$4.50 | 0.30% min \$30 NZD* plus Trade Fee \$10 | 0.75% min \$100 NZD |
| NZX Fixed Interest | 0.25% min \$25 NZD | 0.25% min \$30 NZD* plus Trade Fee \$10 | 0.50% min \$100 NZD |
| ASX Shares | 0.40% min \$39.95 AUD | 0.30% min \$30 AUD* | 0.75% min \$100 AUD |
| ASX Fixed Interest | N/A | 0.25% min \$30 AUD* | 0.50% min \$100 AUD |
| US Shares | 0.30% min \$45 USD | 0.40% min \$50 USD* | 0.75% min \$100 USD |
| UK Shares | 0.60% min £25 (0.50% stamp duty on purchases) | 0.40% min £50* (0.50% stamp duty on purchases) | 0.75% min £100 (0.50% stamp duty on UK purchases) |

For transactions on securities in other markets, brokerage rates are available on request from your adviser

*This represents the maximum fee that will be charged by the platforms on FNZ.

Adviser Fees

As a member of the i-Select Superannuation Scheme you must appoint an Adviser to assist you in making decisions on the investments to be included in your Personal Plan. The service that the Adviser provides will be subject to a fee that is negotiated with you at the time of joining the Scheme and is deducted from your investment amount.

Example of how fees apply to an investor

John Smith invests \$10,000 in a number of investment options. He is charged brokerage when his funds are invested of \$40.00 (0.40% of \$10,000).

This brings the starting value of his investment to \$9,960.00.

He is also charged the management fee, which works out to \$49.80 (0.50% of \$9,960.00), and Scheme expenses of \$3.98 (0.04% of \$9,960.00). These fees might be more or less if his account balance has increased or decreased over the year and are dependent on the type of investments he has selected.

He is also charged an ongoing Adviser fee, which works out to \$99.60 (1% of \$9,960.00). These fees might be more or less if his account balance has increased or decreased over the year and are dependent on the type of investments he has selected.

Over the next year John pays other charges of \$15 being a bank charge to move funds.

Estimated total fees for the first year:

| | |
|------------------|-----------------|
| Brokerage: | \$40.00 |
| Management fee: | \$49.80 |
| Scheme expenses: | \$3.98 |
| Adviser fee: | \$99.60 |
| Other charges: | \$15.00 |
| Total: | \$208.38 |

If John's investment options include any funds, those funds may be subject additional fees (including performance fees) and expenses.

This example may not be representative of the actual fees you may be charged and reflects a mix of investment options at the maximum management fee rate.

Understanding the risk indicator

A risk indicator in relation to an investor's investment portfolio will be provided by an investor's adviser prior to any investments being made on behalf of the investor.

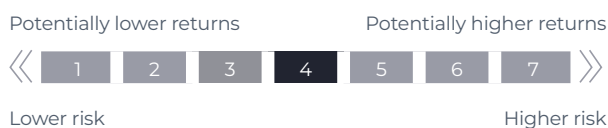
The risk indicator is rated from 1 (low) to 7 (high). The rating reflects how much the value of the fund's assets goes up and down (volatility). A higher risk generally means there is the potential for higher returns over time, but with the risk of higher losses, and there are likely to be more ups and downs along the way.

To help you clarify your own attitude to risk, you must seek financial advice from an adviser. In addition, you may work out your risk profile at <https://www.sorted.org.nz/tools/investor-kickstarter>.

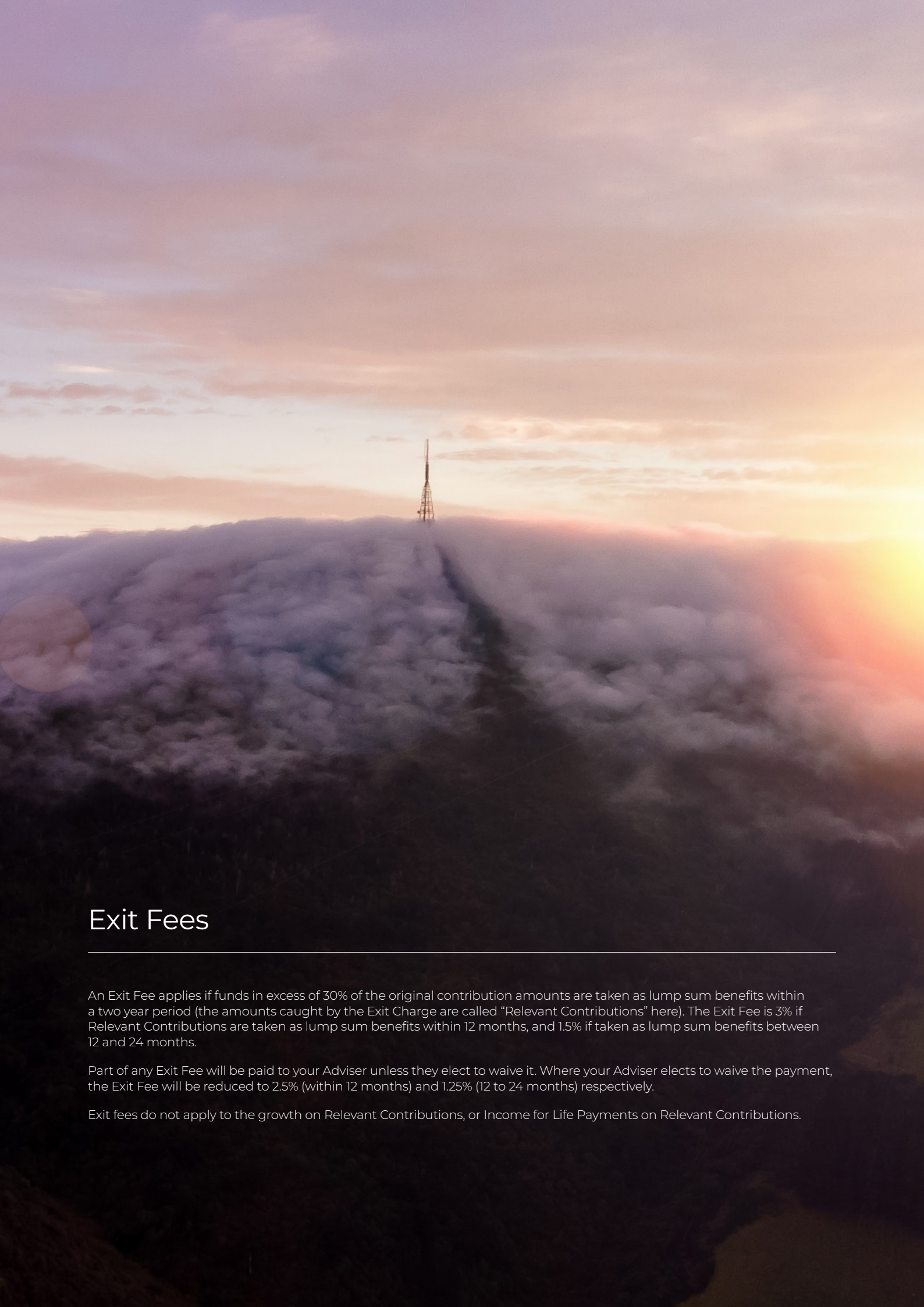
Note that even the lowest category does not mean a risk-free investment, and there are other risks that are not captured by this rating.

The risk indicator is not a guarantee of a Personal Plan's future performance. The risk indicator is based on the returns data for a five year time period. While risk indicators are usually relatively stable, they do shift from time to time.

Example risk indicator



See the **product disclosure statement (PDS)** for more information about the risks associated with investing in an investment portfolio.

A dramatic landscape at sunset or sunrise. A path of clouds leads from the foreground towards a distant tower on a horizon line. The sky is filled with soft, colorful clouds in shades of orange, pink, and purple. The sun is low on the right side, creating a bright glow and lens flare.

Exit Fees

An Exit Fee applies if funds in excess of 30% of the original contribution amounts are taken as lump sum benefits within a two year period (the amounts caught by the Exit Charge are called "Relevant Contributions" here). The Exit Fee is 3% if Relevant Contributions are taken as lump sum benefits within 12 months, and 1.5% if taken as lump sum benefits between 12 and 24 months.

Part of any Exit Fee will be paid to your Adviser unless they elect to waive it. Where your Adviser elects to waive the payment, the Exit Fee will be reduced to 2.5% (within 12 months) and 1.25% (12 to 24 months) respectively.

Exit fees do not apply to the growth on Relevant Contributions, or Income for Life Payments on Relevant Contributions.

Investment Options Supplement

Investment Options Supplement
27 March 2024



| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|-------------------------------------|---|---|---|---|---|
| 3i Infrastructure Ltd | 0.00% | Performance fee equal to 20% of the Company's total return in excess of 8%, payable in three equal annual instalments, with the 2nd and 3rd instalments only payable if the performance of the Company exceeds 8% in those years or is above the 8% hurdle over | 1.94% | 1.96% | https://www.3i-infrastructure.com/ | Our strategy is to maintain a balanced portfolio of infrastructure investments delivering an attractive mix of income yield and capital appreciation for our shareholders. |
| 3i Infrastructure Plc - GBP (3IN) (Cash Dist) | 0.00% | Performance fee equal to 20% of the Company's total return in excess of 8%, payable in three equal annual instalments, with the 2nd and 3rd instalments only payable if the performance of the Company exceeds 8% in those years or is above the 8% hurdle over | 1.94% | 1.96% | https://www.3i-infrastructure.com/ | Our strategy is to maintain a balanced portfolio of investments to deliver an attractive mix of income yield and capital appreciation for our shareholders. |
| Aberdeen Std BIm All Commodity Strategy K-1 Fr ETF | 0.00% | 0.00% | 0.80% | 0.82% | https://www.abrdn.com/ | The Fund seeks to provide total return designed to exceed the performance of the Bloomberg Commodities Index. Under normal market conditions, the Fund intends to invest in exchange-traded commodity futures contracts through a wholly-owned subsidiary of the Fund organized under the laws of the Cayman Islands. |
| abrdn AsianIncome Fund Limited | 0.00% | 0.00% | 1.77% | 1.79% | https://www.asian-income.co.uk/ | To provide investors with a total return primarily through investing in Asia Pacific securities, including those with an above average yield. Within its overall investment objective, the Company aims to grow its dividends over time. |
| Abdrn New India Investment Trust Plc - GBP (ANII) (Cash Dist) | 0.00% | 0.00% | 1.34% | 1.36% | https://www.hl.co.uk/ | To achieve long-term capital appreciation by investing in companies which are incorporated in India or which derive significant revenue or profit from India, with dividend yield from the company being of secondary importance. |
| abrdn Sustainable Emerging Opportunities | Buy Spread 0.22%, Sell Spread 0.22% | 0.00% | 1.53% | 1.55% | https://www.abrdn.com/ | To provide investors with high capital growth over the medium to long term (3-5 years) by seeking exposure to emerging stock markets worldwide or companies with significant activities in emerging markets. |
| ABRDN UK Smaller Co Growth Trust Plc- GBP (AUSC) (Cash Dist) | 0.00% | 0.00% | 1.49% | 1.51% | https://www.aberdeenstandard.com/ | Abdrn UK Smaller Cos Growth Trust PLC is an investment trust incorporated in the United Kingdom. The aim of the Fund is to achieve long-term capital growth by investment in UK quoted smaller companies. |
| Accenture Ltd | 0.00% | 0.00% | 0.54% | 0.56% | https://www.accenture.com | Accenture plc is an American-Irish multinational professional services company. |
| Adobe INC. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.adobe.com/investor-relations.html | As one of the largest and most diversified software companies in the world, Adobe empowers everyone — from individuals and small businesses to government agencies and global brands — to design and deliver exceptional digital experiences. Headquartered in San Jose, California, and with a diverse global population of more than 29,000 employees, we achieved revenue of US\$17.61 billion in fiscal year 2022. Our stock is traded on the Nasdaq under the symbol ADBE. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|-------------------------------------|---|---|---|---|---|
| AFT Pharmaceuticals Ltd - NZD (AFT) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.aftpharm.com/ | We develop, license, and sell pharmaceutical products in Australasia and around the world. Our commitment to innovation allows us to make a real difference to people's health. |
| AGL Energy Limited Fully Paid Shares - AUD (AGL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.agl.com.au | AGL Energy Limited sells and distributes gas and electricity. The Company retails and wholesales energy and fuel products to customers throughout Australia. |
| AGL Energy Ltd | 0.00% | 0.00% | 0.54% | 0.56% | https://www.agl.com.au | AGL Energy Limited sells and distributes gas and electricity. The Company retails and wholesales energy and fuel products to customers throughout Australia. |
| Air New Zealand Limited - NZD (AIR) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.airnewzealand.co.nz/ | Air New Zealand operates a global network that provides passenger and cargo services to, from and within New Zealand to approximately 17 million passengers a year. |
| Air New Zealand Limited @6.61% Mat 27/04/2028 - (AIR030) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.airnewzealand.co.nz/ | Air New Zealand operates a global network that provides passenger and cargo services to, from and within New Zealand to approximately 17 million passengers a year. |
| Airlie Australian Share Fund (W) (Cash Dist) | Buy Spread 0.14%, Sell Spread 0.14% | 0.00% | 1.32% | 1.34% | https://www.airlifundmanagement.com.au/funds/airlie-australian-share-fund/ | The Airlie Australian Share Fund provides an opportunity to access a highly experienced investment team with a proven track record of prudent, common sense investing. |
| Albemarle Corp. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.albemarle.com/ | Albemarle Corporation is a specialty chemicals manufacturing company based in Charlotte, North Carolina. It operates 3 divisions: lithium, bromine specialties and catalysts. As of 2020, Albemarle was the largest provider of lithium for electric vehicle batteries. |
| Alceon Australian Property Fund | Buy Spread 0.25%, Sell Spread 0.25% | 0.00% | 1.10% | 1.12% | https://alceonre.com.au/alceon-australian-property-fund# | The Alceon Australian Property Fund aims to provide investors with a combined return of recurring income, derived from either long term rental leases or a contracted nature, and enhanced returns from selected value add and development assets with some gearing. |
| Alibaba Group Holding Ltd | 0.00% | 0.00% | 0.54% | 0.56% | https://www.alibabagroup.com/ | Alibaba Group Holding Limited operates as a holding company. The Company provides internet infrastructure, e-commerce, online financial, and internet content services through its subsidiaries. |
| Alibaba Group Holdings - USD (BABA) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.alibabagroup.com/ | Alibaba Group Holding Limited operates as a holding company. The Company provides internet infrastructure, e-commerce, online financial, and internet content services through its subsidiaries. |
| Alibaba Group Holdings Limited (ADR) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.alibabagroup.com/ | Alibaba Group Holding Limited operates as a holding company. The Company provides internet infrastructure, e-commerce, online financial, and internet content services through its subsidiaries. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|-------------------------------------|---|---|---|---|--|
| Allan Gray Australia Equity A | Buy Spread 0.20%, Sell Spread 0.20% | 0.55% of the net asset value of the Class. A performance fee accrues at a rate of 20.5% of the Fund's outperformance, net of the management fees and costs, in comparison to its Benchmark. A performance fee is only payable where the Fund's outperformance e | 1.29% | 1.31% | https://www.allangray.com.au/ | The Fund seeks to provide a long term return that exceeds the S&P/ASX 300 Accumulation Index (Benchmark). |
| Allan Gray Australia Equity B | Buy Spread 0.20%, Sell Spread 0.20% | 1.05% of the net asset value of the Class. A performance fee accrues at a rate of 35.88% of the Fund's outperformance in comparison to its Benchmark. A performance fee is only payable where the Fund's outperformance exceeds the high water mark, which rep | 1.29% | 1.31% | https://www.allangray.com.au/ | The Fund seeks to provide a long term return that exceeds the S&P/ASX 300 Accumulation Index (Benchmark). |
| Allan Gray Australia Equity Fund - Class B (W) (Cash Dist) | Buy Spread 0.20%, Sell Spread 0.20% | 1.05% of the net asset value of the Class. A performance fee accrues at a rate of 35.88% of the Fund's outperformance in comparison to its Benchmark. A performance fee is only payable where the Fund's outperformance exceeds the high water mark, which rep | 1.29% | 1.31% | https://www.allangray.com.au/ | The Fund seeks to provide a long term return that exceeds the S&P/ASX 300 Accumulation Index (Benchmark). |
| Alliance Trust Plc. | 0.00% | 0.00% | 1.53% | 1.55% | https://www.alliancetrust.co.uk/ | Alliance Trust aims to be a core equity holding for investors that delivers a real return Alliance Trust aims to be a core equity holding for investors that delivers a real return over the long term through a combination of capital growth and a rising dividend. |
| Allianz Technology Trust PLC | 0.00% | 10% of outperformance against the benchmark, after adjusting for changes in share capital and will be capped at 1.75% of the Company's average daily NAV over the relevant year. | 1.24% | 1.26% | https://www.allianztechnologytrust.com/ | The Trust's objective is to achieve long-term capital growth by investing principally in the equity securities of quoted technology companies on a worldwide basis. |
| Allianz Technology Trust Plc - GBP (ATT) (Cash Dist) | 0.00% | 10% of outperformance against the benchmark, after adjusting for changes in share capital and will be capped at 1.75% of the Company's average daily NAV over the relevant year. | 1.24% | 1.26% | https://www.allianztechnologytrust.com/ | The Trust's objective is to achieve long-term capital growth by investing principally in the equity securities of quoted technology companies on a worldwide basis. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| Alpha Group International Plc - GBP (ALPH) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.alphagroup.com/ | At Alpha we combine expert consultancy with cutting-edge technologies to deliver enhanced financial solutions to corporates and institutions operating internationally. As a team, we pride ourselves on making a meaningful and lasting difference to our clients across three key areas: FX Risk Management, Global Accounts and Payments. |
| Alphabet Inc - Class A | 0.00% | 0.00% | 0.54% | 0.56% | https://abc.xyz/ | Alphabet Inc. is a holding company. The Company holds interests in Google Inc. (Google). The Company's segments include Google and Other Bets. Google segment includes Internet products, such as Search, Ads, Commerce, Maps, YouTube, Apps, Cloud, Android, Chrome, Google Play, and hardware products, including Chromecast, Chromebooks and Nexus, which are sold by the Company. Its technical infrastructure and Virtual Reality are also included in Google segment. |
| Alphabet Inc - Class C | 0.00% | 0.00% | 0.54% | 0.56% | https://abc.xyz/ | Alphabet Inc. is a holding company. The Company holds interests in Google Inc. (Google). The Company's segments include Google and Other Bets. Google segment includes Internet products, such as Search, Ads, Commerce, Maps, YouTube, Apps, Cloud, Android, Chrome, Google Play, and hardware products, including Chromecast, Chromebooks and Nexus, which are sold by the Company. Its technical infrastructure and Virtual Reality are also included in Google segment. |
| Alphabet Inc - Class C | 0.00% | 0.00% | 0.54% | 0.56% | https://abc.xyz/ | Alphabet Inc. is a holding company. The Company holds interests in Google Inc. (Google). The Company's segments include Google and Other Bets. Google segment includes Internet products, such as Search, Ads, Commerce, Maps, YouTube, Apps, Cloud, Android, Chrome, Google Play, and hardware products, including Chromecast, Chromebooks and Nexus, which are sold by the Company. Its technical infrastructure and Virtual Reality are also included in Google segment. |
| Alphabet Inc Class A - USD (GOOGL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://abc.xyz/ | Alphabet Inc. is a holding company. The Company holds interests in Google Inc. (Google). The Company's segments include Google and Other Bets. Google segment includes Internet products, such as Search, Ads, Commerce, Maps, YouTube, Apps, Cloud, Android, Chrome, Google Play, and hardware products, including Chromecast, Chromebooks and Nexus, which are sold by the Company. Its technical infrastructure and Virtual Reality are also included in Google segment. |
| Amazon.Com Inc | 0.00% | 0.00% | 0.54% | 0.56% | https://www.amazon.com | Amazon.com, Inc. offers a range of products and services through its Websites. The Company's products include merchandise and content that it purchases for resale from vendors and those offered by third-party sellers. The Company has three segments: North America, International and Amazon Web Services (AWS). |
| Amazon.com Inc - USD (AMZN) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.amazon.com | Amazon.com, Inc. offers a range of products and services through its Websites. The Company's products include merchandise and content that it purchases for resale from vendors and those offered by third-party sellers. The Company has three segments: North America, International and Amazon Web Services (AWS). |
| Amazon.com INC. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.amazon.com | Amazon.com, Inc. offers a range of products and services through its Websites. The Company's products include merchandise and content that it purchases for resale from vendors and those offered by third-party sellers. The Company has three segments: North America, International and Amazon Web Services (AWS). |

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|---|-------------------------------------|---|---|---|---|--|
| Amcor PLC - AUD (AMC) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.amcor.com | Amcor is a global leader in responsible global packaging solutions supplying a broad range of rigid & flexible packaging products into the food, beverage, healthcare, home and personal care and tobacco packaging industries. |
| Amcor Plc. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.amcor.com | Amcor is a global leader in responsible global packaging solutions supplying a broad range of rigid & flexible packaging products into the food, beverage, healthcare, home and personal care and tobacco packaging industries. |
| Anglo American plc - GBP (AAL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.angloamerican.com/ | Anglo American plc is a British listed multinational mining company with headquarters in London, England. It is the world's largest producer of platinum, with around 40% of world output, as well as being a major producer of diamonds, copper, nickel, iron ore and metallurgical and thermal coal |
| Anglo American Plc. | 0.00% | 0.00% | 0.54% | 0.56% | http://www.angloamerican.com/ | Anglo American plc is a British listed multinational mining company with headquarters in London, England. It is the world's largest producer of platinum, with around 40% of world output, as well as being a major producer of diamonds, copper, nickel, iron ore and metallurgical and thermal coal |
| Antipodes Global Fund - Class P | Buy Spread 0.30%, Sell Spread 0.30% | 15% of the difference in the Fund's return (net of management fees) relative to its benchmark return multiplied by the net asset value of the Fund. | 1.74% | 1.76% | https://www.antipodespartners.com/ | The fund features a very flexible approach to investing in global shares with the aim of generating attractive long-term returns while maintaining an emphasis on capital preservation. The strategy gives us the ability to profit from both rising, as well as falling, share prices. The fund's exposure to foreign currencies is actively managed with the aim of mitigating risk while enhancing returns. |
| Antipodes Global Fund - Long - PIE | Buy Spread 0.30%, Sell Spread 0.30% | 15% of the difference in the Fund's return (net of management fees) relative to its benchmark return multiplied by the net asset value of the Fund. | 1.74% | 1.76% | https://www.antipodespartners.com/ | The fund features a very flexible approach to investing in global shares with the aim of generating attractive long-term returns while maintaining an emphasis on capital preservation. The strategy gives us the ability to profit from both rising, as well as falling, share prices. The fund's exposure to foreign currencies is actively managed with the aim of mitigating risk while enhancing returns. |
| Antipodes Global Fund - Long (PIE) (Cash Dist) | Buy Spread 0.30%, Sell Spread 0.30% | 15% of the difference in the Fund's return (net of management fees) relative to its benchmark return multiplied by the net asset value of the Fund. | 1.74% | 1.76% | https://www.antipodespartners.com/ | The fund features a very flexible approach to investing in global shares with the aim of generating attractive long-term returns while maintaining an emphasis on capital preservation. The strategy gives us the ability to profit from both rising, as well as falling, share prices. The fund's exposure to foreign currencies is actively managed with the aim of mitigating risk while enhancing returns. |
| Antipodes Global Fund - Long Only (W) (Cash Dist) | Buy Spread 0.30%, Sell Spread 0.30% | 15% of the difference in the Fund's return (net of management fees) relative to its benchmark return multiplied by the net asset value of the Fund. | 1.74% | 1.76% | https://www.antipodespartners.com/ | The fund features a very flexible approach to investing in global shares with the aim of generating attractive long-term returns while maintaining an emphasis on capital preservation. The strategy gives us the ability to profit from both rising, as well as falling, share prices. The fund's exposure to foreign currencies is actively managed with the aim of mitigating risk while enhancing returns. |
| Antipodes Global Fund (PIE) (Cash Dist) | Buy Spread 0.30%, Sell Spread 0.30% | 15% of the difference in the Fund's return (net of management fees) relative to its benchmark return multiplied by the net asset value of the Fund. | 1.74% | 1.76% | https://www.antipodespartners.com/ | The fund features a very flexible approach to investing in global shares with the aim of generating attractive long-term returns while maintaining an emphasis on capital preservation. The strategy gives us the ability to profit from both rising, as well as falling, share prices. The fund's exposure to foreign currencies is actively managed with the aim of mitigating risk while enhancing returns. |

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|--|-------------------------------------|---|---|---|---|--|
| Antipodes Global Fund (W) (Class P) (Cash Dist) | Buy Spread 0.30%, Sell Spread 0.30% | 15% of the difference in the Fund's return (net of management fees) relative to its benchmark return multiplied by the net asset value of the Fund. | 1.74% | 1.76% | https://www.antipodespartners.com/ | The fund features a very flexible approach to investing in global shares with the aim of generating attractive long-term returns while maintaining an emphasis on capital preservation. The strategy gives us the ability to profit from both rising, as well as falling, share prices. The fund's exposure to foreign currencies is actively managed with the aim of mitigating risk while enhancing returns. |
| ANZ Bank New Zealand Bonds - 2.999% - Mat 17/09/2031 - Callable 17/09/2026 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank New Zealand Limited @ 6.95% - Call 18/07/2028 - NZD (ANBHC) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank New Zealand Limited 5.22% 16/02/2028 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank New Zealand Ltd - 6.95% - Perpetual - Optional Redemption 18/07/2028 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank New Zealand Ltd (10,000.00; 4.35%; 24 Months; 20/09/2024) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank New Zealand Ltd (10,000.00; 5.75%; 24 Months; 29/09/2025) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank New Zealand Ltd (10,000.00; 6.00%; 12 Months; 19/09/2024) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank New Zealand Ltd (12,000.00; 5.30%; 24 Months; 28/04/2025) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank New Zealand Ltd (12,000.00; 5.55%; 24 Months; 20/02/2026) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank New Zealand Ltd (12,500.00; 5.55%; 24 Months; 20/02/2026) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank New Zealand Ltd (123,500.00; 6.00%; 12 Months; 19/08/2024) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank New Zealand Ltd (20,000.00; 5.35%; 24 Months; 20/01/2025) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|-------------------------------------|---|---|---|---|--|
| ANZ Bank New Zealand Ltd (20,000.00; 5.40%; 18 Months; 27/06/2025) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank New Zealand Ltd (20,000.00; 5.80%; 12 Months; 22/04/2024) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank New Zealand Ltd (50,000.00; 5.80%; 12 Months; 22/04/2024) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank NZ Ltd @ 5.22% Mat 16/02/2028 - NZD (ANB180) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank NZ Ltd Unsecured @ 2.999% Mat 17/09/2031 - (ANB170) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank Term Deposit (Quarterly) 6.05% 24/09/2024 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank Term Deposit 5.45% 19/12/2024 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Bank Term Deposit 5.80% 04/06/2024 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| ANZ Group Holdings Limited - AUD (ANZ) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ is one of New Zealand's leading banking and financial services groups. More than one million New Zealanders bank with ANZ. |
| APA Group Limited - AUD (APA) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.apa.com.au/ | APA Group is a natural gas infrastructure company. The Company owns and or operates gas transmission and distribution assets whose pipelines span every state and territory in mainland Australia. |
| APN AREIT PIE Fund (PIE) (Cash Dist) | Buy Spread 0.25%, Sell Spread 0.25% | 0.00% | 1.64% | 1.66% | https://apngroup.com.au/ | The APN AREIT PIE Fund provides exposure to a diversified portfolio of Australian commercial property. The Fund invests in listed property, predominantly Australian AREIT shares held through an Australian unit trust. |
| Apostle Dundas Global Equity Class C | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 1.44% | 1.46% | https://www.apostlefm.com.au | To deliver long term dividend growth and capital appreciation. The performance target is to exceed the MSCI All Country World ex Australia Index by 2.5% p.a. after fees on a rolling five year basis. |
| Apostle Dundas Global Equity Fund - Class C Units (W) - XR (Cash Dist) | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 1.44% | 1.46% | https://www.apostlefm.com.au | To deliver long term dividend growth and capital appreciation. The performance target is to exceed the MSCI All Country World ex Australia Index by 2.5% p.a. after fees on a rolling five year basis. |
| Apostle Dundas Global Equity Fund - Class C Units (W) (Cash Dist) | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 1.44% | 1.46% | https://www.apostlefm.com.au | To deliver long term dividend growth and capital appreciation. The performance target is to exceed the MSCI All Country World ex Australia Index by 2.5% p.a. after fees on a rolling five year basis. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|---|
| Apple Inc | 0.00% | 0.00% | 0.54% | 0.56% | http://www.apple.com/ | Apple Inc, designs, manufactures and markets mobile communication and media devices, personal computers and portable digital music players. The Company sells a range of related software, services, accessories, networking solutions and third-party digital content and applications. The Company sells and delivers digital content and applications through the iTunes Store, App Store, Mac App Store, television APP Store, iBooks Store and Apple Music (collectively Internet Services). |
| Apple Inc - USD (AAPL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.apple.com/ | Apple Inc, designs, manufactures and markets mobile communication and media devices, personal computers and portable digital music players. The Company sells a range of related software, services, accessories, networking solutions and third-party digital content and applications. The Company sells and delivers digital content and applications through the iTunes Store, App Store, Mac App Store, television APP Store, iBooks Store and Apple Music (collectively Internet Services). |
| Apple INC. | 0.00% | 0.00% | 0.54% | 0.56% | http://www.apple.com/ | Apple Inc, designs, manufactures and markets mobile communication and media devices, personal computers and portable digital music players. The Company sells a range of related software, services, accessories, networking solutions and third-party digital content and applications. The Company sells and delivers digital content and applications through the iTunes Store, App Store, Mac App Store, television APP Store, iBooks Store and Apple Music (collectively Internet Services). |
| Applied Materials INC. | 0.00% | 0.00% | 0.54% | 0.56% | https://ir.appliedmaterials.com/ | We are the leader in materials engineering solutions used to produce virtually every new chip and advanced display in the world. |
| Argo Global Listed Infrastructure Limited - AUD (ALI) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.argostructure.com.au/ | Argo Global Listed Infrastructure Limited is an ASX listed investment company which manages a portfolio of global listed securities in the infrastructure sector. The sector is diversified by geography and infrastructure sub-sectors, including airports, water, pipelines, railways, ports, satellites, toll roads, telecommunications towers and electricity, gas and renewable energy utilities. |
| Argosy Property Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.argosy.co.nz | Argosy Property Limited invests in and manages retail, commercial and industrial properties in New Zealand. |
| Argosy Property Limited - NZD (ARG) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.argosy.co.nz | Argosy Property Limited invests in and manages retail, commercial and industrial properties in New Zealand. |
| Argosy Property Limited Green Bonds - 4.00% - Mat 27/03/2026 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.argosy.co.nz | Argosy Property Limited invests in and manages retail, commercial and industrial properties in New Zealand. |
| Argosy Property Limited Green Bonds @ 4.00% Mat 27/03/2026 - NZD (ARG010) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.argosy.co.nz | Argosy Property Limited invests in and manages retail, commercial and industrial properties in New Zealand. |
| Aristocrat Leisure Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.aristocrat.com/ | Aristocrat Leisure Limited manufactures and sells gaming machines in Australia and internationally. The Company also supplies gaming systems, software, table gaming equipment and other gaming related products and services to casinos, clubs and hotels. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|--|
| Aristocrat Leisure Limited - AUD (ALL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.aristocrat.com/ | Aristocrat Leisure Limited manufactures and sells gaming machines in Australia and internationally. The Company also supplies gaming systems, software, table gaming equipment and other gaming related products and services to casinos, clubs and hotels. |
| ARK Innovation ETF | 0.00% | 0.00% | 1.29% | 1.31% | https://ark-funds.com | ARKK is an actively managed ETF that seeks long-term growth of capital by investing under normal circumstances primarily (at least 65% of its assets) in domestic and U.S. exchange-traded foreign equity securities of companies that are relevant to the Fund's investment theme of disruptive innovation. |
| ARK Innovation ETF - USD (ARKK) (Cash Dist) | 0.00% | 0.00% | 1.29% | 1.31% | https://ark-funds.com | ARKK is an actively managed ETF that seeks long-term growth of capital by investing under normal circumstances primarily (at least 65% of its assets) in domestic and U.S. exchange-traded foreign equity securities of companies that are relevant to the Fund's investment theme of disruptive innovation. |
| ARM Holdings PLC | 0.00% | 0.00% | 0.54% | 0.56% | https://www.arm.com/ | Born more than 30 years ago with the goal of designing a computer intended to run on a battery, Arm's DNA is built around power-efficient CPUs. Today, Arm compute platforms are the most power-efficient on the planet and continue to push the thresholds of performance to enable the next generation of smart, AI-capable, visually immersive, and increasingly autonomous experiences on everything from the tiniest sensors to the smartphone to the automobile and to the datacenter. This unique combination of performance and efficiency enabled Arm to change the world by fueling the smartphone revolution - and it's the reason Arm will power every technology revolution moving forward. |
| Arvida Group Limited - NZD (ARV) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.arvida.co.nz | Arvida Group is an aged care and retirement sector operator with a 'needs based' portfolio providing a full continuum of care. It has 29 villages with 1,761 aged care beds and 1,752 units, with the majority of these villages offering both independent living and aged care options. Although the portfolio is predominantly older villages, they are well established, well maintained and are generating solid cash flows. |
| Arvida Group Limited @2.87% Mat 22/02/2028 - NZD (ARV010) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.arvida.co.nz | Arvida Group is an aged care and retirement sector operator with a 'needs based' portfolio providing a full continuum of care. It has 29 villages with 1,761 aged care beds and 1,752 units, with the majority of these villages offering both independent living and aged care options. Although the portfolio is predominantly older villages, they are well established, well maintained and are generating solid cash flows. |
| Arvida Group Limited Bond - 2.87% - Mat 22/02/2028 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.arvida.co.nz | Arvida Group is an aged care and retirement sector operator with a 'needs based' portfolio providing a full continuum of care. It has 29 villages with 1,761 aged care beds and 1,752 units, with the majority of these villages offering both independent living and aged care options. Although the portfolio is predominantly older villages, they are well established, well maintained and are generating solid cash flows. |
| Arvida Group Ltd | 0.00% | 0.00% | 0.54% | 0.56% | https://www.arvida.co.nz | Arvida Group is an aged care and retirement sector operator with a 'needs based' portfolio providing a full continuum of care. It has 29 villages with 1,761 aged care beds and 1,752 units, with the majority of these villages offering both independent living and aged care options. Although the portfolio is predominantly older villages, they are well established, well maintained and are generating solid cash flows. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|--|---|---|---|---|
| ASB Bank Limited - 5.524% - Mat 21/06/2027 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.asb.co.nz | ASB strives to consistently provide its customers with outstanding service and innovative financial solutions. We're dedicated to providing simple financial products that allow our customers to bank with us how and when they want. |
| ASB Bank Limited Fixed Rate Note @ 5.524% Mat 21/06/2027 - NZD (ABB100) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.asb.co.nz | ASB strives to consistently provide its customers with outstanding service and innovative financial solutions. We're dedicated to providing simple financial products that allow our customers to bank with us how and when they want. |
| ASB Bank Limited Fixed Rate Note @ 5.928% Mat 16/11/2026 - NZD (ABB110) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.asb.co.nz | ASB strives to consistently provide its customers with outstanding service and innovative financial solutions. We're dedicated to providing simple financial products that allow our customers to bank with us how and when they want. |
| ASB Capital 5.524% 21/06/2027 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.asb.co.nz | ASB strives to consistently provide its customers with outstanding service and innovative financial solutions. We're dedicated to providing simple financial products that allow our customers to bank with us how and when they want. |
| ASB Capital 5.928% 16/11/2026 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.asb.co.nz | ASB strives to consistently provide its customers with outstanding service and innovative financial solutions. We're dedicated to providing simple financial products that allow our customers to bank with us how and when they want. |
| Asia Dragon Trust Plc - GBP (DGN) (Cash Dist) | 0.00% | 0.00% | 1.29% | 1.31% | https://www.asiadragnetrust.co.uk/en-gb | To achieve long term capital growth through investment in Asia. The company's |
| ASML Holding N.V. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.asml.com/ | ASML is an innovation leader in the semiconductor industry. We provide chipmakers with everything they need – hardware, software and services – to mass produce patterns on silicon through lithography. |
| Aspiring Asset Management Aspiring Fund | 0.00% | 10% of excess performance over 90 day bank bill rate | 1.54% | 1.56% | https://www.aaml.co.nz/ | The Fund principally invests in Australasian and Globally listed Equities, although it may invest in any asset class (including investments in unlisted entities, underwriting arrangements, debt securities, and property). |
| Aspiring Fund (PIE) | 0.00% | 10% of excess performance over 90 day bank bill rate | 1.54% | 1.56% | https://www.aaml.co.nz/ | The Fund principally invests in Australasian and Globally listed Equities, although it may invest in any asset class (including investments in unlisted entities, underwriting arrangements, debt securities, and property). |
| AT&T Inc. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.att.com/ | AT&T Inc. is an American multinational conglomerate holding company, Delaware-registered but headquartered at Whitacre Tower in Downtown Dallas, Texas. It is the world's largest telecommunications company, the largest provider of mobile telephone services, and the largest provider of fixed telephone services in the United States through AT&T Communications. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|--|
| Auckland Council Fixed Rate Green Bonds @ 2.411% Mat 20/10/2027 - (AKC140) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.aucklandcouncil.govt.nz | Funds raised through green bonds can only be used to fund projects that will benefit the environment. Auckland Council's Green Bond Framework has identified the eligible sectors for investment as follows: <ul style="list-style-type: none"> - Renewable energy - Energy efficiency - Efficient buildings - Waste management - Sustainable land use - Low-carbon transportation - Climate change adaptation - Sustainable water management |
| Auckland International Airport @ 5.29% Mat 17/11/2028 - NZD (AIA260) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.aucklandairport.co.nz | Auckland Airport is the major connection between the world and "the land of the long white cloud". It is where New Zealand touches the world - welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed. |
| Auckland International Airport @ 6.22% Mat 02/11/2029 - NZD (AIA270) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.aucklandairport.co.nz | Auckland Airport is the major connection between the world and "the land of the long white cloud". It is where New Zealand touches the world - welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed. |
| Auckland International Airport @ 3.29% Mat 17/11/2026 - (AIA240) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.aucklandairport.co.nz | Auckland Airport is the major connection between the world and "the land of the long white cloud". It is where New Zealand touches the world - welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed. |
| Auckland International Airport @ 3.51% Mat 10/10/2024 - (AIA230) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.aucklandairport.co.nz | Auckland Airport is the major connection between the world and "the land of the long white cloud". It is where New Zealand touches the world - welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed. |
| Auckland International Airport Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.aucklandairport.co.nz | Auckland Airport is the major connection between the world and "the land of the long white cloud". It is where New Zealand touches the world - welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|---|
| Auckland International Airport Limited - NZD (AIA) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.aucklandairport.co.nz | Auckland Airport is the major connection between the world and "the land of the long white cloud". It is where New Zealand touches the world - welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed. |
| Auckland International Airport Limited Bonds - 5.67% - Mat 09/05/2028 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.aucklandairport.co.nz | Auckland Airport is the major connection between the world and "the land of the long white cloud". It is where New Zealand touches the world - welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed. |
| Auckland Intl Airport 5.67% 09/05/2028 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.aucklandairport.co.nz | Auckland Airport is the major connection between the world and "the land of the long white cloud". It is where New Zealand touches the world - welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed. |
| Audley Funding PLC 12.00% 27/02/2022 - Bankrupt | 0.00% | 0.00% | 0.54% | 0.56% | https://audleyfunding.com/ | Audley Funding Plc provides financial services in Great Britain. |
| Aurora Cannabis Inc - NZD (ACB - CAD) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.auroramj.com/ | Aurora Cannabis Inc. through operates in the medical marijuana industry. The Company, through its subsidiaries, is a licensed producer of medical marijuana, and is an active participant in the Cannabis market. |
| Australia and New Zealand Banking Group Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.anz.co.nz | ANZ Private offers a superb combination of global reach and local knowledge and experience. <ul style="list-style-type: none"> • Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information. • Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment. |
| Australian Foundation Investment Company Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.afi.com.au/ | Australian Foundation Investment Company is a listed investment company that specialises in investing in Australian equities. We have been investing in Australian companies for 90 years and we are Australia's largest LIC. We aim to provide shareholders with attractive investment returns through access to a steady stream of fully franked dividends and medium-to-long term capital growth at a very low cost. |

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|--|-------------------------------------|--|---|---|---|---|
| Australian Foundation Investment Company Ltd - AUD (AFI) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.afi.com.au/ | Australian Foundation Investment Company is a listed investment company that specialises in investing in Australian equities. We have been investing in Australian companies for 90 years and we are Australia's largest LIC. We aim to provide shareholders with attractive investment returns through access to a steady stream of fully franked dividends and medium-to-long term capital growth at a very low cost. |
| Australian Small and Mid-Cap Fund | Buy Spread 0.30%, Sell Spread 0.30% | An average of 0.76% per annum of the NAV of the Fund over the 3 years to 30 June 2022. | 1.73% | 1.75% | https://www.specialisedprivatecapital.com.au/ | Aim to provide investors with a total investment return (after fees) that outperforms the benchmark over periods of seven years or longer. |
| Avantis International Small Cap Value ETF | 0.00% | 0.00% | 0.90% | 0.92% | https://www.avantisinvestors.com | Invests in a broad set of non-U.S. developed small-cap companies and is designed to increase expected returns by focusing on firms believed to be trading at low valuations with higher profitability ratios. |
| Avantis U.S. Small Cap Value ETF | 0.00% | 0.00% | 0.79% | 0.81% | https://www.avantisinvestors.com | Invests in a broad set of U.S. small-cap companies and is designed to increase expected returns by focusing on firms trading at what we believe are low valuations with higher profitability ratios |
| AXA IM Sustainable Equity | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 0.89% | 0.91% | https://www.axa-im.com.au/ | To seek to achieve long-term growth above that of the MSCI World Total Return Net Index (the "Benchmark"), with lower volatility and a sustainable investment objective that seeks significantly improved ESG metrics and lower carbon intensity than the Benchmark, by using a socially responsible investment approach. |
| BAE Systems Plc. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.baesystems.com/en/home | At BAE Systems, we provide some of the world's most advanced, technology-led defence, aerospace and security solutions. We employ a skilled workforce of around 100,000 people in more than 40 countries. Working with customers and local partners, we develop, engineer, manufacture, and support products and systems to deliver military capability, protect national security and people, and keep critical information and infrastructure secure. |
| Baillie Gifford China Growth Trust Plc - GBP (BCCG) (Cash Dist) | 0.00% | 0.00% | 1.48% | 1.50% | https://www.bailliegifford.com/ | The Trust aims to produce long term capital growth by investing in an actively managed portfolio of Chinese companies. |
| Baillie Gifford Japan Trust Plc - GBP (BGFD) (Cash Dist) | 0.00% | 0.00% | 1.21% | 1.23% | https://www.bailliegifford.com/ | The Baillie Gifford Japan Trust aims to pursue long-term capital growth principally through investment in medium to smaller sized Japanese companies, which are believed to have above average prospects for growth. |
| Baillie Gifford Shin Nippon plc - GBP (BGS) (Cash Dist) | 0.00% | 0.00% | 1.28% | 1.30% | https://www.bailliegifford.com/ | Baillie Gifford Shin Nippon aims to achieve long-term capital growth principally through investment in small Japanese companies which are believed to have above average prospects for capital growth. |
| Bank of China (New Zealand) @ 6.89% Mat 15/05/2026 - NZD (BOC0526) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.bankofchina.com/nz/ | The retail bond is a medium-term investment product issued by Bank of China (New Zealand) Limited (hereinafter referred to as BOC New Zealand) for a period of three to five years. |
| Bank of New Zealand - 7.30% - Perpetual - Optional Redemption 14/06/2029 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bnz.co.nz | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| Bank of New Zealand (123,000.00; 5.90%; 1 Year; 17/08/2024) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bnz.co.nz | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861. |
| Bank of New Zealand (20,000.00; 5.70%; 1 Year; 20/04/2024) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bnz.co.nz | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861. |
| Bank of New Zealand (23,000.00; 5.50%; 3 Years; 20/12/2026) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bnz.co.nz | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861. |
| Bank of New Zealand (23,000.00; 6.00%; 2 Years; 20/12/2025) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bnz.co.nz | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861. |
| Bank of New Zealand (50,000.00; 5.70%; 1 Year; 20/04/2024) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bnz.co.nz | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861. |
| Bank of New Zealand @ 5.536% Mat 25/05/2028 - NZD (BNZ170) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bnz.co.nz | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861. |
| Bank of New Zealand 5.536% 25/05/2028 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bnz.co.nz | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861. |
| Bank of New Zealand Bonds - 4.985% - Mat 07/06/2027 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bnz.co.nz | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861. |
| Bank of New Zealand Bonds - 5.872% - Mat 01/09/2028 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bnz.co.nz | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861. |
| Bank Of New Zealand Limited Pref Share @7.3% - NZD (BNZHA) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bnz.co.nz | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861. |
| Bank of New Zealand Perp 7.30% 14/06/2029 (R) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bnz.co.nz | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861. |
| Bankers Investment Trust | 0.00% | 0.00% | 0.99% | 1.01% | https://www.janushenderson.com/ | A growth and income trust with a diversified portfolio of mainly UK equities and a strong dividend track record. |
| Bankers Investment Trust Plc- GBP (BNKR) (Cash Dist) | 0.00% | 0.00% | 0.99% | 1.01% | https://www.janushenderson.com/ | A growth and income trust with a diversified portfolio of mainly UK equities and a strong dividend track record. |
| Barclays PLC - GBP (BARC) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://home.barclays | Barclays PLC is a global financial services provider engaged in retail banking, credit cards, wholesale banking, investment banking, wealth management, and investment management services. |

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|---|-------------------------------------|---|---|---|---|--|
| Bausch Health Companies Inc - USD (BHC) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bauschhealth.com | Bausch Health Companies Inc, formerly Valeant Pharmaceuticals International Inc, is a Canada-based company that develops, manufactures and markets a range of branded and generic pharmaceuticals, medical devices and over-the-counter products. |
| Bennelong Concentrated Australian Eq | Buy Spread 0.20%, Sell Spread 0.20% | 15% of any amount by which the Fund's return is more than 2% greater than the return generated by the S&P/ASX 300 Accumulation Index. | 1.43% | 1.45% | https://www.baep.com.au/ | The Bennelong Concentrated Australian Equities Fund is a portfolio of BAEP's best high-conviction picks. It aims to grow investment value over the long term via a combination of capital growth and income, by investing in a diversified portfolio of Australian shares. It typically holds 20-35 stocks, primarily from the S&P/ASX 300 Accumulation Index. |
| Bennelong Concentrated Australian Equities Fund (W) (Cash Dist) | Buy Spread 0.20%, Sell Spread 0.20% | 15% of any amount by which the Fund's return is more than 2% greater than the return generated by the S&P/ASX 300 Accumulation Index. | 1.43% | 1.45% | https://www.baep.com.au/ | The Bennelong Concentrated Australian Equities Fund is a portfolio of BAEP's best high-conviction picks. It aims to grow investment value over the long term via a combination of capital growth and income, by investing in a diversified portfolio of Australian shares. It typically holds 20-35 stocks, primarily from the S&P/ASX 300 Accumulation Index. |
| Bentham Global Income | Buy Spread 0.31%, Sell Spread 0.31% | 0.00% | 1.26% | 1.28% | https://www.benthamam.com.au/ | The Bentham Global Income Fund is actively managed and focused on generating stable investment income. The Fund provides diversified exposure to domestic and global credit markets. |
| Bentham Global Income Fund - AUD (W) (Cash Dist) | Buy Spread 0.31%, Sell Spread 0.31% | 0.00% | 1.26% | 1.28% | https://www.benthamam.com.au/ | The Bentham Global Income Fund is actively managed and focused on generating stable investment income. The Fund provides diversified exposure to domestic and global credit markets. |
| Bentham Global Income Fund - NZD (W) (Cash Dist) | Buy Spread 0.31%, Sell Spread 0.31% | 0.00% | 1.26% | 1.28% | https://www.benthamam.com.au/ | The Bentham Global Income Fund is actively managed and focused on generating stable investment income. The Fund provides diversified exposure to domestic and global credit markets. |
| Bentham Global Income Fund NZD | Buy Spread 0.31%, Sell Spread 0.31% | 0.00% | 1.26% | 1.28% | https://www.benthamam.com.au/ | The Bentham Global Income Fund is actively managed and focused on generating stable investment income. The Fund provides diversified exposure to domestic and global credit markets. |
| Bentham Global Income PIE Fund (PIE) (Cash Dist) | Buy Spread 0.31%, Sell Spread 0.31% | 0.00% | 1.26% | 1.28% | https://www.benthamam.com.au/ | The Bentham Global Income Fund is actively managed and focused on generating stable investment income. The Fund provides diversified exposure to domestic and global credit markets. |
| Berkshire Hathaway Inc B Shares | 0.00% | 0.00% | 0.54% | 0.56% | https://www.berkshirehathaway.com | Berkshire Hathaway Inc. is a holding company owning subsidiaries in a variety of business sectors. The Company's principal operations are insurance business conducted nationwide on a primary basis and worldwide on a reinsurance basis. Berkshire's other operations include a railway company, a specialty chemical company, and an international association of diversified businesses. |
| Berkshire Hathaway Inc B Shares - USD (BRK.B) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.berkshirehathaway.com | Berkshire Hathaway Inc. is a holding company owning subsidiaries in a variety of business sectors. The Company's principal operations are insurance business conducted nationwide on a primary basis and worldwide on a reinsurance basis. Berkshire's other operations include a railway company, a specialty chemical company, and an international association of diversified businesses. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|-------------------------------------|---|---|---|---|--|
| Berkshire Hathaway INC. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.berkshirehathaway.com | Berkshire Hathaway Inc. is a holding company owning subsidiaries in a variety of business sectors. The Company's principal operations are insurance business conducted nationwide on a primary basis and worldwide on a reinsurance basis. Berkshire's other operations include a railway company, a specialty chemical company, and an international association of diversified businesses. |
| Betashares Asia Technology Tigers ETF - AUD (ASIA) (Cash Dist) | 0.00% | 0.00% | 1.21% | 1.23% | https://www.betashares.com.au/ | ASIA aims to track the returns of an Index comprised of the top 50 companies by market capitalisation in the technology and online retail industries that have their main area of business in the Asian region, excluding Japan. |
| Betashares Australia 200 ETF - AUD (A200) (Cash Dist) | 0.00% | 0.00% | 0.58% | 0.60% | https://www.betashares.com.au/ | The A200 ETF aims to track the performance of an index (before fees and expenses) comprising 200 of the largest securities by market capitalisation and liquidity listed on the ASX. |
| Betashares Australia 200 Fund | 0.00% | 0.00% | 0.58% | 0.60% | https://www.betashares.com.au/ | The A200 ETF aims to track the performance of an index (before fees and expenses) comprising 200 of the largest securities by market capitalisation and liquidity listed on the ASX. |
| Betashares Australian High Interest Cash ETF - AUD (AAA) (Cash Dist) | 0.00% | 0.00% | 0.72% | 0.74% | https://www.betashares.com.au/ | BetaShares Australian High Interest Cash ETF is an exchange traded fund incorporated in Australia. The Fund aims to generate a return that exceeds the 30 day Bank Bill Swap Rate, after fees and expenses. The Fund invests all of its assets in bank deposit accounts maintained with one or more banks in Australia. |
| Betashares Australian Investment Grade Corporate Bond ETF - AUD (CRED) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://www.betashares.com.au/ | CRED aims to track the performance of an index (before fees and expenses) that provides intelligent exposure to a portfolio of senior, fixed-rate, investment grade Australian corporate bonds. |
| BetaShares Australian Sustainability Leaders ETF | 0.00% | 0.00% | 1.03% | 1.05% | https://www.betashares.com.au/ | Provide exposure to a portfolio of Australian companies that have been screened to preference companies engaged in sustainable business activities and to avoid companies engaged in activities deemed inconsistent with responsible investment considerations. |
| Betashares Australian Sustainability Leaders ETF - AUD (FAIR) (Cash Dist) | 0.00% | 0.00% | 1.03% | 1.05% | https://www.betashares.com.au/ | Provide exposure to a portfolio of Australian companies that have been screened to preference companies engaged in sustainable business activities and to avoid companies engaged in activities deemed inconsistent with responsible investment considerations. |
| BetaShares CPS - Global Growth Fund | Buy Spread 0.05%, Sell Spread 0.05% | 0.00% | 1.30% | 1.32% | https://www.betashares.com.au/ | The Fund aims to provide capital growth and some income from exposure to a portfolio of listed international shares over the long term (periods of five years or more). |
| Betashares Global Quality Leaders ETF - AUD (QLTY) (Cash Dist) | 0.00% | 0.00% | 0.89% | 0.91% | https://www.betashares.com.au/fund/global-quality-leaders-etf/ | The Fund aims to track an index (before fees and expenses) that comprises 150 global companies (ex-Australia) ranked by highest quality score. |
| Betashares Global Sustainability Leaders - Exchange Traded Fund Units Fully Paid | 0.00% | 0.00% | 1.13% | 1.15% | https://www.betashares.com.au/ | ETHI aims to track the performance of an index (before fees and expenses) that includes a portfolio of large global stocks identified as "Climate Leaders" that have also passed screens to exclude companies with direct or significant exposure to fossil fuels or engaged in activities deemed inconsistent with responsible investment considerations. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|--|---|---|---|---|---|
| BetaShares Global Sustainability Leaders ETF | 0.00% | 0.00% | 1.13% | 1.15% | https://www.betashares.com.au/ | ETHI aims to track the performance of an index (before fees and expenses) that includes a portfolio of large global stocks identified as "Climate Leaders" that have also passed screens to exclude companies with direct or significant exposure to fossil fuels or engaged in activities deemed inconsistent with responsible investment considerations. |
| Betashares Global Sustainability Leaders ETF - AUD (ETHI) (Cash Dist) | 0.00% | 0.00% | 1.13% | 1.15% | https://www.betashares.com.au/ | ETHI aims to track the performance of an index (before fees and expenses) that includes a portfolio of large global stocks identified as "Climate Leaders" that have also passed screens to exclude companies with direct or significant exposure to fossil fuels or engaged in activities deemed inconsistent with responsible investment considerations. |
| BH Macro Ltd | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bhmacro.com/ | BH Macro Limited is a closed-ended investment company, registered and incorporated in Guernsey on 17 January 2007 (Registration Number: 46235). BH Macro Limited invests substantially all of its assets (net of short-term working capital) in the ordinary shares of Brevan Howard Master Fund Limited. BH Macro Limited was admitted to the Main Market of the London Stock Exchange on 14 March 2007. |
| BHP Group Limited - AUD (BHP) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bhp.com | BHP Group PLC operates as a resource mining company. The Company focuses on minerals, metals, petroleum products, and oil and gas extraction and processing activities, as well as provides marketing services. |
| BHP Group Ltd | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bhp.com | BHP Group PLC operates as a resource mining company. The Company focuses on minerals, metals, petroleum products, and oil and gas extraction and processing activities, as well as provides marketing services. |
| Biohaven Ltd - USD (BHVN) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.biohaven.com/ | Biohaven is a broad portfolio biopharmaceutical development company, discovering and developing innovative late-stage product candidates targeting neurological and neuropsychiatric diseases, including rare disorders and strategic therapeutic adjacencies. |
| Blackrock Greater Europe Investment Trust - GBP (BRGE) (Cash Dist) | 0.00% | 0.00% | 1.52% | 1.54% | https://www.blackrock.com | The Company aims to provide capital growth, primarily through investment in a focused portfolio constructed from a combination of the securities of large, mid and small capitalisation European companies, together with some investment in the developing markets of Europe. |
| Blackrock World Mining Trust PLC ORD 5p - GBP (BRWM) (Cash Dist) | Buy Spread 0.31%, Sell Spread 0.31% | 0.00% | 1.49% | 1.51% | https://www.blackrock.com | The Company aims to provide a diversified investment in mining and metal assets worldwide, actively managed with the objective of maximising total returns. |
| Blackstone INC | 0.00% | 0.00% | 0.54% | 0.56% | https://www.blackstone.com/ | Blackstone is the world's largest alternative asset manager, with \$1 trillion in AUM. We serve institutional and individual investors by building strong businesses that deliver lasting value. Our scale – with ~12,500 real estate assets(!) and 230+ portfolio companies(!) – enables us to invest in dynamic sectors positioned for long-term growth. |
| Block Inc - USD (SQ) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://squareup.com/ | Square, Inc. engages in the provision of credit card payment processing solutions. It is a cohesive commerce ecosystem that helps sellers start, run, and grow their businesses. |

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|---|------------------------|---|---|---|---|---|
| Block Inc (CDI) | 0.00% | 0.00% | 0.54% | 0.56% | https://squareup.com/ | Square, Inc. engages in the provision of credit card payment processing solutions. It is a cohesive commerce ecosystem that helps sellers start, run, and grow their businesses. |
| Boston Scientific Corporation - USD (BSX) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.bostonscientific.com/ | Boston Scientific Corporation develops, manufactures, and markets minimally invasive medical devices. |
| Brambles Limited - AUD (BXB) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.brambles.com/ | Brambles Limited is an Australian company that specialises in the pooling of unit-load equipment and associated services, focusing on the outsourced management of pallets, crates and containers. |
| British Land Co - GBP (BLND) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.britishland.co.uk | The British Land Company PLC invests, both directly and through joint ventures, in income-producing and freehold commercial properties in order to maximize their growth and potential. The Company trades, finances, and develops properties. Their portfolio consists of offices, retail superstores, shopping centers, leisure, industrial, and distribution spaces. |
| Broadcom INC. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.broadcom.com/ | Broadcom Inc. is a global technology leader that designs, develops and supplies a broad range of semiconductor, enterprise software and security solutions. |
| Brown Advisory US Smaller Comp - GBP (BASC) (Cash Dist) | 0.00% | 0.00% | 1.72% | 1.74% | https://www.brownadvisory.com/ | The Company's objective is to achieve long-term capital growth by investing in a diversified portfolio primarily of quoted US smaller and medium-sized companies. |
| Cannasouth Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.cannasouth.co.nz | Cannasouth was New Zealand's first medicinal cannabis company to list on the New Zealand Stock Exchange (NZX:CBD) in June 2019. We are a vertically integrated biopharmaceutical company dedicated to advanced product development and research. Our goal is to develop next-generation medicinal cannabis products for prescribers and patients in New Zealand and export these pharmaceutical quality products and technologies globally. |
| Capita Plc | 0.00% | 0.00% | 0.54% | 0.56% | https://www.capita.com/ | Capita is a leading provider of business process services, driven by data, technology and people. |
| Capital Group New Perspective (AU) | 0.00% | 0.00% | 1.29% | 1.31% | https://www.capitalgroup.com/ | To achieve long-term capital growth by seeking to take advantage of investment opportunities generated by changes in international trade patterns and economic and political relationships. Future income is a secondary objective. |
| Capital Group New Perspective Fund (W) (Cash Dist) | 0.00% | 0.00% | 1.29% | 1.31% | https://www.capitalgroup.com/ | To achieve long-term capital growth by seeking to take advantage of investment opportunities generated by changes in international trade patterns and economic and political relationships. Future income is a secondary objective. |
| Capital Group New World (AU) | 0.00% | 0.00% | 1.53% | 1.55% | https://www.capitalgroup.com | The fund focuses on capturing the long-term growth potential of developing economies. It provides flexible exposure to developing markets by investing in leading emerging market companies as well as multinational companies with significant exposure to the developing world. |

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|---|---|---|---|---|---|--|
| Capital Group New World Fund (W) (Cash Dist) | 0.00% | 0.00% | 1.53% | 1.55% | https://www.capitalgroup.com | The fund focuses on capturing the long-term growth potential of developing economies. It provides flexible exposure to developing markets by investing in leading emerging market companies as well as multinational companies with significant exposure to the developing world. |
| Carbon Fund (NS) Units - NZD (CO2) (Cash Dist) | 0.00% | 0.00% | 1.50% | 1.52% | https://www.carbonfund.co.nz/ | The Carbon Fund, offers an opportunity to make an investment decision that aligns to your responsible investment values. The Fund is listed on the NZX which gives investors access to a price of carbon that is typically difficult to achieve on your own. The Fund broadly tracks the price of Carbon Credits and the value of your investment will follow the current Carbon Price. The Fund utilises the investment expertise of highly experienced managers who have a multi-decade perspective on investment opportunities and investment risk. |
| Castle Point 5 Oceans Fund | Buy Spread 0.33%, Sell Spread 0.33% | Fund invests in the Ranger Fund, which charges 15% of the Ranger Fund's return above the hurdle rate of return, plus applicable GST | 1.62% | 1.64% | https://www.castlepointfunds.com/5-oceans-fund | The Castle Point 5 Oceans Fund is a globally diversified fund that provides a moderate exposure to growth assets. The Fund invests in a mix of directly owned assets, other Castle Point funds and selected third-party funds. |
| Castle Point Ranger Fund | Buy Spread 0.15%, Sell Spread 0.15% from 20 February 2023 | 15% of the Fund's return above the hurdle rate of return, plus applicable GST. | 1.59% | 1.61% | https://www.castlepointfunds.com/ | The objective is to provide equity-like returns over the long term while minimising exposure to extreme share market fluctuations. |
| Castle Point Ranger Fund (PIE) (Cash Dist) | Buy Spread 0.15%, Sell Spread 0.15% from 20 February 2023 | 15% of the Fund's return above the hurdle rate of return, plus applicable GST. | 1.59% | 1.61% | https://www.castlepointfunds.com/ | The objective is to provide equity-like returns over the long term while minimising exposure to extreme share market fluctuations. |
| Castle Point Trans-Tasman Fund | Buy Spread 0.30%, Sell Spread 0.30% | 0.00% | 1.59% | 1.61% | https://www.castlepointfunds.com/ | The Fund invests in New Zealand and Australian Listed Companies and is benchmarked to the S&P/NZX 50 Index. The performance objective of the Fund is to outperform the benchmark over rolling three-year periods after all fees (and other expenses) but before tax. |
| Centuria Industrial REIT - AUD (CIP) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://centuria.com.au/ | The Fund owns a portfolio of quality industrial real estate assets delivering stable and predictable rental income with opportunities for value uplift through diligent asset management and repositioning strategies. |
| Channel Infrastructure NZ Limited - 5.1% - Mat 01/03/2024 | 0.00% | 0.00% | 0.54% | 0.56% | https://channelnz.com/ | Channel Infrastructure NZ Limited operates a fuel infrastructure company. The Company owns and operates a petroleum pipeline from the refinery to a terminal at Marsden Point, in Northland. Channel Infrastructure produces motor gasoline, automotive and diesel fuels, aviation jet fuel, light and heavy fuel oils, and bitumen. |
| Channel Infrastructure NZ Limited - 6.75% - Mat 14/11/2029 | 0.00% | 0.00% | 0.54% | 0.56% | https://channelnz.com/ | Channel Infrastructure NZ Limited operates a fuel infrastructure company. The Company owns and operates a petroleum pipeline from the refinery to a terminal at Marsden Point, in Northland. Channel Infrastructure produces motor gasoline, automotive and diesel fuels, aviation jet fuel, light and heavy fuel oils, and bitumen. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|--|
| Channel Infrastructure NZ Limited - NZD (CHI) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://channelnz.com/ | Channel Infrastructure NZ Limited operates a fuel infrastructure company. The Company owns and operates a petroleum pipeline from the refinery to a terminal at Marsden Point, in Northland. Channel Infrastructure produces motor gasoline, automotive and diesel fuels, aviation jet fuel, light and heavy fuel oils, and bitumen. |
| Channel Infrastructure NZ Ltd @ 5.80% Mat 20/05/2027 - NZD (CHI020) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://channelnz.com/ | Channel Infrastructure NZ Limited operates a fuel infrastructure company. The Company owns and operates a petroleum pipeline from the refinery to a terminal at Marsden Point, in Northland. Channel Infrastructure produces motor gasoline, automotive and diesel fuels, aviation jet fuel, light and heavy fuel oils, and bitumen. |
| China Shenhua Energy Co Ltd - NZD (1088.HK) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.csec.com | China Shenhua Energy Company Limited, also known as Shenhua, China Shenhua, or Shenhua Energy, is the largest state-owned coal mining enterprise in Mainland China, and in the world. |
| Chorus Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://company.chorus.co.nz | Chorus is a provider of telecommunications infrastructure throughout New Zealand. It is listed on the NZX stock exchange and is in the NZX 50 Index. It is the owner of the majority of telephone lines and exchange equipment in New Zealand. |
| Chorus Limited - NZD (CNU) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://company.chorus.co.nz | Chorus is a provider of telecommunications infrastructure throughout New Zealand. It is listed on the NZX stock exchange and is in the NZX 50 Index. It is the owner of the majority of telephone lines and exchange equipment in New Zealand. |
| Chorus Limited 2.51% 02/12/2030 | 0.00% | 0.00% | 0.54% | 0.56% | https://company.chorus.co.nz | Chorus is a provider of telecommunications infrastructure throughout New Zealand. It is listed on the NZX stock exchange and is in the NZX 50 Index. It is the owner of the majority of telephone lines and exchange equipment in New Zealand. |
| Chorus Limited Bonds - 6.38% - Mat 06/12/2028 | 0.00% | 0.00% | 0.54% | 0.56% | https://company.chorus.co.nz | Chorus is a provider of telecommunications infrastructure throughout New Zealand. It is listed on the NZX stock exchange and is in the NZX 50 Index. It is the owner of the majority of telephone lines and exchange equipment in New Zealand. |
| Chorus Ltd Unsubordinated Bond @ 1.98% Mat 02/12/2027 - NZD (CNU030) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://company.chorus.co.nz | Chorus is a provider of telecommunications infrastructure throughout New Zealand. It is listed on the NZX stock exchange and is in the NZX 50 Index. It is the owner of the majority of telephone lines and exchange equipment in New Zealand. |
| Chorus Ltd Unsubordinated Bond @ 2.51% Mat 02/12/2030 - NZD (CNU040) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://company.chorus.co.nz | Chorus is a provider of telecommunications infrastructure throughout New Zealand. It is listed on the NZX stock exchange and is in the NZX 50 Index. It is the owner of the majority of telephone lines and exchange equipment in New Zealand. |
| Christchurch City Holdings Limited @3.01% Mat 05/11/2026 - NZD (CCH030) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.cchl.co.nz/ | Christchurch City Holdings Limited (CCHL) has made an offer of 5 year unsecured, unsubordinated fixed rate bonds to institutional investors and New Zealand retail investors. This offer is now closed. |

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|--|------------------------|---|---|---|---|---|
| Christchurch International Airport @ 5.18% Mat 19/05/2028 - NZD (CHC020) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.christchurchairport.co.nz | At Christchurch Airport our mission is to be a champion airport, recognised at home and abroad as an engine room for the prosperity of Christchurch, the South Island and New Zealand. |
| City of London Investment Trust PLC/ The | 0.00% | 0.00% | 0.87% | 0.89% | https://www.janushenderson.com | The Company's objective is to provide long-term growth in income and capital, principally by investment in equities listed on the London Stock Exchange. The Board continues to recognise the importance of dividend income to shareholders. |
| Clarity - Capital Group New Perspective Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.69% | 1.71% | https://clarityfunds.co.nz/ | The Fund aims to achieve long-term growth of capital by investing in shares of companies located around the world. The Fund is managed by Capital Group, and favours companies expected to benefit from structural trends in the global economy. |
| Clarity - Capital Group New Perspective Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.69% | 1.71% | https://clarityfunds.co.nz/ | The Fund aims to achieve long-term growth of capital by investing in shares of companies located around the world. The Fund is managed by Capital Group, and favours companies expected to benefit from structural trends in the global economy. |
| Clarity - Capital Group New Perspective Fund (PIE) (Re-Invest) | 0.00% | 0.00% | 1.69% | 1.71% | https://clarityfunds.co.nz/ | The Fund aims to achieve long-term growth of capital by investing in shares of companies located around the world. The Fund is managed by Capital Group, and favours companies expected to benefit from structural trends in the global economy. |
| Clarity Diversified Growth Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.64% | 1.66% | https://clarityfunds.co.nz/ | The Fund will provide actively managed exposure to New Zealand, Australian and international equities, and aims to generate a better return than the benchmark over the medium to long term (five years or longer). |
| Clarity Dividend Yield Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.54% | 1.56% | https://clarityfunds.co.nz/ | The Fund will provide actively managed exposure to dividend paying New Zealand and Australian equities. The Fund aims to generate higher dividend income than the benchmark over the medium to long term (five years or longer). |
| Clarity Fixed Income Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.19% | 1.21% | https://clarityfunds.co.nz/ | The Fund aims to provide income in excess of bank deposits and capital stability over the medium term (three years or longer) by investing mainly in New Zealand fixed interest securities. |
| Clarity Global Shares Fund (PIE) - SWM (Cash Dist) | 0.00% | 0.00% | 1.54% | 1.56% | https://clarityfunds.co.nz/ | The Fund will provide actively managed exposure to international equities, and aims to generate a better return than the benchmark over the medium to long term. We use a global investment manager for this Fund, being MFS. MFS use a mix of fundamental and quantitative analysis to select companies. |
| Clarity Global Shares Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.54% | 1.56% | https://clarityfunds.co.nz/ | The Fund will provide actively managed exposure to international equities, and aims to generate a better return than the benchmark over the medium to long term. We use a global investment manager for this Fund, being MFS. MFS use a mix of fundamental and quantitative analysis to select companies. |
| Clarity Global Shares Fund (PIE) (Re-Invest) | 0.00% | 0.00% | 1.54% | 1.56% | https://clarityfunds.co.nz/ | The Fund will provide actively managed exposure to international equities, and aims to generate a better return than the benchmark over the medium to long term. We use a global investment manager for this Fund, being MFS. MFS use a mix of fundamental and quantitative analysis to select companies. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|--|---|---|---|---|--|
| Clarity New Zealand Equity Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.54% | 1.56% | https://clarityfunds.co.nz/ | The Fund will provide actively managed exposure to New Zealand equities. The Fund aims to generate a better return than the benchmark over the medium to long term (five years or longer). |
| Clarity Trans-Tasman Value Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.54% | 1.56% | https://clarityfunds.co.nz/ | The Clarity Trans-Tasman Value Fund (Fund) will provide actively managed exposure to New Zealand and Australian equities. The Fund aims to generate a better return than the benchmark over the medium to long term (five years or longer). |
| Clean Harbours Inc | 0.00% | 0.00% | 0.54% | 0.56% | https://www.cleanharbors.com/ | Clean Harbours (NYSE: CLH) is North America's leading provider of environmental and industrial services. The Company serves a diverse customer base, including a majority of Fortune 500 companies. Its customer base spans a number of industries, including chemical and manufacturing, as well as numerous government agencies. These customers rely on Clean Harbours to deliver a broad range of services such as end-to-end hazardous waste management, emergency spill response, industrial cleaning and maintenance, and recycling services. |
| Cleanaway Waste Management Ltd - AUD (CWY) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.cleanaway.com.au/ | Cleanaway Waste Management Limited (CWY) is a provider of waste management services in Australia, with operations in both solid and liquid waste. |
| CleanSpark Inc - USD (CLSK) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.cleanspark.com/ | CleanSpark responsibly develops infrastructure for Bitcoin, an essential tool for financial independence and inclusion. We strive to leave the planet better than we found it by investing in communities that source low-carbon energy, like wind, solar, nuclear, and hydro. |
| ClearBridge RARE Infstrctr Val Unhdgd | Buy Spread 0.13%, Sell Spread 0.03% | 0.00% | 1.51% | 1.53% | https://www.clearbridgeinvestments.com.au/ | RARE Infrastructure is a specialist manager of global listed infrastructure securities. The RARE Infrastructure Value Fund - Unhedged invests in listed companies whose business is the ownership, operation and maintenance of infrastructure assets. The investment objective of the Fund is to provide regular and stable income plus capital growth with a specific focus on minimising the volatility of returns. |
| Cochlear Limited - AUD (COH) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.cochlear.com/ | Cochlear Limited (COH) is a manufacturer and distributor of cochlear implantable devices for hearing impaired. Cochlear have operations in over 30 countries distributing its products in America, Asia Pacific and Europe. |
| Coinbase Global Inc | 0.00% | 0.00% | 0.54% | 0.56% | http://www.coinbase.com/ | Coinbase Global, Inc., branded Coinbase, is an American company that operates a cryptocurrency exchange platform. |
| Coinbase Global Inc - USD (COIN) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.coinbase.com/ | Coinbase Global, Inc., branded Coinbase, is an American company that operates a cryptocurrency exchange platform. |
| Commonwealth Bank of Australia | 0.00% | 0.00% | 0.54% | 0.56% | https://www.commbank.com.au | Commonwealth Bank of Australia provides banking, life insurance, and related services for individuals, small businesses and medium sized commercial enterprises. The Bank provides corporate and general banking, international financing, institutional banking and stock broking and funds management such as superannuation product. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|--------------------------------------|--|---|---|---|--|
| Commonwealth Bank of Australia - AUD (CBA) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.commbank.com.au | Commonwealth Bank of Australia provides banking, life insurance, and related services for individuals, small businesses and medium sized commercial enterprises. The Bank provides corporate and general banking, international financing, institutional banking and stock broking and funds management such as superannuation product. |
| Contact Energy 4.33% 19/11/2026 (C) | 0.00% | 0.00% | 0.54% | 0.56% | https://contact.co.nz | Contact Energy Limited is a New Zealand electricity generator, natural gas wholesaler and electricity, natural gas, and LPG retailer. |
| Contact Energy Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://contact.co.nz | Contact Energy Limited is a New Zealand electricity generator, natural gas wholesaler and electricity, natural gas, and LPG retailer. |
| Contact Energy Limited @ 4.33% Mat 19/11/2051 - NZD (CEN060) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://contact.co.nz | Contact Energy Limited is a New Zealand electricity generator, natural gas wholesaler and electricity, natural gas, and LPG retailer. |
| Contact Energy Limited @ 5.82% Mat 11/04/2028 - (CEN070) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://contact.co.nz | Contact Energy Limited is a New Zealand electricity generator, natural gas wholesaler and electricity, natural gas, and LPG retailer. |
| Contact Energy Limited Bond - 4.33% - Mat 19/11/2051 - Callable 19/11/2026 | 0.00% | 0.00% | 0.54% | 0.56% | https://contact.co.nz | Contact Energy Limited is a New Zealand electricity generator, natural gas wholesaler and electricity, natural gas, and LPG retailer. |
| Contact Energy Limited Ordinary Shares - NZD (CEN) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://contact.co.nz | Contact Energy Limited is a New Zealand electricity generator, natural gas wholesaler and electricity, natural gas, and LPG retailer. |
| Coolabah Long-Short Credit PIE Fund | Buy Spread 0.00%, Sell Spread 0.05% | 20.5% of the Fund's gross performance, that is above the Hurdle Rate Of Return | 1.54% | 1.56% | https://coolabahcapital.com/ | The Coolabah Long-Short Credit PIE Fund provides investors with exposure to an absolute return fixed-income strategy focused on exploiting long and short mispricings in credit markets. The strategy targets returns above the RBNZ cash rate plus 4% to 6% pa after all fees and before tax over rolling 3 year periods. |
| Coolabah Long-Short Credit PIE Fund (PIE) (Cash Dist) | Buy Spread 0.00%, Sell Spread 0.05% | 20.5% of the Fund's gross performance, that is above the Hurdle Rate Of Return | 1.54% | 1.56% | https://coolabahcapital.com/ | The Coolabah Long-Short Credit PIE Fund provides investors with exposure to an absolute return fixed-income strategy focused on exploiting long and short mispricings in credit markets. The strategy targets returns above the RBNZ cash rate plus 4% to 6% pa after all fees and before tax over rolling 3 year periods. |
| Coolabah Short Term Income PIE Fund | 0.00% | 0.00% | 1.45% | 1.47% | https://coolabahcapital.com/ | Coolabah Short Term Income PIE Fund The Coolabah Short Term Income PIE Fund is a zero duration, short-term fixed-interest investment solution that targets returns that outperform the RBNZ cash rate by 1.5% – 3.0% per annum after all fees and before tax, over rolling 12 month periods. |
| Coolabah Short Term Income PIE Fund (PIE) (Cash Dist) | Buy Spread 0.00%, Sell Spread 0.025% | 22.5% of the Fund's gross performance, that is above the Hurdle Rate Of Return | 1.45% | 1.47% | https://coolabahcapital.com/ | Coolabah Short Term Income PIE Fund The Coolabah Short Term Income PIE Fund is a zero duration, short-term fixed-interest investment solution that targets returns that outperform the RBNZ cash rate by 1.5% – 3.0% per annum after all fees and before tax, over rolling 12 month periods. |
| Costco Wholesale Corp. | 0.00% | 0.00% | 0.54% | 0.56% | https://investor.costco.com/overview/default.aspx | We operate membership warehouses and e-commerce websites based on the concept that offering our members low prices on a limited selection of nationally-branded and private-label products in a wide range of categories will produce high sales volumes and rapid inventory turnover. When combined with the operating efficiencies achieved by volume purchasing, efficient distribution and reduced handling of merchandise in no-frills, self-service warehouse facilities, these volumes and turnover enable us to operate profitably at significantly lower gross margins. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|--|---|---|---|---|---|
| CSL Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.csl.com.au/ | CSL Limited develops, manufactures and markets human pharmaceutical and diagnostic products derived from human plasma. The Company's products include paediatric and adult vaccines, infection, pain medicine, skin disorder remedies, antivenoms, anticoagulants, and immunoglobulins. |
| CSL Limited - AUD (CSL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.csl.com.au/ | CSL Limited develops, manufactures and markets human pharmaceutical and diagnostic products derived from human plasma. The Company's products include paediatric and adult vaccines, infection, pain medicine, skin disorder remedies, antivenoms, anticoagulants, and immunoglobulins. |
| CVS Health Corp. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.cvshealth.com/ | Our vision. Becoming the leading health solutions company for consumers, Delivering a superior health care experience for consumers Driving improved health outcomes, lower costs, higher levels of engagement and broader access to high quality care |
| Daintree Core Income Trust - NZD (W) (Cash Dist) | Buy Spread 0.0%, Sell Spread 0.05% | 0.00% | 1.09% | 1.11% | https://daintreecapital.com.au/ | The aim of the Trust is to provide a steady stream of income and capital stability over the medium term, by investing in a diversified portfolio of credit fixed income securities and cash, and to provide a total return (after fees) that exceeds the Benchmark measured throughout a market cycle. |
| Daintree Core Income Trust NZD | Buy Spread 0.0%, Sell Spread 0.05% | 0.00% | 1.09% | 1.11% | https://daintreecapital.com.au/ | The aim of the Trust is to provide a steady stream of income and capital stability over the medium term, by investing in a diversified portfolio of credit fixed income securities and cash, and to provide a total return (after fees) that exceeds the Benchmark measured throughout a market cycle. |
| Daintree High Income Trust NZD Unit CI | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 1.29% | 1.31% | https://daintreecapital.com.au/ | The Daintree High Income Trust is actively managed, seeking to provide investors with a return in the middle ground between growth assets and cash. It may be suitable for investors looking to diversify away from equity income funds or listed hybrid securities |
| Danaher Corp. | 0.00% | 0.00% | 0.54% | 0.56% | https://investors.danaher.com/ | Danaher is a science and technology innovator committed to helping our customers solve complex challenges and improving quality of life around the world. A global family of more than 20 operating companies, our groundbreaking businesses transform the fields of life sciences, diagnostics, water quality and product identification |
| DB X-Trackers S&P/ASX 200 ETF - GBP (XAUS) (Cash Dist) | 0.00% | 0.00% | 1.04% | 1.06% | https://www.xtrackers.com/ | Xtrackers S&P ASX 200 UCITS ETF physically replicates the performance of the S&P/ASX 200 Index. The ETF offers direct investment in Australian equities. Provides exposure to the top 200 blue chip stocks. |
| Deere & Co - USD (DE) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.deere.com/ | Deere & Company manufactures and distributes a range of agricultural, construction, forestry, and commercial and consumer equipment. The Company supplies replacement parts for its own products and for those of other manufacturers. Deere also provides product and parts financing services. Deere and Company extends its services and products worldwide. |
| Deere & Company | 0.00% | 0.00% | 0.54% | 0.56% | https://www.deere.com/ | Deere & Company manufactures and distributes a range of agricultural, construction, forestry, and commercial and consumer equipment. The Company supplies replacement parts for its own products and for those of other manufacturers. Deere also provides product and parts financing services. Deere and Company extends its services and products worldwide. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|--|---|---|---|---|
| Devon Alpha Fund | 0.00% | The performance fee is the first 1% of gross portfolio performance above the hurdle rate of return of 12% p.a. up to 13% p.a. then 15% of the amount thereafter by which the gross return of the Fund exceeds 13% p.a. | 1.79% | 1.81% | https://devonfunds.co.nz | The Fund aims to generate capital growth over the long term, and currency exposure is actively managed. |
| Devon Alpha Fund (PIE) | 0.00% | The performance fee is the first 1% of gross portfolio performance above the hurdle rate of return of 12% p.a. up to 13% p.a. then 15% of the amount thereafter by which the gross return of the Fund exceeds 13% p.a. | 1.79% | 1.81% | https://devonfunds.co.nz | The Fund aims to generate capital growth over the long term, and currency exposure is actively managed. |
| Devon Alpha Fund (PIE) - SWM (Cash Dist) | 0.00% | The performance fee is the first 1% of gross portfolio performance above the hurdle rate of return of 12% p.a. up to 13% p.a. then 15% of the amount thereafter by which the gross return of the Fund exceeds 13% p.a. | 1.79% | 1.81% | https://devonfunds.co.nz | The Fund aims to generate capital growth over the long term, and currency exposure is actively managed. |
| Devon Alpha Fund (PIE) (Cash Dist) | 0.00% | The performance fee is the first 1% of gross portfolio performance above the hurdle rate of return of 12% p.a. up to 13% p.a. then 15% of the amount thereafter by which the gross return of the Fund exceeds 13% p.a. | 1.79% | 1.81% | https://devonfunds.co.nz | The Fund aims to generate capital growth over the long term, and currency exposure is actively managed. |
| Devon Artesian Green and Sustainable Bond Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.13% | 1.15% | https://devonfunds.co.nz | The Fund offers New Zealand-based investors a Portfolio Investment Entity (PIE) vehicle through which to invest in the Artesian Green and Sustainable Bond Fund (AUD). Through this structure, the Fund will invest in a diversified portfolio of liquid, predominately investment grade fixed and floating rate green and sustainable corporate bonds. |
| Devon Australian Fund | 0.00% | The performance fee is the first 10% of gross portfolio performance above the hurdle rate of return of 10%. The high water mark can be reset every 3 years at the Manager's discretion and will be adjusted to take into account any distributions made. | 2.25% | 2.27% | https://devonfunds.co.nz | The Australian Fund invests in a select portfolio of companies which are primarily Australian listed companies. The Australian market offers exposure to a number of sectors that are not available in New Zealand. |
| Devon Global Impact Bond Fund (PIE) - SWM (Cash Dist) | 0.00% | 0.00% | 1.13% | 1.15% | https://devonfunds.co.nz | This Fund aims to deliver long-term total returns in excess of the index by investing in a diverse portfolio of global bonds. The Fund seeks to invest primarily in debt issued by companies that are addressing the world's environmental and social problems. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| Devon Global Sustainability Fund (PIE) - SWM (Cash Dist) | 0.00% | 0.00% | 1.74% | 1.76% | https://devonfunds.co.nz | This Fund aims to generate capital growth over the long term by actively managing your New Zealand and Australian equity investments. The Fund aims to achieve a 2% return in excess of the benchmark over a rolling three-year period. |
| Devon Sustainability Fund (PIE) - SWM (Cash Dist) | 0.00% | 0.00% | 1.79% | 1.81% | https://devonfunds.co.nz | This Fund aims to generate capital growth over the long term by actively managing your New Zealand and Australian equity investments. The Fund aims to achieve a 2% return in excess of the benchmark over a rolling three-year period. |
| Devon Trans-Tasman Fund | 0.00% | Payable when the rolling 12-month net return (after fees and expenses, but before performance fees) exceeds a hurdle rate of 9.85%. The performance fee is 10% of the performance over the hurdle rate and is subject to a high water mark. | 2.30% | 2.32% | https://devonfunds.co.nz | The Fund aims to generate capital growth over the long term by actively managing your New Zealand and Australian equity investments. |
| Devon Trans-Tasman Fund (PIE) - NR (Cash Dist) | 0.00% | Payable when the rolling 12-month net return (after fees and expenses, but before performance fees) exceeds a hurdle rate of 9.85%. The performance fee is 10% of the performance over the hurdle rate and is subject to a high water mark. | 2.30% | 2.32% | https://devonfunds.co.nz | The Fund aims to generate capital growth over the long term by actively managing your New Zealand and Australian equity investments. |
| Devon Trans-Tasman Fund (PIE) - SWM (Cash Dist) | 0.00% | Payable when the rolling 12-month net return (after fees and expenses, but before performance fees) exceeds a hurdle rate of 9.85%. The performance fee is 10% of the performance over the hurdle rate and is subject to a high water mark. | 2.30% | 2.32% | https://devonfunds.co.nz | The Fund aims to generate capital growth over the long term by actively managing your New Zealand and Australian equity investments. |
| Devon Trans-Tasman Fund (PIE) (Cash Dist) | 0.00% | Payable when the rolling 12-month net return (after fees and expenses, but before performance fees) exceeds a hurdle rate of 9.85%. The performance fee is 10% of the performance over the hurdle rate and is subject to a high water mark. | 2.30% | 2.32% | https://devonfunds.co.nz | The Fund aims to generate capital growth over the long term by actively managing your New Zealand and Australian equity investments. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|--|---|---|---|---|---|
| Dexus AREIT | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 1.39% | 1.41% | https://www.dexus.com | The Fund will invest in AREITs and cash/fixed interest. The fund will not invest in direct property. We will continually review and, if appropriate, reweight the Fund's investments with the objective of optimising the Fund's distributable income. |
| Dexus AREIT Fund (W) (Cash Dist) | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 1.39% | 1.41% | https://www.dexus.com | The Fund will invest in AREITs and cash/fixed interest. The fund will not invest in direct property. We will continually review and, if appropriate, reweight the Fund's investments with the objective of optimising the Fund's distributable income. |
| Dexus Asian REIT | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 1.52% | 1.54% | https://www.dexus.com | The Dexus Asian REIT Fund (DXARF) is a property securities fund that invests in a quality portfolio of Asian Real Estate Investment Trusts. The fund primarily comprises REITs listed on the Asia Region securities exchanges, including but not limited to Hong Kong, Singapore, Malaysia, Japan and New Zealand, but excluding Australia, as well as cash and fixed-interest investments. |
| Dexus Asian REIT Fund (W) (Cash Dist) | Buy Spread 0.30%, Sell Spread 0.30% | 0.00% | 1.52% | 1.54% | https://www.dexus.com | The Dexus Asian REIT Fund (DXARF) is a property securities fund that invests in a quality portfolio of Asian Real Estate Investment Trusts. The fund primarily comprises REITs listed on the Asia Region securities exchanges, including but not limited to Hong Kong, Singapore, Malaysia, Japan and New Zealand, but excluding Australia, as well as cash and fixed-interest investments. |
| DFA 2-Year Sustainability Fixed Interest Trust - NZD (W) (Cash Dist) | Buy Spread 0.05%, Sell Spread 0.05% | 0.00% | 0.79% | 0.81% | https://www.dimensional.com/au-en/individual | Within the risk constraints of investing in eligible short-term, Investment Grade securities, and adjusted to take into account certain environmental and sustainability impact and social considerations, the objective of the Trust is to maximise the return of a broadly diversified portfolio of domestic and global fixed interest securities. |
| DFA Australian Large Company Trust (W) (Cash Dist) | Buy Spread 0.08%, Sell Spread 0.08% | 0.00% | 0.70% | 0.72% | https://www.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies listed in Australia. |
| DFA Australian Sustainability Trust (W) (Cash Dist) | Buy Spread 0.08%, Sell Spread 0.08% | 0.00% | 0.82% | 0.84% | https://au.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of securities listed in Australia with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations. |
| DFA Australian Value Trust (W) (Cash Dist) | Buy Spread 0.08%, Sell Spread 0.08% | 0.00% | 0.88% | 0.90% | https://au.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of Value Companies listed in Australia. |
| DFA Emerging Markets Sustainability Trust (W) (Cash Dist) | Buy Spread 0.25%, Sell Spread 0.25% | 0.00% | 1.30% | 1.32% | https://au.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large market capitalisation securities associated with approved emerging markets, with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations. |
| DFA Global Bond Sustainability Trust - NZD Hedged (W) (Cash Dist) | Buy Spread 0.12%, Sell Spread 0.12% | 0.00% | 0.93% | 0.95% | https://au.dimensional.com | The Trust is managed to invest in a broadly diversified portfolio of eligible intermediate term domestic and global fixed interest and money market securities. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|-------------------------------------|---|---|---|---|---|
| DFA Global Bond Trust - NZD (W) (Cash Dist) | Buy Spread 0.05%, Sell Spread 0.05% | 0.00% | 0.89% | 0.91% | https://www.dimensional.com/au-en/individual | Within the risk constraints of investing in eligible short-term, Investment Grade securities, and adjusted to take into account certain environmental and sustainability impact and social considerations, the objective of the Trust is to maximise the return of a broadly diversified portfolio of domestic and global fixed interest securities. |
| DFA Global Core Equity Trust - NZD Hedged (W) (Cash Dist) | Buy Spread 0.12%, Sell Spread 0.12% | 0.00% | 0.90% | 0.92% | https://au.dimensional.com | To provide long-term capital growth by gaining exposure to a diversified portfolio of securities associated with approved developed markets (excluding Australia), with increased exposure to small companies and Value Companies relative to a Market Capitalisation Weighted portfolio. |
| DFA Global Core Equity Trust - Unhedged (W) (Cash Dist) | Buy Spread 0.10%, Sell Spread 0.10% | 0.00% | 0.90% | 0.92% | https://au.dimensional.com | To provide long-term capital growth by gaining exposure to a diversified portfolio of securities associated with approved developed markets (excluding Australia), with increased exposure to small companies and Value Companies relative to a Market Capitalisation Weighted portfolio. |
| DFA Global Real Estate Trust - NZD Hedged (W) (Cash Dist) | Buy Spread 0.12%, Sell Spread 0.12% | 0.00% | 0.91% | 0.93% | https://au.dimensional.com | To provide long-term capital growth by gaining exposure to a diversified portfolio of listed international and Australian real estate securities. The Trust is not managed with the objective of achieving a particular return relative to a benchmark index. |
| DFA Global Sustainability Trust - NZD Hedged (W) (Cash Dist) | Buy Spread 0.12%, Sell Spread 0.12% | 0.00% | 0.90% | 0.92% | https://au.dimensional.com | The Trust is managed to invest in a broadly diversified portfolio of eligible intermediate term domestic and global fixed interest and money market securities. |
| DFA Global Sustainability Trust - Unhedged (W) (Cash Dist) | Buy Spread 0.10%, Sell Spread 0.10% | 0.00% | 0.93% | 0.95% | https://au.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations. |
| DFA Global Value Trust (W) (Cash Dist) | Buy Spread 0.08%, Sell Spread 0.08% | 0.00% | 1.00% | 1.02% | https://www.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large Value Companies associated with approved developed markets (excluding Australia). |
| DGL Group Ltd - AUD (DGL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.dglgroup.com/ | DGL Group solves problems for customers by providing formulation and manufacturing for a range of potentially dangerous and reactive chemicals, the warehousing & distribution of these chemicals within a highly licensed transport and warehouse network, and the disposal or recycling required to safely manage the full life cycle of these chemical products. |
| Dimensional 2-Yr Sustainability F/I NZD | Buy Spread 0.05%, Sell Spread 0.05% | 0.00% | 0.79% | 0.81% | https://au.dimensional.com/ | Within the risk constraints of investing in eligible short-term, Investment Grade securities, the objective of the Trust is to maximise the return of a broadly diversified portfolio of domestic and global fixed interest securities. |
| Dimensional Aust Large Company Trust | Buy Spread 0.08%, Sell Spread 0.08% | 0.00% | 0.70% | 0.72% | https://au.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies listed in Australia. The Trust is not managed with the objective of achieving a particular return relative to a benchmark index. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|-------------------------------------|---|---|---|---|---|
| Dimensional Aust Small Company Trust | Buy Spread 0.12%, Sell Spread 0.12% | 0.00% | 1.15% | 1.17% | https://au.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of small companies listed in Australia. The Trust is not managed with the objective of achieving a particular return relative to a benchmark index. |
| Dimensional Australian Core Equity Trust | Buy Spread 0.08%, Sell Spread 0.08% | 0.00% | 0.82% | 0.84% | https://au.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of securities listed in Australia with increased exposure to small companies and Value Companies relative to a Market Capitalisation Weighted portfolio. |
| Dimensional Australian Sustainability | Buy Spread 0.08%, Sell Spread 0.08% | 0.00% | 0.85% | 0.87% | https://au.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of securities listed in Australia with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations. |
| Dimensional Australian Sustainability PIE Fund | Buy Spread 0.08%, Sell Spread 0.08% | 0.00% | 0.89% | 0.91% | https://au.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of securities listed in Australia with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations. |
| Dimensional Australian Value Trust | Buy Spread 0.08%, Sell Spread 0.08% | 0.00% | 0.88% | 0.90% | https://au.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of Value Companies listed in Australia. |
| Dimensional Emerging Markets Value Trust | Buy Spread 0.25%, Sell Spread 0.25% | 0.00% | 1.26% | 1.28% | https://au.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of Value Companies associated with approved emerging markets. |
| Dimensional Emerging Mkts Sustnblty Tr | Buy Spread 0.25%, Sell Spread 0.25% | 0.00% | 1.14% | 1.16% | https://au.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large market capitalisation securities associated with approved emerging markets, with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations. |
| Dimensional Five-Year Diversified FI NZD | Buy Spread 0.05%, Sell Spread 0.05% | 0.00% | 0.82% | 0.84% | https://au.dimensional.com | Within the risk constraints of investing in eligible short to intermediate-term, high credit quality instruments, the objective of the Trust is to maximise the return of a broadly diversified portfolio of domestic and global fixed interest and money market securities. |
| Dimensional Gbl ShtFxdInc Dis£ | 0.00% | 0.00% | 0.84% | 0.86% | https://au.dimensional.com | The investment objective of the Fund is to seek to maximise total returns from the universe of debt obligations in which the Fund invests. |
| Dimensional GblSInvGrdFxIn D£ | 0.00% | 0.00% | 0.79% | 0.81% | https://au.dimensional.com | The investment objective of the Global Short-Term Investment Grade Fixed Income Fund is to seek to maximise total returns from the universe of debt obligations in which the Fund invests. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|--|---|---|---|---|--|
| Dimensional Glb Sstnblty Trust Unhdg | Buy Spread 0.10%, Sell Spread 0.10% | 0.00% | 0.93% | 0.95% | https://au.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies (including real estate securities) associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations. |
| Dimensional Gbl Sustainability NZD Hdg | Buy Spread 0.12%, Sell Spread 0.12% | 0.00% | 0.93% | 0.95% | https://au.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies (including real estate securities) associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations. |
| Dimensional Global Bond Sustnby NZD | Buy Spread 0.10%, Sell Spread 0.22% | 0.00% | 0.93% | 0.95% | https://au.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies (including real estate securities) associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations. |
| Dimensional Global Bond Trust | Buy Spread 0.10%, Sell Spread 0.22% | 0.00% | 0.89% | 0.91% | https://au.dimensional.com | The Trust is managed to invest in a broadly diversified portfolio of eligible intermediate term domestic and global fixed interest and money market securities. |
| Dimensional Global Bond Trust NZD | Buy Spread 0.10%, Sell Spread 0.10% | 0.00% | 0.89% | 0.91% | https://au.dimensional.com | The Trust is managed to invest in a broadly diversified portfolio of eligible intermediate term domestic and global fixed interest and money market securities. |
| Dimensional Global Core Equity NZD Hgd | Buy Spread 0.12%, Sell Spread 0.12% | 0.00% | 0.80% | 0.82% | https://au.dimensional.com | To provide long-term capital growth by gaining exposure to a diversified portfolio of securities associated with approved developed markets (excluding Australia), with increased exposure to small companies and Value Companies relative to a Market Capitalisation Weighted portfolio. |
| Dimensional Global Core Equity Trust | Buy Spread 0.12%, Sell Spread 0.12% | 0.00% | 0.80% | 0.82% | https://au.dimensional.com | To provide long-term capital growth by gaining exposure to a diversified portfolio of securities associated with approved developed markets (excluding Australia), with increased exposure to small companies and Value Companies relative to a Market Capitalisation Weighted portfolio. |
| Dimensional Global Large Company Trust | Buy Spread 0.08%, Sell Spread 0.08% | 0.00% | 0.78% | 0.80% | https://au.dimensional.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies associated with approved developed markets (excluding Australia). |
| Dimensional Global Real Estate NZD Hdg | Buy Spread 0.12%, Sell Spread 0.12% | 0.00% | 0.95% | 0.97% | https://au.dimensional.com | To provide long-term capital growth by gaining exposure to a diversified portfolio of listed international and Australian real estate securities. The Trust is not managed with the objective of achieving a particular return relative to a benchmark index. |
| Dimensional Global Real Estate Trust | Buy Spread 0.10%, Sell Spread 0.10% | 0.00% | 0.91% | 0.93% | https://au.dimensional.com | To provide long-term capital growth by gaining exposure to a diversified portfolio of listed international and Australian real estate securities. The Trust is not managed with the objective of achieving a particular return relative to a benchmark index. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|--|---|---|---|---|--|
| Dimensional Global Small Company Trust | Buy Spread 0.12%, Sell Spread 0.12% | 0.00% | 1.19% | 1.21% | https://au.dimensionalcon.com | To provide long-term capital growth by gaining exposure to a diversified portfolio of small companies associated with approved developed markets (excluding Australia). The Trust is not managed with the objective of achieving a particular return relative to a benchmark index. |
| Dimensional Global Sustainability PIE Fund | Buy Spread 0.10%, Sell Spread 0.10% | 0.00% | 0.95% | 0.97% | https://au.dimensionalcon.com | The investment objective of the Fund is to provide long-term capital growth by gaining exposure to a diversified portfolio of securities associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities. The Fund also considers non-financial factors to target sustainability goals. The Fund has a Carbon Footprint Reduction Goal and evaluates companies based on specific sustainability criteria. |
| Dimensional Global Sustainability PIE Fund - NZD Hedged (PIE) (Cash Dist) | Buy Spread 0.12%, Sell Spread 0.12% | 0.00% | 0.95% | 0.97% | https://au.dimensionalcon.com | The investment objective of the Fund is to provide long-term capital growth by gaining exposure to a diversified portfolio of securities associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities. The Fund also considers non-financial factors to target sustainability goals. The Fund has a Carbon Footprint Reduction Goal and evaluates companies based on specific sustainability criteria. |
| Dimensional Global Sustainability PIE Fund (NZD Hedged) | Buy Spread 0.12%, Sell Spread 0.12% | 0.00% | 0.95% | 0.97% | https://au.dimensionalcon.com | The investment objective of the Fund is to provide long-term capital growth by gaining exposure to a diversified portfolio of securities associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities. The Fund also considers non-financial factors to target sustainability goals. The Fund has a Carbon Footprint Reduction Goal and evaluates companies based on specific sustainability criteria. |
| Dimensional Global Value Trust | Buy Spread 0.08%, Sell Spread 0.08% | 0.00% | 1.00% | 1.02% | https://au.dimensionalcon.com | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large Value Companies associated with approved developed markets (excluding Australia). |
| Dimensional World Equity Dis£ | Buy Spread 0.12%, Sell Spread 0.12% | 0.00% | 1.07% | 1.09% | https://au.dimensionalcon.com | To provide a total return, consisting of capital appreciation and income, by gaining exposure to a diversified portfolio of companies and real estate securities listed on approved developed and emerging markets. |
| Discovery Founders Fund (PIE) (Cash Dist) | 0.00% | 20% (exclusive of GST) of performance above the S&P/ASX Small Ordinaries Accumulation Index (NZD) (after deduction of management fees and Fund expenses), and positive performance has been achieved. | 1.74% | 1.76% | https://discoveryfunds.co.nz/the-fund/ | The Discovery Founders' Fund is a concentrated portfolio of our best ideas. The objective is to deliver superior investment returns over the long term. The key to achieving this objective is the Discovery Process. |
| Ebos Group Limited | 0.00% | 0.00% | 0.54% | 0.56% | http://www.ebosgroup.com/ | EBOS Group is the largest and most diversified Australasian marketer, wholesaler and distributor of healthcare, medical and pharmaceutical products. It is also a leading Australasian animal care products marketer and distributor. |
| Ebos Group Limited - NZD (EBO) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.ebosgroup.com/ | EBOS Group is the largest and most diversified Australasian marketer, wholesaler and distributor of healthcare, medical and pharmaceutical products. It is also a leading Australasian animal care products marketer and distributor. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|--|---|---|---|---|--|
| Eli Lilly and Co. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.lilly.com/ | Eli Lilly and Company is an American pharmaceutical company headquartered in Indianapolis, Indiana, with offices in 18 countries. Its products are sold in approximately 125 countries. The company was founded in 1876 by, and named after, Colonel Eli Lilly, a pharmaceutical chemist and veteran of the American Civil War. |
| Enphase Energy INC. | 0.00% | 0.00% | 0.54% | 0.56% | https://investor.enphase.com/ | Enphase Energy, Inc. is a global energy technology company and the world's leading supplier of microinverter-based solar-plus-storage systems. The Company delivers smart, easy-to-use solutions that connect solar generation, storage, and energy management on one intelligent platform. Its semiconductor-based microinverter system converts energy at the individual solar module level and brings a system-based high-technology approach to solar energy generation, storage, control, and management. |
| Estee Lauder Companies Inc. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.elcompanies.com/en/investors | The Estée Lauder Companies is a leader in global prestige beauty—a dynamic, vibrant industry benefiting from favorable demographic trends and positioned for long-term, sustainable growth. |
| ETFS Physical Gold | 0.00% | 0.00% | 0.94% | 0.96% | https://www.globalxetfs.com.au | Global X Physical Gold (GOLD) offers a low-cost and secure way to access physical gold via the stock exchange and avoids the need for investors to personally store their own bullion. |
| Eurocell PLC - GBP (ECEL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.eurocell.co.uk/ | Eurocell PLC is a manufacturer, distributor, and recycler of Unplasticized PVC (UPVC), a type of building plastic. |
| European Opportunities Trust Plc - GBP (EOT) (Cash Dist) | 0.00% | 0.00% | 1.80% | 1.82% | https://www.hl.co.uk/ | The objective of the company is to invest in securities of European companies and in sectors or geographical areas which are considered by the investment manager to offer good prospects for capital growth, taking into account economic trends and business development. |
| Evidential Sustainable Global Bond Fund | Buy Spread 0.10%, Sell Spread 0.10% | 0.00% | 0.89% | 0.91% | https://www.evidential.co.nz/funds/ | A global bond fund that follows an evidence based investment philosophy to provide investors with exposure to international fixed income and money market securities. |
| Exxon Mobil Corp. | 0.00% | 0.00% | 0.54% | 0.56% | https://corporate.exxonmobil.com/ | ExxonMobil manages an industry-leading portfolio of resources, and is one of the largest integrated fuels, lubricants and chemical companies in the world. We've evolved our operating model and global organization to better leverage the scale of our increasingly integrated company and global brands. |
| F&C Investment Trust PLC - GBP (FCIT) (Cash Dist) | 0.00% | 0.00% | 1.67% | 1.69% | https://www.hl.co.uk/ | The objective of the trust is to secure long-term growth in capital and income through a policy of investing primarily in an internationally diversified portfolio of publicly listed equities, as well as unlisted securities and private equity, with the use of gearing. |
| F&C Investment Trust Plc. | 0.00% | 0.00% | 1.67% | 1.69% | https://www.hl.co.uk/ | The objective of the trust is to secure long-term growth in capital and income through a policy of investing primarily in an internationally diversified portfolio of publicly listed equities, as well as unlisted securities and private equity, with the use of gearing. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|-------------------------------------|--|---|---|---|--|
| Fairlight Global Small & Mid Cap (SMID) Fund (W) (Cash Dist) | Buy Spread 0.25%, Sell Spread 0.25% | 15.00% of Fund outperformance | 1.79% | 1.81% | https://fairlightam.com.au/ | We invest in a portfolio of global small and mid cap international companies. We aim to achieve annualised returns, in excess of the MSCI World SMID Index (after all fees and expenses) measured over a market cycle, which we consider to be seven years. |
| Fairlight Global Small & Mid Cap Ord | Buy Spread 0.25%, Sell Spread 0.25% | 15.00% of Fund outperformance | 1.79% | 1.81% | https://fairlightam.com.au/ | We invest in a portfolio of global small and mid cap international companies. We aim to achieve annualised returns, in excess of the MSCI World SMID Index (after all fees and expenses) measured over a market cycle, which we consider to be seven years. |
| Ferrari NV | 0.00% | 0.00% | 0.54% | 0.56% | https://www.ferrari.com/en-EN/corporate/investors | Ferrari NV is a holding company. Ferrari S.p.A. is an Italian luxury sports car manufacturer based in Maranello, Italy. Founded in 1939 by Enzo Ferrari, the company adopted its current name in 1945 and began producing its line of cars in 1947. |
| Fidelity China Special Situations PLC - GBP (FCSS) (Cash Dist) | 0.00% | 0.00% | 2.44% | 2.46% | https://investment-trusts.fidelity.co.uk/ | The UK's largest China investment trust, Fidelity China Special Situations PLC, capitalises on Fidelity's extensive, locally-based analyst team to find attractive opportunities in a market too big to ignore. |
| Fidelity European Trust Plc - GBP (FEV) (Cash Dist) | 0.00% | 0.00% | 1.48% | 1.50% | https://investment-trusts.fidelity.co.uk/ | The Trust invests predominantly in continental European equities (and their related securities) and up to 20% of gross assets may be invested in companies outside of the continent. It follows a consistent bottom-up approach, seeking to identify companies able to grow dividends over a three to five-year horizon. |
| Fiducian India | Buy Spread 0.25%, Sell Spread 0.25% | 10% of the net return of the fund in excess of the benchmark | 2.08% | 2.10% | https://www.fiducian.com.au | The Fiducian India Fund provides investors with a unique opportunity to invest in a well-diversified range of companies listed on Indian stock exchanges. |
| Fiducian Technology | Buy Spread 0.10%, Sell Spread 0.10% | 10% of the net return of the fund in excess of the benchmark | 1.90% | 1.92% | https://www.fiducian.com.au/ | The Fiducian Technology Fund provides access to high tech stocks across the globe, including stocks in bio-technology, information technology (IT) and other emerging technology sectors. |
| Fiera Atlas Global Companies Class A | Buy Spread 0.30%, Sell Spread 0.30% | 0.00% | 1.49% | 1.51% | www.ironbarkam.com | The Fund adopts a high conviction, long-only stock picking approach that invests in listed shares of 25-35 companies around the globe, which aims to offer investors capital growth, global diversification and capital preservation through the investment team's deep understanding of how companies can create or destroy value over the long term. |
| Fiera Atlas Global Companies Fund Class A (W) (Cash Dist) | Buy Spread 0.30%, Sell Spread 0.30% | 0.00% | 1.49% | 1.51% | www.ironbarkam.com | The Fund adopts a high conviction, long-only stock picking approach that invests in listed shares of 25-35 companies around the globe, which aims to offer investors capital growth, global diversification and capital preservation through the investment team's deep understanding of how companies can create or destroy value over the long term. |
| Finsbury Growth & Income Trust - GBP (FGT) (Cash Dist) | 0.00% | 0.00% | 1.27% | 1.29% | https://www.finsburygt.com/ | The company aims to invest principally in the securities of companies either listed in the UK or otherwise incorporated, domiciled or having significant business operations within the UK, whilst up to a maximum of 20% of the portfolio, at the time of acquisition, can be invested in companies not meeting this criteria. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|--|---|---|---|--|
| First Trust Nasdaq Cybersecurity ETF - USD (CIBR) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.ftportfolios.com | The First Trust Nasdaq Cybersecurity ETF is an exchange-traded fund. The Fund seeks investment results that correspond generally to the price and yield (before the Fund's fees and expenses) of an equity index called the Nasdaq CTA Cybersecurity Index™. |
| Fisher & Paykel Healthcare Corporation Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.fphcare.com/ | Fisher & Paykel entered the respiratory care market in 1971 with the development of a unique respiratory humidifier system for use in critical care. We now offer a broad range of products and systems for use in respiratory and acute care and in the treatment of obstructive sleep apnea (OSA). |
| Fisher and Paykel Healthcare Corporation Limited - NZD (FPH) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.fphcare.com/ | Fisher & Paykel entered the respiratory care market in 1971 with the development of a unique respiratory humidifier system for use in critical care. We now offer a broad range of products and systems for use in respiratory and acute care and in the treatment of obstructive sleep apnea (OSA). |
| Fisher Funds Australian Growth Fund (PIE) (Cash Dist) | 0.00% | 10% of returns in excess of the Official Cash Rate + 5% subject to a high water mark | 1.99% | 2.01% | https://fisherfunds.co.nz/ | The Australian Growth Fund gives you access to invest in quality, growing Australian businesses. The Australian market is deeper and broader than in New Zealand, which provides numerous opportunities to invest in great businesses. |
| Fisher Funds BondPlus Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.75% | 1.77% | https://fisherfunds.co.nz/ | The fund aims to provide moderate protection for your investment while also generating modest returns over the long term by investing in international fixed interest assets. |
| Fisher Funds Income Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.50% | 1.52% | https://fisherfunds.co.nz/ | The Income Fund gives our clients access to a diverse portfolio of fixed interest investments from around the globe. |
| Fisher Funds International Growth Fund | 0.00% | 10% of returns in excess of the Official Cash Rate + 5% subject to a high water mark | 1.98% | 2.00% | https://fisherfunds.co.nz/ | Focuses on growth of your investment over the long term by investing in quality international companies which can consistently produce increasing earnings |
| Fisher Funds International Growth Fund (PIE) (Cash Dist) | 0.00% | 10% of returns in excess of the Official Cash Rate + 5% subject to a high water mark | 1.98% | 2.00% | https://fisherfunds.co.nz/ | Focuses on growth of your investment over the long term by investing in quality international companies which can consistently produce increasing earnings |
| Fisher Funds New Zealand Growth Fund (PIE) (Cash Dist) | 0.00% | 10% of returns in excess of the Official Cash Rate + 3% subject to a high water mark | 2.05% | 2.07% | https://fisherfunds.co.nz/ | Focuses on growth of your investment over the long term by investing in quality New Zealand companies which can consistently produce increasing earnings. |
| Fisher Funds NZ Growth Fund | 0.00% | 10% of returns in excess of the Official Cash Rate + 3% subject to a high water mark | 2.05% | 2.07% | https://fisherfunds.co.nz/ | Focuses on growth of your investment over the long term by investing in quality New Zealand companies which can consistently produce increasing earnings. |
| Fisher Funds Property and Infrastructure Fund (PIE) (Cash Dist) | 0.00% | 10% of returns in excess of the Official Cash Rate + 3% subject to a high water mark | 2.13% | 2.15% | https://fisherfunds.co.nz/ | Focuses on growth of your investment over the long term by investing in New Zealand and international property and infrastructure assets. |
| Fisher Funds Trans Tasman Equity Trust (PIE) - SWM (Cash Dist) | 0.00% | 0.00% | 2.18% | 2.20% | https://fisherfunds.co.nz/ | Focuses on growth of your investment over the long term by investing in Australasian shares |
| Fisher Funds Trans Tasman Equity Trust (PIE) (Cash Dist) | 0.00% | 0.00% | 2.18% | 2.20% | https://fisherfunds.co.nz/ | Focuses on growth of your investment over the long term by investing in Australasian shares |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|---|
| Fletcher Building Industries Limited Bonds - 4.90% - Mat 15/03/2024 | 0.00% | 0.00% | 0.54% | 0.56% | https://fletcherbuilding.com/ | We manufacture building products; from insulation that keeps homes warm and dry, to cement, the foundation of built structures the world over. We operate retail businesses that distribute these products and many more to tradespeople right across the Tasman. |
| Fletcher Building Industries Limited Notes - 4.75% - Mat 15/03/2027 | 0.00% | 0.00% | 0.54% | 0.56% | https://fletcherbuilding.com/ | We manufacture building products; from insulation that keeps homes warm and dry, to cement, the foundation of built structures the world over. We operate retail businesses that distribute these products and many more to tradespeople right across the Tasman. |
| Fletcher Building Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://fletcherbuilding.com/ | We manufacture building products; from insulation that keeps homes warm and dry, to cement, the foundation of built structures the world over. We operate retail businesses that distribute these products and many more to tradespeople right across the Tasman. |
| Fletcher Building Limited - NZD (FBU) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://fletcherbuilding.com/ | We manufacture building products; from insulation that keeps homes warm and dry, to cement, the foundation of built structures the world over. We operate retail businesses that distribute these products and many more to tradespeople right across the Tasman. |
| Fletcher Building Limited Capital Notes - 2.80% - Mat 15/03/2026 | 0.00% | 0.00% | 0.54% | 0.56% | https://fletcherbuilding.com/ | We manufacture building products; from insulation that keeps homes warm and dry, to cement, the foundation of built structures the world over. We operate retail businesses that distribute these products and many more to tradespeople right across the Tasman. |
| Freightways Group Limited | 0.00% | 0.00% | 0.54% | 0.56% | http://www.freightways.co.nz/ | Freightways is a leading provider of express package services throughout New Zealand, with complementary business servicing the information management and business mail sectors. The Group's origins date back to 1964 through New Zealand Couriers - a pioneer in the express package industry in New Zealand. |
| Freightways Group Limited - NZD (FRW) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.freightways.co.nz/ | Freightways is a leading provider of express package services throughout New Zealand, with complementary business servicing the information management and business mail sectors. The Group's origins date back to 1964 through New Zealand Couriers - a pioneer in the express package industry in New Zealand. |
| Genesis Energy Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.genesisenergy.co.nz/ | Genesis Energy is a diversified energy company. We sell electricity, natural gas and LPG through our retail brands. We generate electricity, and trade electricity and natural gas, through our Generation business. We also have a 31% interest in the Kupe Joint Venture which owns the Kupe oil and gas field. |
| Genesis Energy Limited - NZD (GNE) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.genesisenergy.co.nz/ | Genesis Energy is a diversified energy company. We sell electricity, natural gas and LPG through our retail brands. We generate electricity, and trade electricity and natural gas, through our Generation business. We also have a 31% interest in the Kupe Joint Venture which owns the Kupe oil and gas field. |
| Genesis Energy Limited Bonds - 6.50% - Mat 10/07/2053 - Callable 10/07/2028 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.genesisenergy.co.nz/ | Genesis Energy is a diversified energy company. We sell electricity, natural gas and LPG through our retail brands. We generate electricity, and trade electricity and natural gas, through our Generation business. We also have a 31% interest in the Kupe Joint Venture which owns the Kupe oil and gas field. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|-------------------------------------|---|---|---|---|---|
| Genesis Energy Ltd | 0.00% | 0.00% | 0.54% | 0.56% | https://www.genesisenergy.co.nz/ | Genesis Energy is a diversified energy company. We sell electricity, natural gas and LPG through our retail brands. We generate electricity, and trade electricity and natural gas, through our Generation business. We also have a 31% interest in the Kupe Joint Venture which owns the Kupe oil and gas field. |
| Genesis Energy Ltd Green Bond @ 4.17% Mat 14/03/2028 - NZD (GNE060) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.genesisenergy.co.nz/ | Genesis Energy is a diversified energy company. We sell electricity, natural gas and LPG through our retail brands. We generate electricity, and trade electricity and natural gas, through our Generation business. We also have a 31% interest in the Kupe Joint Venture which owns the Kupe oil and gas field. |
| Global X Autonomous And Electric Vehicles ETF - USD (DRIV) (Cash Dist) | 0.00% | 0.00% | 1.22% | 1.24% | https://www.globalxetfs.com | The Global X Autonomous & Electric Vehicles ETF (DRIV) seeks to invest in companies involved in the development of autonomous vehicle technology, electric vehicles ("EVs"), and EV components and materials. |
| Global X Lithium & Battery Tech ETF | 0.00% | 0.00% | 1.29% | 1.31% | https://www.globalxetfs.com/ | The Global X Lithium & Battery Tech ETF (LIT) invests in the full lithium cycle, from mining and refining the metal, through battery production. |
| Global X Physical Gold | 0.00% | 0.00% | 0.94% | 0.96% | https://www.globalxetfs.com.au/funds/gold/ | GOLD is backed by physical gold. Each physical bar is segregated, individually identified and allocated. |
| GMO Quality Trust | Buy Spread 0.05%, Sell Spread 0.05% | 0.00% | 1.14% | 1.16% | https://www.gmo.com/australia/product-index-page/equities/quality-strategy/quality-trust/ | The Trust typically invests directly and indirectly (e.g., through derivatives) in equities of companies that GMO Australia believes to be of high quality. GMO Australia believes a high quality company generally to be a company that has an established business that will deliver a high level of return on past investments and that will utilise cash flows in the future by making investments with the potential for a high return on capital or by returning cash to shareholders through dividends, share buybacks, or other mechanisms. |
| GMO Quality Trust | Buy Spread 0.05%, Sell Spread 0.05% | 0.00% | 1.14% | 1.16% | https://www.gmo.com/australia/product-index-page/equities/quality-strategy/quality-trust/ | The Trust typically invests directly and indirectly (e.g., through derivatives) in equities of companies that GMO Australia believes to be of high quality. GMO Australia believes a high quality company generally to be a company that has an established business that will deliver a high level of return on past investments and that will utilise cash flows in the future by making investments with the potential for a high return on capital or by returning cash to shareholders through dividends, share buybacks, or other mechanisms. |
| Goldman Sachs Group Inc - USD (GS) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.goldmansachs.com/ | The Goldman Sachs Group, Inc. is a leading global financial institution that delivers a broad range of financial services to a large and diversified client base that includes corporations, financial institutions, governments and individuals. |
| Goodman Green Bonds @ 4.74 % Mat 14/04/2027 - NZD (GMB060) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://nz.goodman.com/ | Goodman is a specialist global industrial property group. We own, develop and manage high-quality, sustainable properties that are close to consumers and provide essential infrastructure for the digital economy. |
| Goodman Group | 0.00% | 0.00% | 0.54% | 0.56% | https://nz.goodman.com/ | Goodman Group is an integrated industrial property group. The Group has operations in Australia, New Zealand, UK, Asia and Europe. Goodman's activities include property investment, funds management, property development and property services. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|--|
| Goodman Group Limited - AUD (GMG) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.goodman.com/ | Goodman Group is an integrated industrial property group. The Group has operations in Australia, New Zealand, UK, Asia and Europe. Goodman's activities include property investment, funds management, property development and property services. |
| Goodman Property Trust | 0.00% | 0.00% | 0.54% | 0.56% | https://nz.goodman.com/ | Goodman Property Trust (GMT) is an externally managed listed Unit Trust that invests in high quality industrial and business space property. It is an established business built around a modern portfolio, a wide customer base and a proven development capability. |
| Goodman Property Trust Units - NZD (GMT) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://nz.goodman.com/ | Goodman Property Trust (GMT) is an externally managed listed Unit Trust that invests in high quality industrial and business space property. It is an established business built around a modern portfolio, a wide customer base and a proven development capability. |
| Grayscale Bitcoin Trust BTC - USD (GBTC) (Cash Dist) | 0.00% | 0.00% | 3.04% | 3.06% | https://grayscale.co/ | Grayscale Bitcoin Trust is solely and passively invested in Bitcoin, enabling investors to gain exposure to BTC in the form of a security while avoiding the challenges of buying, storing, and safekeeping BTC directly. |
| Grayscale Ethereum Trust - USD (ETHE) (Cash Dist) | 0.00% | 0.00% | 3.04% | 3.06% | https://grayscale.co/ | The investment seeks to track the ETH market price, less fees and expenses. The fund enables investors to gain exposure to the price movement of ETH through a traditional investment vehicle, without the challenges of buying, storing, and safekeeping Ethereum. |
| Greencoat UK Wind - GBP (UKW) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | www.greencoat-ukwind.com | To invest mostly in operating UK wind farms with the aim to provide investors with an annual dividend that increases in line with RPI inflation while preserving the capital value of its investment portfolio. |
| GSK Plc | 0.00% | 0.00% | 0.54% | 0.56% | http://www.gsk.com/ | GlaxoSmithKline plc is a British multinational pharmaceutical company headquartered in London, England. |
| GSK Plc - GBP (GSK) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.gsk.com/ | GlaxoSmithKline plc is a British multinational pharmaceutical company headquartered in London, England. |
| Gulf Keystone Petroleum Limited - GBP (GKP) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.gulfkeystone.com | Gulf Keystone Petroleum Ltd. is an oil and gas exploration and production company. The Company explores, develops, and produces oil and gas primarily in North Africa and the Middle East. |
| Haleon PLC | 0.00% | 0.00% | 0.54% | 0.56% | https://www.haleon.com/ | Haleon plc is a British multinational consumer healthcare company with headquarters in Weybridge, Surrey. It is the largest consumer healthcare business in the world, with brands including Sensodyne toothpaste, Panadol and Advil painkillers and Centrum vitamins. |
| Haleon Plc - GBP (HLN) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.haleon.com/ | Haleon plc is a British multinational consumer healthcare company with headquarters in Weybridge, Surrey. It is the largest consumer healthcare business in the world, with brands including Sensodyne toothpaste, Panadol and Advil painkillers and Centrum vitamins. |
| Halma plc - GBP (HLMA) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.halma.com/ | Halma plc is a British global group of safety equipment companies that makes products for hazard detection and life protection based in Amersham, England. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| Harbour Australasian Equity Focus Fund (PIE) - XR (Cash Dist) | 0.00% | 0.35% | 1.63% | 1.65% | https://www.harbourasset.co.nz | This concentrated 'best ideas' Fund is designed to deliver strong growth over the long term, through investing in a smaller number of high quality companies with strong growth prospects. |
| Harbour Australasian Equity Fund | 0.00% | 0.00% | 1.61% | 1.63% | https://www.harbourasset.co.nz | This Fund is designed to provide long-term capital growth through investing in New Zealand and Australian stocks with strong growth potential. It aims to outperform the local equity market. |
| Harbour Australasian Equity Fund (PIE) | 0.00% | 0.00% | 1.61% | 1.63% | https://www.harbourasset.co.nz | This Fund is designed to provide long-term capital growth through investing in New Zealand and Australian stocks with strong growth potential. It aims to outperform the local equity market. |
| Harbour Australasian Equity Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.61% | 1.63% | https://www.harbourasset.co.nz | This Fund is designed to provide long-term capital growth through investing in New Zealand and Australian stocks with strong growth potential. It aims to outperform the local equity market. |
| Harbour Australasian Equity Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.61% | 1.63% | https://www.harbourasset.co.nz | This Fund is designed to provide long-term capital growth through investing in New Zealand and Australian stocks with strong growth potential. It aims to outperform the local equity market. |
| Harbour Australasian Equity Income Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.57% | 1.59% | https://www.harbourasset.co.nz | This Fund is designed to provide capital growth over time and produce attractive levels of income too. |
| Harbour Enhanced Cash Fund (PIE) - NR (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://www.harbourasset.co.nz | This Fund is designed as a short term, higher liquidity and lower volatility investment option. It aims to utilise Harbour's research to generate returns over and above the New Zealand cash rate. |
| Harbour Income Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.17% | 1.19% | https://www.harbourasset.co.nz | This Fund is designed for investors who want both capital growth and a steady and sustainable income. It aims to exceed the official cash rate plus 3.5% per annum (over rolling 3 year periods). |
| Harbour NZ Core FI Fund | 0.00% | 0.00% | 1.17% | 1.19% | https://www.harbourasset.co.nz | This Fund is designed as a risk-diversifier for those who may already hold riskier assets (such as equities or property). It aims to utilise Harbour's research to generate returns over and above the New Zealand fixed interest market. |
| Harbour NZ Core Fixed Interest Fund (PIE) - SWM (Cash Dist) | 0.00% | 0.00% | 1.17% | 1.19% | https://www.harbourasset.co.nz | This Fund is designed as a risk-diversifier for those who may already hold riskier assets (such as equities or property). It aims to utilise Harbour's research to generate returns over and above the New Zealand fixed interest market. |
| Harbour NZ Core Fixed Interest Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.17% | 1.19% | https://www.harbourasset.co.nz | This Fund is designed as a risk-diversifier for those who may already hold riskier assets (such as equities or property). It aims to utilise Harbour's research to generate returns over and above the New Zealand fixed interest market. |
| Harbour NZ Core Fixed Interest Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.17% | 1.19% | https://www.harbourasset.co.nz | This Fund is designed as a risk-diversifier for those who may already hold riskier assets (such as equities or property). It aims to utilise Harbour's research to generate returns over and above the New Zealand fixed interest market. |
| Harbour NZ Corporate Bond Fund | 0.00% | 0.00% | 0.99% | 1.01% | https://www.harbourasset.co.nz | This Fund is designed to provide income by capturing the yield from investment grade corporate bonds. It aims to outperform the New Zealand corporate bond market. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|--|
| Harbour NZ Corporate Bond Fund (PIE) - DR (Cash Dist) | 0.00% | 0.00% | 0.99% | 1.01% | https://www.harbourasset.co.nz | This Fund is designed to provide income by capturing the yield from investment grade corporate bonds. It aims to outperform the New Zealand corporate bond market. |
| Harbour NZ Corporate Bond Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 0.99% | 1.01% | https://www.harbourasset.co.nz | This Fund is designed to provide income by capturing the yield from investment grade corporate bonds. It aims to outperform the New Zealand corporate bond market. |
| Harbour NZ Corporate Bond Fund (Synergy) | 0.00% | 0.00% | 0.99% | 1.01% | https://www.harbourasset.co.nz | This Fund is designed to provide income by capturing the yield from investment grade corporate bonds. It aims to outperform the New Zealand corporate bond market. |
| Harbour NZ Index Shares Fund | 0.00% | 0.00% | 0.74% | 0.76% | https://www.harbourasset.co.nz | This Fund is designed to provide relatively low cost exposure to the NZ sharemarket by passively tracking an index. |
| Harbour NZ Index Shares Fund (PIE) - TR (Cash Dist) | 0.00% | 0.00% | 0.74% | 0.76% | https://www.harbourasset.co.nz | This Fund is designed to provide relatively low cost exposure to the NZ sharemarket by passively tracking an index. |
| Harbour Real Estate Investment Fund | 0.00% | 0.00% | 1.26% | 1.28% | https://www.harbourasset.co.nz | The Harbour Real Estate Investment Fund aims to provide medium term capital growth and income from real estate. It utilises the expertise of portfolio manager Shane Solly, who has over 20 years of experience with this asset class. The Fund provides investors with easier access to a diversified portfolio of different types of commercial and industrial property. |
| Harbour Sustainable NZ Shares Fund | 0.00% | 0.00% | 0.79% | 0.81% | https://www.harbourasset.co.nz | This Fund is designed to provide low cost exposure to the NZ sharemarket by passively tracking an index, with exclusions to companies which do not meet sustainability criteria. |
| Harbour Sustainable NZ Shares Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://www.harbourasset.co.nz | This Fund is designed to provide low cost exposure to the NZ sharemarket by passively tracking an index, with exclusions to companies which do not meet sustainability criteria. |
| Harbour T. Rowe Price Global Equity Fund | 0.00% | 0.00% | 1.71% | 1.73% | https://www.harbourasset.co.nz/ | This Fund is designed to provide long-term capital growth by investing in stocks from all over the globe. We chose to partner with T. Rowe Price for this Fund because of their track record and truly global expertise. |
| Harbour T. Rowe Price Global Equity Fund (Hedged) | 0.00% | 0.00% | 1.73% | 1.75% | https://www.harbourasset.co.nz/ | This Fund is designed to provide long-term capital growth by investing in stocks from all over the globe. We chose to partner with T. Rowe Price for this Fund because of their track record and truly global expertise. |
| Harbour T.Rowe Price Global Equity Fund (Hedged) (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.73% | 1.75% | https://www.harbourasset.co.nz/ | This Fund is designed to provide long-term capital growth by investing in stocks from all over the globe. We chose to partner with T. Rowe Price for this Fund because of their track record and truly global expertise. |
| Harbour T.Rowe Price Global Equity Fund (Hedged) (PIE) (Cash Dist) | 0.00% | 0.00% | 1.73% | 1.75% | https://www.harbourasset.co.nz/ | This Fund is designed to provide long-term capital growth by investing in stocks from all over the globe. We chose to partner with T. Rowe Price for this Fund because of their track record and truly global expertise. |
| Harbour T.Rowe Price Global Equity Fund (PIE) - TR (Cash Dist) | 0.00% | 0.00% | 1.71% | 1.73% | https://www.harbourasset.co.nz/ | This Fund is designed to provide long-term capital growth by investing in stocks from all over the globe. We chose to partner with T. Rowe Price for this Fund because of their track record and truly global expertise. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| Harbour T.Rowe Price Global Equity Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.71% | 1.73% | https://www.harbourasset.co.nz/ | This Fund is designed to provide long-term capital growth by investing in stocks from all over the globe. We chose to partner with T. Rowe Price for this Fund because of their track record and truly global expertise. |
| Harbour Wholesale Australasian Equity Focus Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.70% | 1.72% | https://www.harbourasset.co.nz | This concentrated 'best ideas' Fund is designed to deliver strong growth over the long term, through investing in a smaller number of high quality companies with strong growth prospects. |
| Harbour Wholesale NZ Core Fixed Interest Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.17% | 1.19% | https://www.harbourasset.co.nz | This Fund is designed as a risk-diversifier for those who may already hold riskier assets (such as equities or property). It aims to utilise Harbour's research to generate returns over and above the New Zealand fixed interest market. |
| HarbourVest Global Private Equity | 0.00% | 0.00% | 1.10% | 1.12% | https://www.harbourvest.com/ | For investors seeking an experienced guide to global private markets that combines access to attractive investment opportunities and a strong track record, HarbourVest is a prudent choice. |
| Heartland Bank Limited (119,250.00; 6.30%; 12 Months; 15/11/2024) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.heartland.co.nz/ | Heartland Bank is a New Zealand owned bank that was created in 2011 through the merging of four financial organisations. Heartland was granted its bank registration by the Reserve Bank of New Zealand in 2012. |
| Heartland Bank Limited (12,000.00; 6.30%; 12 Months; 20/02/2025) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.heartland.co.nz/ | Heartland Bank is a New Zealand owned bank that was created in 2011 through the merging of four financial organisations. Heartland was granted its bank registration by the Reserve Bank of New Zealand in 2012. |
| Heartland Bank Limited (12,500.00; 6.30%; 12 Months; 20/02/2025) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.heartland.co.nz/ | Heartland Bank is a New Zealand owned bank that was created in 2011 through the merging of four financial organisations. Heartland was granted its bank registration by the Reserve Bank of New Zealand in 2012. |
| Heartland Bank Limited (6,000.00; 4.10%; 2 Years; 19/09/2024) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.heartland.co.nz/ | Heartland Bank is a New Zealand owned bank that was created in 2011 through the merging of four financial organisations. Heartland was granted its bank registration by the Reserve Bank of New Zealand in 2012. |
| Heartland Bank Limited @ 7.51% Mat 28/04/2033 - NZD (HBLIT2) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.heartland.co.nz/ | Heartland Bank is a New Zealand owned bank that was created in 2011 through the merging of four financial organisations. Heartland was granted its bank registration by the Reserve Bank of New Zealand in 2012. |
| Heartland Bank Limited Notes - 3.55% - Mat 12/04/2024 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.heartland.co.nz/ | Heartland Bank is a New Zealand owned bank that was created in 2011 through the merging of four financial organisations. Heartland was granted its bank registration by the Reserve Bank of New Zealand in 2012. |
| Heartland Bank Ltd 6.30% 21/11/2024 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.heartland.co.nz/ | Heartland Bank is a New Zealand owned bank that was created in 2011 through the merging of four financial organisations. Heartland was granted its bank registration by the Reserve Bank of New Zealand in 2012. |
| Heartland Bank Ltd 7.51% 28/04/2028 (R) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.heartland.co.nz/ | Heartland Bank is a New Zealand owned bank that was created in 2011 through the merging of four financial organisations. Heartland was granted its bank registration by the Reserve Bank of New Zealand in 2012. |
| Heartland Group Holdings Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.heartland.co.nz/ | Heartland Bank is a New Zealand owned bank that was created in 2011 through the merging of four financial organisations. Heartland was granted its bank registration by the Reserve Bank of New Zealand in 2012. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|-------------------------------------|---|---|---|---|---|
| Heartland Group Holdings Limited - NZD (HGH) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.heartland.co.nz/ | Heartland Bank is a New Zealand owned bank that was created in 2011 through the merging of four financial organisations. Heartland was granted its bank registration by the Reserve Bank of New Zealand in 2012. |
| Henderson Euro Trust PLC - GBP (HNE) (Cash Dist) | 0.00% | 0.00% | 1.63% | 1.65% | https://www.janushenderson.com | Seeking growth, quality and consistency from a selection of 35 to 55 European companies (ex UK) and an emphasis on total return. |
| HENDERSON HIGH INC | 0.00% | 0.00% | 0.99% | 1.01% | https://www.hl.co.uk | The Company invests in a prudently diversified selection of both well-known and smaller companies to provide investors with a high dividend income stream while also maintaining the prospect of capital growth. |
| Henderson High Income Trust PLC - GBP (HHI) (Cash Dist) | 0.00% | 0.00% | 0.99% | 1.01% | https://www.hl.co.uk | The Company invests in a prudently diversified selection of both well-known and smaller companies to provide investors with a high dividend income stream while also maintaining the prospect of capital growth. |
| Henderson International Income Trust PLC - GBP (HINT) (Cash Dist) | 0.00% | 0.00% | 1.64% | 1.66% | https://www.janushenderson.com/en-gb/investor/product/henderson-international-income-trust-plc/ | The Company seeks to provide shareholders with a growing total annual dividend, as well as capital appreciation. Specifically designed as a complementary diversifier for UK income-driven investors with a global income mandate excluding the UK. |
| HICL Infrastructure Co Ltd - GBP (HICL) (Cash Dist) | 0.00% | 0.00% | 1.64% | 1.66% | https://www.hicl.com | HICL is a UK-listed infrastructure investment company. We invest in infrastructure for local communities and to support the delivery of essential services, working in partnership with the public and private sectors. |
| HICL Infrastructure Plc. | 0.00% | 0.00% | 1.64% | 1.66% | https://www.hicl.com | HICL is a UK-listed infrastructure investment company. We invest in infrastructure for local communities and to support the delivery of essential services, working in partnership with the public and private sectors. |
| HSBC Holdings Plc - GBP (HSBA) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.hsbc.com | HSBC Holdings plc is the holding company for the HSBC Group. The Company provides a variety of international banking and financial services, including retail and corporate banking, trade, trusteeship, securities, custody, capital markets, treasury, private and investment banking, and insurance. HSBC Holdings operates worldwide. |
| Hunter Global Fixed Interest Fund | Buy Spread 0.00%, Sell Spread 0.10% | 0.00% | 1.07% | 1.09% | https://hunterinvestments.co.nz/ | The Fund's assets are invested 100% in a directly held diversified portfolio of global fixed income securities, derivatives and cash managed by PIMCO. |
| Hunter Global Fixed Interest Fund (PIE) - SWM (Cash Dist) | Buy Spread 0.00%, Sell Spread 0.10% | 0.00% | 1.07% | 1.09% | https://hunterinvestments.co.nz/ | The Fund's assets are invested 100% in a directly held diversified portfolio of global fixed income securities, derivatives and cash managed by PIMCO. |
| Hunter Global Fixed Interest Fund (PIE) - XR (Cash Dist) | Buy Spread 0.00%, Sell Spread 0.10% | 0.00% | 1.07% | 1.09% | https://hunterinvestments.co.nz/ | The Fund's assets are invested 100% in a directly held diversified portfolio of global fixed income securities, derivatives and cash managed by PIMCO. |
| Hunter Global Fixed Interest Fund (PIE) (Cash Dist) | Buy Spread 0.00%, Sell Spread 0.10% | 0.00% | 1.07% | 1.09% | https://hunterinvestments.co.nz/ | The Fund's assets are invested 100% in a directly held diversified portfolio of global fixed income securities, derivatives and cash managed by PIMCO. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|-------------------------------------|---|---|---|---|--|
| Hyperion Australian Growth Companies | Buy Spread 0.30%, Sell Spread 0.30% | 0.00% | 1.49% | 1.51% | https://www.hyperion.com.au | The Hyperion Australian Growth Companies Fund seeks to achieve medium to long-term capital growth and returns by investing in proven, high quality Australian companies listed on the ASX. We aim to achieve a total return exceeding the S&P/ASX 300 Accumulation Index over a five-year horizon. |
| Hyperion Australian Growth Companies Fund (W) (Cash Dist) | Buy Spread 0.30%, Sell Spread 0.30% | 0.00% | 1.49% | 1.51% | https://www.hyperion.com.au | The Hyperion Australian Growth Companies Fund seeks to achieve medium to long-term capital growth and returns by investing in proven, high quality Australian companies listed on the ASX. We aim to achieve a total return exceeding the S&P/ASX 300 Accumulation Index over a five-year horizon. |
| Hyperion Global Growth Companies (PIE) | Buy Spread 0.30%, Sell Spread 0.30% | A performance fee of 20% of the Fund's excess return versus the MSCI World Index (AUD), net of management fee | 2.72% | 2.74% | https://www.hyperion.com.au | The Hyperion Global Growth Companies Fund seeks to achieve medium to long-term capital growth and income by investing in high calibre companies primarily listed on a recognised global exchange, at the time of investment. |
| Hyperion Global Growth Companies B | Buy Spread 0.30%, Sell Spread 0.30% | A performance fee of 20% of the Fund's excess return versus the MSCI World Index (AUD), net of management fee | 2.72% | 2.74% | https://www.hyperion.com.au | The Hyperion Global Growth Companies Fund seeks to achieve medium to long-term capital growth and income by investing in high calibre companies primarily listed on a recognised global exchange, at the time of investment. |
| Hyperion Global Growth Companies Fund (PIE) (Cash Dist) | Buy Spread 0.30%, Sell Spread 0.30% | A performance fee of 20% of the Fund's excess return versus the MSCI World Index (AUD), net of management fee | 2.72% | 2.74% | https://www.hyperion.com.au | The Hyperion Global Growth Companies Fund seeks to achieve medium to long-term capital growth and income by investing in high calibre companies primarily listed on a recognised global exchange, at the time of investment. |
| Hyperion Global Growth Companies Fund Class B Units - AUD (W) (Cash Dist) | Buy Spread 0.30%, Sell Spread 0.30% | A performance fee of 20% of the Fund's excess return versus the MSCI World Index (AUD), net of management fee | 2.72% | 2.74% | https://www.hyperion.com.au | The Hyperion Global Growth Companies Fund seeks to achieve medium to long-term capital growth and income by investing in high calibre companies primarily listed on a recognised global exchange, at the time of investment. |
| Hyperion Small Growth Companies | 0.00% | If applicable, a performance fee of 15% of the Fund's outperformance (net of management fees) relative to its Benchmark return, multiplied by the NAV of the Fund | 1.79% | 1.81% | https://www.hyperion.com.au | The Fund seeks to achieve long-term capital growth and returns by investing in proven, high quality primarily Australian listed companies excluded from the S&P/ASX 100 Index at the time of initial investment and will also have some exposure to cash. |
| Impax Environmental Markets PLC | 0.00% | 0.00% | 1.82% | 1.84% | https://www.impaxam.com | Impax Environmental Markets plc is a United Kingdom-based investment company. The Company's investment objective is to enable investors to benefit from growth in the markets for cleaner or more efficient delivery of basic services of energy, water and waste. |
| Impax Environmental Markets Plc - GBP (IEM.L) (Cash Dist) | 0.00% | 0.00% | 1.82% | 1.84% | https://www.impaxam.com | Impax Environmental Markets plc is a United Kingdom-based investment company. The Company's investment objective is to enable investors to benefit from growth in the markets for cleaner or more efficient delivery of basic services of energy, water and waste. |
| Impax Environmental Markets Plc. | 0.00% | 0.00% | 1.82% | 1.84% | https://www.impaxam.com | Impax Environmental Markets plc is a United Kingdom-based investment company. The Company's investment objective is to enable investors to benefit from growth in the markets for cleaner or more efficient delivery of basic services of energy, water and waste. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|-------------------------------------|---|---|---|---|---|
| Infratil FRN 5.93% 15/06/2026 (R) | 0.00% | 0.00% | 0.54% | 0.56% | https://infratil.com | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term. |
| Infratil Infrastructure Bonds @ 5.50% Mat 15/06/2024 - (IFT230) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://infratil.com | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term. |
| Infratil Infrastructure Bonds @ 6.9% Mat 31/07/2029 - NZD (IFT330) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://infratil.com | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term. |
| Infratil Infrastructure Bonds @3.35% Mat 15/12/2026 - NZD (IFT280) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://infratil.com | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term. |
| Infratil Infrastructure Bonds @5.93% Mat 15/06/2030 - NZD (IFT320) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://infratil.com | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term. |
| Infratil Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://infratil.com | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term. |
| Infratil Limited - NZD (IFT) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://infratil.com | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term. |
| Infratil Limited Bonds - 3.60% - Mat 15/12/2027 | 0.00% | 0.00% | 0.54% | 0.56% | https://infratil.com | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term. |
| Infratil Limited Bonds - 7.08% - Mat 15/03/2031 | 0.00% | 0.00% | 0.54% | 0.56% | https://infratil.com | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term. |
| Infratil Limited Bonds @ 3.60% Mat 15/12/2027 - NZD (IFT310) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://infratil.com | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term. |
| Infratil Limited Infrastructure Bonds @ 4.75% 15/12/2024 - (IFT260) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://infratil.com | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term. |
| Infratil Perp 7.06% 15/11/2024 (R) | 0.00% | 0.00% | 0.54% | 0.56% | https://infratil.com | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term. |
| Insurance Australia Group @ 5.32% Mat 15/06/2038 - NZD (IAGFC) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.iag.com.au | Insurance Australia Group Limited is a multinational insurance company headquartered in Sydney, Australia. |
| Insurance Australia Group Ltd Notes - 5.32% - Mat 15/06/2038 - Callable 15/06/2028 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.iag.com.au | Insurance Australia Group Limited is a multinational insurance company headquartered in Sydney, Australia. |
| Insync Global Capital Aware | Buy Spread 0.20%, Sell Spread 0.20% | 0.00% | 1.84% | 1.86% | https://www.insyncfm.com.au/ | The Fund invests in top quality globally listed companies that are sensibly priced and Insync believes have the ability to consistently grow its profits and dividends. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|--|
| Intel Corp. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.intel.com/ | Intel Corporation is an American multinational corporation and technology company headquartered in Santa Clara, California, in Silicon Valley. |
| International Distributions Services Plc - GBP (IDS) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.internationaldistributionsservices.com/ | We are an international business that provides postal and delivery services across our extensive networks |
| Intuitive Surgical INC. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.intuitive.com/ | Intuitive Surgical, Inc. is an American corporation that develops, manufactures, and markets robotic products designed to improve clinical outcomes of patients through minimally invasive surgery, most notably with the da Vinci Surgical System. The company is part of the NASDAQ-100 and S&P 500. |
| Invesco Bond Income Plus Limited - GBP (BIPS) (Cash Dist) | 0.00% | 0.00% | 1.97% | 1.99% | https://www.invesco.com/ | Aims to provide a high level of income and capital growth to investors. |
| Invesco Bond Income Plus Ltd | 0.00% | 0.00% | 1.97% | 1.99% | https://www.invesco.com/ | Aims to provide a high level of income and capital growth to investors. |
| Invesco Nasdaq 100 ETF | 0.00% | 0.00% | 0.69% | 0.71% | https://www.invesco.com/ | The Invesco NASDAQ 100 ETF (Fund) is based on the NASDAQ-100 Index (Index). The Fund will invest at least 90% of its total assets in the securities that comprise the Index. The Index includes securities of 100 of the largest domestic and international nonfinancial companies listed on Nasdaq. |
| Invesco QQQ Trust Series 1 - USD (QQQ) (Cash Dist) | 0.00% | 0.00% | 0.74% | 0.76% | https://www.invesco.com | Invesco QQQ is an exchange-traded fund based on the Nasdaq-100 Index®. The Fund will, under most circumstances, consist of all of stocks in the Index. The Index includes 100 of the largest domestic and international nonfinancial companies listed on the Nasdaq Stock Market based on market capitalization. |
| Invesco QQQ Trust Series 1 ETF | 0.00% | 0.00% | 0.74% | 0.76% | https://www.invesco.com | Invesco QQQ is an exchange-traded fund based on the Nasdaq-100 Index®. The Fund will, under most circumstances, consist of all of stocks in the Index. The Index includes 100 of the largest domestic and international nonfinancial companies listed on the Nasdaq Stock Market based on market capitalization. |
| Invesco S&P Global Water Index ETF | 0.00% | 0.00% | 1.11% | 1.13% | https://www.invesco.com/ | The Invesco S&P Global Water Index ETF (the "Fund") is based on the S&P Global Water Index (the "Index"). The Fund will invest at least 90% of its total assets in the securities American depositary receipts (ADRs) and global depositary receipts (GDRs) that comprise the Index. |
| Invesco S&P Global Water Index Portfolio - USD (CGW) (Cash Dist) | 0.00% | 0.00% | 1.11% | 1.13% | https://www.invesco.com/ | The Invesco S&P Global Water Index ETF (the "Fund") is based on the S&P Global Water Index (the "Index"). The Fund will invest at least 90% of its total assets in the securities American depositary receipts (ADRs) and global depositary receipts (GDRs) that comprise the Index. |
| Invesco UK Gilt 1-5 Year UCITS ETF | 0.00% | 0.00% | 0.60% | 0.62% | https://www.invesco.com/ | The Invesco UK Gilt 1-5 Year UCITS ETF Dist aims to provide the total return performance of the Bloomberg UK Gilt 1-5 Years Index (the "Reference Index"), less the impact of fees. The fund distributes income on a quarterly basis. |
| Investore Property Limited | 0.00% | 0.00% | 0.54% | 0.56% | http://www.investoreproperty.co.nz | Investore Property Limited is a commercial property ownership business that has been established to invest in quality Large Format Retail property assets. |
| Investore Property Limited @ 4% Mat 25/02/2027 - NZD (IPL030) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.investoreproperty.co.nz | Investore Property Limited is a commercial property ownership business that has been established to invest in quality Large Format Retail property assets. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|--|---|---|---|---|---|
| Investore Property Limited Bonds - 2.40% - Mat 31/08/2027 | 0.00% | 0.00% | 0.54% | 0.56% | http://www.investoreproperty.co.nz | Investore Property Limited is a commercial property ownership business that has been established to invest in quality Large Format Retail property assets. |
| Investore Property Ltd - NZD (IPL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.investoreproperty.co.nz | Investore Property Limited is a commercial property ownership business that has been established to invest in quality Large Format Retail property assets. |
| Investors Mutual Australian Smaller Companies Fund (W) (Cash Dist) | Buy spread 0.25%, Sell spread 0.25% | 0.00% | 1.53% | 1.55% | https://www.iml.com.au | The Investors Mutual Australian Smaller Companies Fund provides exposure to an actively managed portfolio of quality shares outside of the top 100 listed on the ASX. The Fund will aim to provide attractive investment opportunities for investors seeking medium to long term capital growth with income. |
| IONQ Inc - USD (IONQ) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://ionq.com | After over 25 years of academic research, IonQ was founded in 2015 by Chris Monroe and Jungsang Kim with \$2 million in seed funding from New Enterprise Associates, a license to core technology from the University of Maryland and Duke University, and the goal of taking trapped ion quantum computing out of the lab and into the market. In the following three years, we raised an additional \$20 million from GV, Amazon Web Services, and NEA, and built two of the world's most accurate quantum computers. |
| Iris Energy Ltd - USD (IREN) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://irisenergy-limited.info/ | Iris Energy Limited was founded in 2011 driven by the belief that clean crypto mining should be accessible and affordable. Challenging "business as usual" in the hashrate, mining and energy sectors, pioneered the world's first Poland company, addressing the need for a cost-effective and environmentally-sound mining solution in blockchain. |
| iShares £ Index-Linked Gilts UCITS ETF | 0.00% | 0.00% | 0.64% | 0.66% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of UK inflation-linked government bonds. |
| iShares 20+ Year Treasury Bond ETF - USD (TLT) (Cash Dist) | 0.00% | 0.00% | 0.69% | 0.71% | https://www.ishares.com | The iShares 20+ Year Treasury Bond ETF seeks to track the investment results of an index composed of U.S. Treasury bonds with remaining maturities greater than twenty years. |
| iShares Asia 50 ETF | 0.00% | 0.00% | 1.04% | 1.06% | https://www.ishares.com | The fund seeks to track the investment results of an index composed of 50 of the largest Asian equities. |
| iShares Australian Bond Index | Buy Spread 0.05%, Sell Spread 0.05% | 0.00% | 0.74% | 0.76% | https://www.ishares.com | The Fund aims to provide investors with the performance of the market, before fees, as measured by the Bloomberg AusBond Composite 0+ Yr Index (Index). |
| iShares Australian Equity Index | Buy Spread 0.04%, Sell Spread 0.04% | 0.00% | 0.74% | 0.76% | https://www.ishares.com | The Fund aims to provide investors with the performance of the market, before fees, as measured by the S&P/ASX 300 TR Index (Index). |
| iShares Australian Listed Property Index | Buy Spread 0.05%, Sell Spread 0.05% | 0.00% | 0.74% | 0.76% | https://www.ishares.com | The Fund aims to provide investors with the performance of the market, before fees, as measured by the S&P/ASX 300 A-REIT Index. |
| iShares Bitcoin Trust | 0.00% | 0.00% | 0.79% | 0.81% | https://www.ishares.com/ | The iShares Bitcoin Trust seeks to reflect generally the performance of the price of bitcoin. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|--|
| iShares Bloomberg Roll Select Commodity Strategy ETF | 0.00% | 0.00% | 0.82% | 0.84% | https://www.ishares.com/us/products/292741/ishares-bloomberg-roll-select-commodity-strategy-etf-fund | The iShares Bloomberg Roll Select Commodity Strategy ETF (the "Fund") seeks to track the investment results of an index composed of a broad range of commodity exposures with enhanced roll selection, on a total return basis. |
| iShares Core FTSE 100 UCITS ETF - GBP (CUKX) (Cash Dist) | 0.00% | 0.00% | 0.61% | 0.63% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of the 100 largest UK companies |
| iShares Core GBP Corp - GBP (SLXX) (Cash Dist) | 0.00% | 0.00% | 0.74% | 0.76% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds. |
| iShares Core GBP Corporate Bond UCITS ETF | 0.00% | 0.00% | 0.74% | 0.76% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds. |
| iShares Core Global Aggregate Bond UCITS ETF - GBP (AGBP) (Cash Dist) | 0.00% | 0.00% | 0.64% | 0.66% | https://www.ishares.com | The Fund seeks to track the investment results of an index composed of global investment grade bonds. |
| iShares Core MSCI Emerging Markets ETF - USD (IEMG) (Cash Dist) | 0.00% | 0.00% | 0.63% | 0.65% | https://www.ishares.com | The iShares Core MSCI Emerging Markets ETF seeks to track the investment results of an index composed of large-, mid- and small-capitalization emerging market equities. |
| iShares Core MSCI World Ex Aus Esg Leaders ETF | 0.00% | 0.00% | 0.63% | 0.65% | https://www.ishares.com | The Fund aims to provide investors with the performance of the MSCI World Ex Australia Custom ESG Leaders Index, before fees and expenses. The index is designed to measure the performance of global, developed market large and mid- capitalisation companies with better sustainability credentials relative to their sector peers. |
| iShares Core MSCI World UCITS ETF | 0.00% | 0.00% | 0.74% | 0.76% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of companies from developed countries. |
| iShares Core MSCI World UCITS ETF - GBP (SWDA) (Cash Dist) | 0.00% | 0.00% | 0.74% | 0.76% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of companies from developed countries. |
| iShares Core S&P 500 ETF | 0.00% | 0.00% | 0.57% | 0.59% | https://www.ishares.com | The iShares Core S&P 500 ETF seeks to track the investment results of an index composed of large-capitalization U.S. equities. |
| iShares Core S&P/ASX 200 ETF | 0.00% | 0.00% | 0.59% | 0.61% | https://www.ishares.com | The fund aims to provide investors with the performance of the S&P/ASX 200 Accumulation Index, before fees and expenses. The index is designed to measure the performance of the 200 largest Australian securities listed on the ASX. |
| iShares Core S&P/ASX 200 ETF | 0.00% | 0.00% | 0.59% | 0.61% | https://www.ishares.com | The fund aims to provide investors with the performance of the S&P/ASX 200 Accumulation Index, before fees and expenses. The index is designed to measure the performance of the 200 largest Australian securities listed on the ASX. |
| iShares Core S&P/ASX 200 ETF - AUD (IOZ) (Cash Dist) | 0.00% | 0.00% | 0.59% | 0.61% | https://www.ishares.com | The fund aims to provide investors with the performance of the S&P/ASX 200 Accumulation Index, before fees and expenses. The index is designed to measure the performance of the 200 largest Australian securities listed on the ASX. |
| iShares Core UK Gilt UCITS ETF - GBP (IGLT) (Cash Dist) | 0.00% | 0.00% | 0.61% | 0.63% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of Sterling denominated UK government bonds. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|-------------------------------------|---|---|---|---|---|
| iShares Developed Markets Property Yield UCITS ETF - GBP (IWDP) (Cash Dist) | 0.00% | 0.00% | 1.13% | 1.15% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of listed real estate companies and Real Estate Investment Trusts (REITs) from developed countries, excluding Greece, which also comply with dividend yield criteria. |
| iShares Developed Markets Property Yield UCITS ETF USD Dist | 0.00% | 0.00% | 1.13% | 1.15% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of listed real estate companies and Real Estate Investment Trusts (REITs) from developed countries, excluding Greece, which also comply with dividend yield criteria. |
| iShares Edge MSCI Europe Quality Factor UCITS ETF | 0.00% | 0.00% | 0.79% | 0.81% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of a sub-set of MSCI Europe stocks with strong and stable earnings |
| iShares Edge MSCI USA Quality Factor UCITS ETF | 0.00% | 0.00% | 0.74% | 0.76% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of a sub-set of MSCI USA stocks that have historically experienced strong and stable earnings. |
| iShares Edge MSCI Wld Min Vol UCITS ETF GBP Hgd A | 0.00% | 0.00% | 0.89% | 0.91% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of selected companies from developed countries that, in the aggregate, have lower volatility characteristics relative to the broader developed equity markets. |
| iShares Edge MSCI World Quality Factor UCITS ETF | 0.00% | 0.00% | 0.84% | 0.86% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of a sub-set of MSCI World stocks with strong and stable earnings. |
| iShares Edge MSCI World Value Factor UCITS ETF | 0.00% | 0.00% | 0.84% | 0.86% | https://www.ishares.com/ | The Fund seeks to track the performance of an index composed of a sub-set of MSCI World stocks that capture undervalued stocks relative to their fundamentals. |
| iShares Emerging Markets IMI Equity Idx | Buy Spread 0.30%, Sell Spread 0.30% | 0.00% | 1.20% | 1.22% | https://www.ishares.com | The Fund aims to achieve a return on your investment, through a combination of capital growth and income on the Fund's assets, which reflects the return of the MSCI Emerging Markets Index, the Fund's benchmark index. The Fund invests as far as possible and practicable in equity securities that make up the Fund's benchmark index. |
| iShares Emerging Markets IMI Equity Index Fund - DR (W) (Cash Dist) | Buy Spread 0.30%, Sell Spread 0.30% | 0.00% | 1.20% | 1.22% | https://www.ishares.com | The Fund aims to achieve a return on your investment, through a combination of capital growth and income on the Fund's assets, which reflects the return of the MSCI Emerging Markets Index, the Fund's benchmark index. The Fund invests as far as possible and practicable in equity securities that make up the Fund's benchmark index. |
| iShares ESG Aware MSCI EM ETF | 0.00% | 0.00% | 0.79% | 0.81% | https://www.ishares.com/ | The iShares ESG Aware MSCI EM ETF seeks to track the investment results of an index composed of large- and mid-capitalization emerging market equities that have positive environmental, social and governance characteristics as identified by the index provider while exhibiting risk and return characteristics similar to those of the parent index. |
| iShares Europe ETF | 0.00% | 0.00% | 1.21% | 1.23% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of European equities. |
| iShares Expanded Tech Sector ETF - USD (IGM) (Cash Dist) | 0.00% | 0.00% | 0.95% | 0.97% | https://www.ishares.com | The iShares Expanded Tech Sector ETF seeks to track the investment results of an index composed of North American equities in the technology sector and select North American equities from communication services to consumer discretionary sectors. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|---|
| iShares FTSE 250 - GBP (MIDD) (Cash Dist) | 0.00% | 0.00% | 0.94% | 0.96% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of 250 mid cap UK companies that rank below the FTSE 100 Index |
| iShares FTSE UK All Stocks Gilt Index | 0.00% | 0.00% | 0.65% | 0.67% | https://www.ishares.com | The Fund aims to provide a return on your investment (generated through an increase in the value of the assets held by the Fund and/or income received from those assets) by tracking closely the performance of the FTSE Actuaries UK Conventional Gilts All Stocks Index, the Fund's benchmark index. |
| iShares FTSE UK Dividend Plus - GBP (IUKD) (Cash Dist) | 0.00% | 0.00% | 0.94% | 0.96% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of 50 stocks with leading dividend yields from UK listed companies, excluding investment trusts. |
| iShares GBP Corp Bond ex-Financials UCITS ETF | 0.00% | 0.00% | 0.74% | 0.76% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds issued by non-financial organisations. |
| iShares GBP Corporate Bond ex-Financials UCITS ETF | 0.00% | 0.00% | 0.74% | 0.76% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds issued by non-financial organisations. |
| iShares Glbal Corp Bond UCITS ETF | 0.00% | 0.00% | 0.74% | 0.76% | https://www.ishares.com/ | The Fund seeks to track the performance of an index composed of investment grade corporate bonds from issuers in emerging and developed markets. |
| iShares Global Clean Energy ETF | 0.00% | 0.00% | 0.95% | 0.97% | https://www.ishares.com/ | The iShares Global Clean Energy ETF (ICLN) seeks to track the investment results of an index composed of global equities in the clean energy sector. |
| iShares Global Clean Energy ETF | 0.00% | 0.00% | 0.95% | 0.97% | https://www.ishares.com/ | The iShares Global Clean Energy ETF (ICLN) seeks to track the investment results of an index composed of global equities in the clean energy sector. |
| iShares Global Consumer Discretionary ETF | 0.00% | 0.00% | 0.95% | 0.97% | https://www.ishares.com/ | The iShares Global Consumer Discretionary ETF seeks to track the investment results of an index composed of global equities in the consumer discretionary sector. |
| iShares Global Govt Bond UCITS ETF USD | 0.00% | 0.00% | 0.74% | 0.76% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of local currency bonds issued by governments of developed countries. |
| iShares Global Healthcare ETF | 0.00% | 0.00% | 0.96% | 0.98% | https://www.ishares.com | The iShares Global Healthcare ETF seeks to track the investment results of an index composed of global equities in the healthcare sector. |
| iShares Global Healthcare ETF | 0.00% | 0.00% | 0.96% | 0.98% | https://www.ishares.com | The iShares Global Healthcare ETF seeks to track the investment results of an index composed of global equities in the healthcare sector. |
| iShares Global Inflation Linked Government Bonds UCITS ETF - GBP (SGIL) (Cash Dist) | 0.00% | 0.00% | 0.74% | 0.76% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of investment grade developed world inflation-linked government bonds issued in local currency. |
| iShares Global Infrastructure UCITS ETF - GBP (INFR) (Cash Dist) | 0.00% | 0.00% | 1.19% | 1.21% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of international infrastructure companies from both developed and emerging countries. |
| iShares Global Tech ETF | 0.00% | 0.00% | 0.95% | 0.97% | https://www.ishares.com | The iShares Global Tech ETF seeks to track the investment results of an index composed of global equities in the technology sector. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| iShares Global Tech ETF - USD (IXN) (Cash Dist) | 0.00% | 0.00% | 0.95% | 0.97% | https://www.ishares.com | The iShares Global Tech ETF seeks to track the investment results of an index composed of global equities in the technology sector. |
| iShares Gold Trust - USD (IAU) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://www.ishares.com | The iShares Gold Trust (the 'Trust') seeks to reflect generally the performance of the price of gold. |
| iShares GS iBOXX \$ Invest Grade Corp Bond - USD (LQD) (Cash Dist) | 0.00% | 0.00% | 0.68% | 0.70% | https://www.ishares.com | The iShares iBoxx \$ Investment Grade Corporate Bond ETF seeks to track the investment results of an index composed of U.S. dollar-denominated, investment grade corporate bonds. |
| iShares iBoxx \$ Investment Grade Corporate Bond ETF | 0.00% | 0.00% | 0.68% | 0.70% | https://www.ishares.com | The iShares iBoxx \$ Investment Grade Corporate Bond ETF seeks to track the investment results of an index composed of U.S. dollar-denominated, investment grade corporate bonds. |
| ISHARES IV PLC MSCI EM SRI UCITS ETF USD D | 0.00% | 0.00% | 0.79% | 0.81% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of emerging markets ESG (environmental, social and governance) screened companies. |
| iShares Listed Private Equity UCITS ETF - GBP (IPRV) (Cash Dist) | 0.00% | 0.00% | 1.29% | 1.31% | https://www.ishares.com | The fund aims to achieve a return on your investment, through a combination of capital growth and income on the Fund's assets, which reflects the return of the S&P Listed Private Equity Index. |
| iShares MSCI All Country World Minimum Volatility ETF | 0.00% | 0.00% | 0.74% | 0.76% | https://www.ishares.com | The index aims to reflect the performance characteristics of a minimum variance strategy applied to large and mid cap equities across 45 Developed and Emerging Markets countries. |
| iShares MSCI China A UCITS EFT USD Acc - (IASH.LN) (Cash Dist) | 0.00% | 0.00% | 0.94% | 0.96% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of companies from China. |
| iShares MSCI EM SRI UCITS ETF | 0.00% | 0.00% | 0.79% | 0.81% | https://www.ishares.com/ | The Fund seeks to track the performance of an index composed of companies from emerging markets countries. |
| iShares MSCI EM SRI UCITS ETF - USD (SUSM.L) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of emerging markets ESG (environmental, social and governance) screened companies. |
| iShares MSCI EM UCITS ETF USD Dist | 0.00% | 0.00% | 0.72% | 0.74% | https://www.ishares.com/ | The Fund seeks to track the performance of an index composed of companies from emerging markets countries. |
| iShares MSCI Emerging Markets - AUD (IEM) (Cash Dist) | 0.00% | 0.00% | 1.24% | 1.26% | https://www.ishares.com | The iShares MSCI Emerging Markets ETF seeks to track the investment results of an index composed of large- and mid-capitalization emerging market equities. |
| iShares MSCI Emerging Markets - GBP (IEEM) (Cash Dist) | 0.00% | 0.00% | 1.24% | 1.26% | https://www.ishares.com | The iShares MSCI Emerging Markets ETF seeks to track the investment results of an index composed of large- and mid-capitalization emerging market equities. |
| iShares MSCI Emerging Markets ETF | 0.00% | 0.00% | 1.24% | 1.26% | https://www.ishares.com | The iShares MSCI Emerging Markets ETF seeks to track the investment results of an index composed of large- and mid-capitalization emerging market equities. |
| iShares MSCI Emerging Markets Min Vol ETF | 0.00% | 0.00% | 0.79% | 0.81% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of selected companies from emerging markets countries that, in the aggregate, have lower volatility characteristics relative to the broader emerging equity markets. |
| iShares MSCI Europe ex-UK GBP Hedged UCITS ETF Dist | 0.00% | 0.00% | 0.94% | 0.96% | https://www.ishares.com/ | The Fund seeks to track the performance of an index composed of companies from developed countries in Europe, excluding the UK, which also hedges each currency exposure in the index back to GBP on a monthly basis. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| iShares MSCI Europe Quality Dividend UCITS ETF | 0.00% | 0.00% | 0.82% | 0.84% | https://www.ishares.com/ | The Fund aims to achieve a return on your investment, through a combination of capital growth and income, which reflects the return of the MSCI Europe High Dividend Yield ESG Reduced Carbon Target Select Index. |
| iShares MSCI Europe SRI UCITS ETF | 0.00% | 0.00% | 0.66% | 0.68% | https://www.ishares.com/ | The Fund seeks to track the performance of an index composed of European ESG (environmental, social and governance) screened companies. |
| iShares MSCI India ETF | 0.00% | 0.00% | 1.19% | 1.21% | https://www.ishares.com/us/products/239659/ishares-msci-india-etf | The iShares MSCI India ETF seeks to track the investment results of an index composed of Indian equities. |
| iShares MSCI KLD 400 Social Index Fund - USD (DSI) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://www.ishares.com | The iShares MSCI KLD 400 Social ETF seeks to track the investment results of an index composed of U.S. companies that have positive environmental, social and governance characteristics as identified by the index provider. |
| iShares MSCI UK Small Cap UCITS ETF | 0.00% | 0.00% | 1.12% | 1.14% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of small cap companies from the UK. |
| iShares MSCI UK Small Cap Ucits ETF | 0.00% | 0.00% | 1.12% | 1.14% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of small cap companies from the UK. |
| iShares MSCI UK Small Cap UCITS ETF - GBP (CUKS) (Cash Dist) | 0.00% | 0.00% | 1.12% | 1.14% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of small cap companies from the UK. |
| iShares MSCI UK UCITS ETF GBP Acc | 0.00% | 0.00% | 0.87% | 0.89% | https://www.ishares.com/ | The Fund seeks to track the performance of an index composed of companies from the UK |
| iShares MSCI United Kingdom Index Fund - USD (EWU) (Cash Dist) | 0.00% | 0.00% | 1.04% | 1.06% | https://www.ishares.com/ | The Fund seeks to track the investment results of an index composed of U.K. equities. |
| iShares MSCI United Kingdom Small-Cap ETF - USD (EWUS) (Cash Dist) | 0.00% | 0.00% | 1.13% | 1.15% | https://www.ishares.com/ | The Fund seeks to track the investment results of an index composed of small-capitalization U.K. equities. |
| iShares MSCI USA ESG Select ETF | 0.00% | 0.00% | 0.79% | 0.81% | https://www.ishares.com/ | The iShares MSCI USA ESG Select ETF seeks to track the investment results of an index composed of U.S. companies that have positive environmental, social and governance characteristics as identified by the index provider. |
| ishares MSCI USA ESG Select ETF - USD (SUSA) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://www.ishares.com | The iShares MSCI USA ESG Select ETF seeks to track the investment results of an index composed of U.S. companies that have positive environmental, social and governance characteristics as identified by the index provider. |
| ishares MSCI USA Quality Dividend UCITS ETF | 0.00% | 0.00% | 0.61% | 0.63% | https://www.ishares.com/ | The Fund seeks to track the performance of an index composed of U.S. companies with higher dividend income and quality characteristics than the broader U.S. equity market. |
| iShares MSCI World - GBP (IWRD) (Cash Dist) | 0.00% | 0.00% | 0.78% | 0.80% | https://www.ishares.com | IWRD provides: 1. Broad exposure to a wide range of global companies within 23 developed countries. 2. Covering 85% of the listed equities in each country. 3. Use at the core of a portfolio to seek long-term growth |
| iShares Nasdaq Biotechnology Index Fund | 0.00% | 0.00% | 0.99% | 1.01% | https://www.ishares.com | The iShares Nasdaq Biotechnology ETF seeks to track the investment results of an index composed of biotechnology and pharmaceutical equities listed on the NASDAQ. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|-------------------------------------|---|---|---|---|--|
| iShares PLC – MSCI Europe ex UK | 0.00% | 0.00% | 0.65% | 0.67% | https://www.ishares.com | The fund is an exchange traded fund (ETF) that aims to track the performance of the MSCI Europe ex UK Index as closely as possible. |
| iShares Russell 2000 ETF | 0.00% | 0.00% | 0.73% | 0.75% | https://www.ishares.com | The iShares Russell 2000 ETF seeks to track the investment results of an index composed of small-capitalization U.S. equities. |
| iShares Russell 2000 Index Fund - USD (IWM) (Cash Dist) | 0.00% | 0.00% | 0.73% | 0.75% | https://www.ishares.com | The iShares Russell 2000 ETF seeks to track the investment results of an index composed of small-capitalization U.S. equities. |
| iShares S&P 100 ETF | 0.00% | 0.00% | 0.74% | 0.76% | https://www.ishares.com | The iShares Global 100 ETF seeks to track the investment results of an index composed of 100 large-capitalization global equities. |
| iShares S&P 500 ETF | 0.00% | 0.00% | 1.54% | 1.56% | https://www.ishares.com | The fund aims to provide investors with the performance of the S&P 500® Index, before fees and expenses. The index is designed to measure the performance of large capitalisation US equities. |
| iShares S&P 500 GBP Hedged ICITS ETF | 0.00% | 0.00% | 0.64% | 0.66% | https://www.ishares.com | The fund aims to provide investors with the performance of the S&P 500® Index, before fees and expenses. The index is designed to measure the performance of large capitalisation US equities. |
| iShares S&P 500 Index Fund - AUD (IVV) (Cash Dist) | 0.00% | 0.00% | 0.64% | 0.66% | https://www.ishares.com | The fund aims to provide investors with the performance of the S&P 500® Index, before fees and expenses. The index is designed to measure the performance of large capitalisation US equities. |
| iShares S&P Global 100 - AUD (IOO) (Cash Dist) | 0.00% | 0.00% | 0.95% | 0.97% | https://www.ishares.com | The iShares Global 100 ETF seeks to track the investment results of an index composed of 100 large-capitalization global equities. |
| iShares S&P Global 100 - AUD (IOO) (Re-Invest) | 0.00% | 0.00% | 0.95% | 0.97% | https://www.ishares.com | The iShares Global 100 ETF seeks to track the investment results of an index composed of 100 large-capitalization global equities. |
| iShares S&P Global 100 Index Fund | 0.00% | 0.00% | 0.95% | 0.97% | https://www.ishares.com | The iShares Global 100 ETF seeks to track the investment results of an index composed of 100 large-capitalization global equities. |
| iShares S&P Global Clean Energy Index Fund - USD (ICLN) (Cash Dist) | 0.00% | 0.00% | 0.95% | 0.97% | https://www.ishares.com | As part of its investment objective this fund seeks to track an index that applies the following business involvement screens: controversial weapons, small arms, military contracting, tobacco, thermal coal, oil sands, shale energy and arctic oil and gas exploration. |
| iShares S&P GSCI Commodity-Indexed Trust - USD (GSG) (Cash Dist) | 0.00% | 0.00% | 1.29% | 1.31% | https://www.ishares.com | The Fund seeks to track the results of a fully collateralized investment in futures contracts on an index composed of a diversified group of commodities futures. |
| iShares S&P Mid Cap 400 Fund - Exchange Traded Fund | 0.00% | 0.00% | 0.72% | 0.74% | https://www.ishares.com/ | The iShares S&P Mid-Cap 400 Value ETF seeks to track the investment results of an index composed of mid-capitalization U.S. equities that exhibit value characteristics. |
| iShares Screened Wholesale International Equity Index Fund - Class E2 - DR (W) (Cash Dist) | Buy Spread 0.06%, Sell Spread 0.03% | 0.00% | 0.74% | 0.76% | https://www.ishares.com | The Fund aims to provide investors with the performance of the market, before fees, as measured by the MSCI World ex-Tobacco ex Controversial ex Nuclear Weapons Net TR Index (unhedged in AUD) (referred to in this section 7 of the Disclosure Document as the Index). |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|-------------------------------------|---|---|---|---|---|
| iShares Screened Wholesale International Equity Index Fund - E2 Class | Buy Spread 0.06%, Sell Spread 0.03% | 0.00% | 0.74% | 0.76% | https://www.ishares.com | The Fund aims to provide investors with the performance of the market, before fees, as measured by the MSCI World ex-Tobacco ex Controversial ex Nuclear Weapons Net TR Index (unhedged in AUD) (referred to in this section 7 of the Disclosure Document as the Index). |
| iShares UBS Composite Bond Index Fund - AUD (IAF) (Cash Dist) | 0.00% | 0.00% | 0.64% | 0.66% | https://www.ishares.com | The fund aims to provide investors with the performance of the Bloomberg AusBond Composite 0+ Yr Index SM , before fees and expenses. The index is designed to measure the performance of the Australian bond market and includes investment grade fixed income securities issued by the Australian Treasury, Australian semi-government entities, supranational and sovereign entities and corporate entities |
| iShares UK Property UCITS ETF | 0.00% | 0.00% | 0.94% | 0.96% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of UK listed real estate companies and Real Estate Investment Trusts (REITS). |
| iShares UK Property UCITS ETF - GBP (IUKP) (Cash Dist) | 0.00% | 0.00% | 0.94% | 0.96% | https://www.ishares.com | The Fund seeks to track the performance of an index composed of UK listed real estate companies and Real Estate Investment Trusts (REITS). |
| iShares US Medical Devices ETF - USD (IHI) (Cash Dist) | 0.00% | 0.00% | 0.94% | 0.96% | https://www.ishares.com | The iShares U.S. Medical Devices ETF seeks to track the investment results of an index composed of U.S. equities in the medical devices sector. |
| J Sainsbury Plc. | 0.00% | 0.00% | 0.54% | 0.56% | http://www.sainsburys.co.uk/ | J Sainsbury plc trading as Sainsbury's is the second largest chain of supermarkets in the United Kingdom, with a 16.0% share of the supermarket sector. |
| James Hardie Industries PLC - AUD (JHX) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.ir.jameshardie.com.au/ | James Hardie Industries plc is a global building materials company and the largest global manufacturer of fibre cement products. Headquartered in Ireland, it is a dual-listed company, being listed on the Australian and New York Stock Exchanges. |
| Janus Henderson Global Multi-Strat Instl | 0.00% | 20% of the amount of outperformance of the current day NAV relative to prior day NAV above the Benchmark (after management fees) and subject to a high watermark. | 1.44% | 1.46% | https://www.janushenderson.com/ | The Fund invests in a diversified set of strategies at a bottom-up level, including convertible arbitrage, event driven, price pressure, risk transfer and equity market neutral, combined with a top-down protection strategy. |
| Janus Henderson Global Multi-Strategy Fund - Institutional Class (W) (Cash Dist) | 0.00% | 20% of the amount of outperformance of the current day NAV relative to prior day NAV above the Benchmark (after management fees) and subject to a high watermark. | 1.44% | 1.46% | https://www.janushenderson.com/ | The Fund invests in a diversified set of strategies at a bottom-up level, including convertible arbitrage, event driven, price pressure, risk transfer and equity market neutral, combined with a top-down protection strategy. |
| Janus Henderson Global Multi-Strategy Fund (W) (Cash Dist) | 0.00% | 20% of the amount of outperformance of the current day NAV relative to prior day NAV above the Benchmark (after management fees) and subject to a high watermark. | 1.44% | 1.46% | https://www.janushenderson.com/ | The Fund invests in a diversified set of strategies at a bottom-up level, including convertible arbitrage, event driven, price pressure, risk transfer and equity market neutral, combined with a top-down protection strategy. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| JD.com INC (ADR) | 0.00% | 0.00% | 0.54% | 0.56% | https://ir.jd.com/ | JD.com, Inc. is an online direct sales company in China. The Company offers a wide selection of products through its website and mobile applications. JD.com sells appliances, computers, digital products, communication products, garments, books, and household items to consumers and vendors. |
| JD.Com Inc. - USD (JD) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://ir.jd.com/ | JD.com, Inc. is an online direct sales company in China. The Company offers a wide selection of products through its website and mobile applications. JD.com sells appliances, computers, digital products, communication products, garments, books, and household items to consumers and vendors. |
| JP Morgan Chase & Co | 0.00% | 0.00% | 0.54% | 0.56% | https://www.jpmorganchase.com/ | J.P. Morgan is a global leader in financial services, offering solutions to the world's most important corporations, governments and institutions in more than 100 countries. |
| JP Morgan Chase & Co - USD (JPM) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.jpmorganchase.com/ | JPMorgan Chase & Co. is an American multinational investment bank and financial services holding company headquartered in New York City. |
| JP Morgan Global Growth & Income Plc | 0.00% | 0.00% | 1.04% | 1.06% | https://www.jpmorgan.com | To provide superior total returns and outperform the MSCI All Country World Index over the long-term by investing in companies based around the world. |
| JP Morgan Japanese Investment Trust Plc. | 0.00% | 0.00% | 0.74% | 0.76% | https://www.jpmorgan.com | Aims to produce capital growth from a portfolio of Japanese equities. The Company has the ability to use borrowing to gear the portfolio within the range of 5% net cash to 20% geared in normal market conditions. |
| JP Morgan US Smaller Companies IT Plc - GBP (JUSC) (Cash Dist) | 0.00% | 0.00% | 0.95% | 0.97% | https://www.jpmorgan.com | The JPMorgan US Smaller Companies Investment Trust plc uses the stock-picking credentials of our US based investment team to invest in well-run companies with attractive and sustainable profits from the potentially faster growing smaller companies segment of the US stock market. |
| JPMorgan American Investment Trust Plc. | 0.00% | 0.00% | 0.90% | 0.92% | https://www.jpmorgan.com | Aims to achieve capital growth from North American investments by outperformance of the S&P 500 index. The Company will predominantly invest in quoted companies including, when appropriate, exposure to smaller capitalisation companies, and emphasise capital growth rather than income. |
| JPMorgan American IT Plc - GBP (JAM) (Cash Dist) | 0.00% | 0.00% | 1.54% | 1.56% | https://www.jpmorgan.com | Aims to achieve capital growth from North American investments by outperformance of the S&P 500 index. The Company will predominantly invest in quoted companies including, when appropriate, exposure to smaller capitalisation companies, and emphasise capital growth rather than income. |
| JPMorgan Asia Growth & Income PLC - GBP (JAGI.LN) (Cash Dist) | 0.00% | 0.00% | 1.90% | 1.92% | https://www.jpmorgan.com | To get a growth total return, primarily from investing in equities quoted on the stock markets of Asia, excluding Japan. |
| JPMorgan China Growth & Income | 0.00% | 0.00% | 1.12% | 1.14% | https://www.jpmorgan.com | JPMorgan China Growth & Income plc aims to provide the best of both worlds. The Manager focuses on investing in Chinese companies listed on domestic exchanges and companies in Greater China by focusing on the growth of "New China" – companies and sectors that are capitalising on the transition of the country to a more consumer-driven economy – whilst the Company aims to deliver a predictable quarterly income distribution – announced at the start of each financial year. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|--|
| JPMorgan Emerging Markets Investment Trust PLC - GBP (JMG) (Cash Dist) | 0.00% | 0.00% | 1.50% | 1.52% | https://www.jpmmorgan.com | To maximise total returns from Emerging Markets and provides investors with a diversified portfolio of shares in companies which the manager believe offer the most attractive opportunities for growth. |
| JPMorgan Global Growth & Income PLC | 0.00% | 0.00% | 1.86% | 1.88% | https://www.jpmmorgan.com | JPMorgan Global Growth & Income plc provides the best of both worlds. The manager focuses on investing in the best ideas from across the world's stock market, whilst the Company delivers a predictable quarterly income distribution – set at the beginning of its financial year. |
| JPMorgan Global Growth & Income Plc - GBP (JGGI) (Cash Dist) | 0.00% | 0.00% | 1.86% | 1.88% | https://www.jpmmorgan.com | JPMorgan Global Growth & Income plc provides the best of both worlds. The manager focuses on investing in the best ideas from across the world's stock market, whilst the Company delivers a predictable quarterly income distribution – set at the beginning of its financial year. |
| JPMorgan Indian Investment Trust PLC- GBP (JII) (Cash Dist) | 0.00% | 0.00% | 1.68% | 1.70% | https://www.jpmmorgan.com | Aims to provide capital growth from Indian investments by outperforming the MSCI India Index. The Company will invest in a diversified portfolio of quoted Indian companies and companies that earn a material part of their revenues from India. |
| JPMorgan Japanese Investment Trust PLC - GBP (JFJ) (Cash Dist) | 0.00% | 0.00% | 1.66% | 1.68% | https://www.jpmmorgan.com | Aims to produce capital growth from a portfolio of Japanese equities. The Company has the ability to use borrowing to gear the portfolio within the range of 5% net cash to 20% geared in normal market conditions. |
| Kernel Cash Plus Fund | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz | The Kernel Cash Plus Fund can be used as an alternative to term deposits or flexible savings accounts. The fund's underlying assets, as selected by our Portfolio Manager, include cash and cash equivalents (e.g. bonds, term deposits and more), meaning you can take comfort in knowing your savings are well diversified. |
| Kernel Global Green Property Fund | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | This fund invests in global real estate companies with weighting towards sustainable practices. |
| Kernel Global Infrastructure Fund | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | A globally diversified investment in mostly infrastructure lines of business, e.g. utilities, storage and telecommunications, providing relatively stable cash flow and inflation hedging. |
| Kernel Global Infrastructure Fund (NZD Hedged) | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | A globally diversified investment in mostly infrastructure lines of business, e.g. utilities, storage and telecommunications, providing relatively stable cash flow and inflation hedging. |
| Kernel NZ 50 ESG Tilted Fund | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | The first index fund in New Zealand to not only exclude companies from controversial industries, but to also both upweight and downweight companies based on non-financial metrics including governance practices, fossil fuel exposure, and workplace diversity. Using the internationally acclaimed Corporate Sustainability Assessment from S&P Dow Jones, each company included in of the S&P/NZX 50 is given an ESG score and re-weighted accordingly. Tracking the S&P NZX 50 Portfolio ESG Tilted Index, this fund is designed to provide long-term capital growth and diversification. |
| Kernel NZ Commercial Property Fund | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | The Commercial Property Fund delivers diversification in the property sector by investing in the eight largest REITs on the New Zealand Exchange (NZX). |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|--|
| Kernel S&P 500 Fund | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | This fund provides exposure to the largest household names in the US, with the likes of Amazon, Apple, Walmart included in the fund. |
| Kernel S&P Global 100 Fund | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz | This fund provides exposure to blue-chip companies, being those which are large, stable and profitable. This includes household names such as Apple, Nike, Amazon, Nestle and Microsoft. With global diversification across all sectors, this fund provides reliable long-term capital growth. All blue-chip companies in this fund have global exposure, meaning they generate a significant amount of their revenues and hold a large amount of their assets abroad. |
| Kernel S&P Global Clean Energy Fund | 0.00% | 0.00% | 0.99% | 1.01% | https://kernelwealth.co.nz/ | Invests in global companies involved in the production or provision of Clean Energy. |
| Kernel Wealth Cash Plus Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | The fund is designed to invest in short-term New Zealand interest-bearing assets and other cash and cash equivalent investments. |
| Kernel Wealth Global ESG (NZD Hedged) Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/funds/global-esg | A globally diversified fund that adjusts companies based on their S&P Global ESG score and alignment with the 2016 Paris Agreement. |
| Kernel Wealth Global Green Property Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | This fund invests in global real estate companies with weighting towards sustainable practices. |
| Kernel Wealth Global Infrastructure (NZD Hedged) Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | A globally diversified investment in mostly infrastructure lines of business, e.g. utilities, storage and telecommunications, providing relatively stable cash flow and inflation hedging. |
| Kernel Wealth Global Infrastructure Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | A globally diversified investment in mostly infrastructure lines of business, e.g. utilities, storage and telecommunications, providing relatively stable cash flow and inflation hedging. |
| Kernel Wealth NZ 20 Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | Designed for long-term capital growth, the NZ 20 fund invests in 20 of the largest companies listed on the NZX. |
| Kernel Wealth NZ 50 ESG Tilted Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | The first index fund in New Zealand to not only exclude companies from controversial industries, but to also both upweight and downweight companies based on non-financial metrics including governance practices, fossil fuel exposure, and workplace diversity. Using the internationally acclaimed Corporate Sustainability Assessment from S&P Dow Jones, each company included in of the S&P/NZX 50 is given an ESG score and re-weighted accordingly. Tracking the S&P NZX 50 Portfolio ESG Tilted Index, this fund is designed to provide long-term capital growth and diversification. |
| Kernel Wealth NZ Commercial Property Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | The Commercial Property Fund delivers diversification in the property sector by investing in the eight largest REITs on the New Zealand Exchange (NZX). |
| Kernel Wealth S&P 500 Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | This fund provides exposure to the largest household names in the US, with the likes of Amazon, Apple, Walmart included in the fund. |
| Kernel Wealth S&P Global 100 Fund (NZD Hedged) (PIE) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | This fund provides exposure to blue-chip companies, being those which are large, stable and profitable. This includes household names such as Apple, Nike, Amazon, Nestle and Microsoft. With global diversification across all sectors, this fund provides reliable long-term capital growth. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| Kernel Wealth S&P Global 100 Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | This fund provides exposure to blue-chip companies, being those which are large, stable and profitable. This includes household names such as Apple, Nike, Amazon, Nestle and Microsoft. With global diversification across all sectors, this fund provides reliable long-term capital growth. |
| Kernel Wealth S&P Global Clean Energy Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.99% | 1.01% | https://kernelwealth.co.nz/ | Invests in global companies involved in the production or provision of Clean Energy. |
| Kernel Wealth S&P Global Dividend Aristocrats Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://kernelwealth.co.nz/ | The S&P Global Dividend Aristocrats Quality Income Index is designed to measure the performance of high-dividend-yielding companies within the S&P Global BMI that have followed a managed-dividends policy of increasing or maintaining dividends for at least 10 consecutive years and simultaneously have positive return on equity and cash flow from operations. |
| Kernel Wealth S&P Kensho Electric Vehicle Innovation Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.99% | 1.01% | https://kernelwealth.co.nz/ | Invest in a diversified mix of global companies involved in the electric vehicles sector and the ecosystems supporting it – energy storage systems, clean fuel technology, charging infrastructure and more. |
| Kernel Wealth S&P Kensho Moonshots Innovation Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.99% | 1.01% | https://kernelwealth.co.nz/ | Own your share of 50 of the most innovative US-listed companies at the forefront of disruptive themes changing our tomorrow, e.g. Virgin Galactic Holdings, iRobot, Microvision and more. |
| Kiwi Property Group Bonds - 2.85% - Mat 19/07/2028 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kiwiproperty.com | Kiwi Property Group Limited is a New Zealand NZX-listed company, formerly a real estate investment trust, that owns many properties throughout New Zealand; including the Sylvia Park Business and Shopping Centre, and the Vero Centre. |
| Kiwi Property Group Bonds - 4.06% - Mat 12/11/2025 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kiwiproperty.com | Kiwi Property Group Limited is a New Zealand NZX-listed company, formerly a real estate investment trust, that owns many properties throughout New Zealand; including the Sylvia Park Business and Shopping Centre, and the Vero Centre. |
| Kiwi Property Group Bonds - 4.33% - Mat 19/12/2024 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kiwiproperty.com | Kiwi Property Group Limited is a New Zealand NZX-listed company, formerly a real estate investment trust, that owns many properties throughout New Zealand; including the Sylvia Park Business and Shopping Centre, and the Vero Centre. |
| Kiwi Property Group Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kiwiproperty.com | Kiwi Property Group Limited is a New Zealand NZX-listed company, formerly a real estate investment trust, that owns many properties throughout New Zealand; including the Sylvia Park Business and Shopping Centre, and the Vero Centre. |
| Kiwi Property Group Limited - NZD (KPG) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kiwiproperty.com | Kiwi Property Group Limited is a New Zealand NZX-listed company, formerly a real estate investment trust, that owns many properties throughout New Zealand; including the Sylvia Park Business and Shopping Centre, and the Vero Centre. |
| Kiwi Property Group Limited Green Bond @ 6.24% Mat 27/09/2029 - NZD (KPG060) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kiwiproperty.com | Kiwi Property Group Limited is a New Zealand NZX-listed company, formerly a real estate investment trust, that owns many properties throughout New Zealand; including the Sylvia Park Business and Shopping Centre, and the Vero Centre. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|---|
| Kiwi Property Group Limited Green Bonds @ 2.85% Mat 19/07/2028 - NZD (KPG050) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kiwiproperty.com | Kiwi Property Group Limited is a New Zealand NZX-listed company, formerly a real estate investment trust, that owns many properties throughout New Zealand; including the Sylvia Park Business and Shopping Centre, and the Vero Centre. |
| Kiwi Property Group Limited Secured @ 4.06% Mat 11/11/2025 - (KPG040) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kiwiproperty.com | Kiwi Property Group Limited is a New Zealand NZX-listed company, formerly a real estate investment trust, that owns many properties throughout New Zealand; including the Sylvia Park Business and Shopping Centre, and the Vero Centre. |
| Kiwi Property Group Limited Secured @ 4.33% Mat 19/12/2024 - (KPG030) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kiwiproperty.com | Kiwi Property Group Limited is a New Zealand NZX-listed company, formerly a real estate investment trust, that owns many properties throughout New Zealand; including the Sylvia Park Business and Shopping Centre, and the Vero Centre. |
| Kiwi Property Group Ltd | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kiwiproperty.com | Kiwi Property Group Limited is a New Zealand NZX-listed company, formerly a real estate investment trust, that owns many properties throughout New Zealand; including the Sylvia Park Business and Shopping Centre, and the Vero Centre. |
| Kiwibank 6.254% 19/10/2028 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kiwibank.co.nz | Back in 2002, we launched with a thought: New Zealand needs a better banking alternative—a bank that provides real value for money, that has Kiwi values at heart, and that keeps Kiwi money where it belongs—right here, in New Zealand. So we set up shop in PostShops throughout the country, putting us in more locations than any other bank in New Zealand literally overnight (without wasting millions on new premises!). |
| Kiwibank Limited Bonds - 2.36% - Mat 11/12/2030 - Reset 11/12/2025 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kiwibank.co.nz | Back in 2002, we launched with a thought: New Zealand needs a better banking alternative—a bank that provides real value for money, that has Kiwi values at heart, and that keeps Kiwi money where it belongs—right here, in New Zealand. So we set up shop in PostShops throughout the country, putting us in more locations than any other bank in New Zealand literally overnight (without wasting millions on new premises!). |
| Kiwibank Limited Notes - 6.254% - Mat 19/10/2028 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kiwibank.co.nz | Back in 2002, we launched with a thought: New Zealand needs a better banking alternative—a bank that provides real value for money, that has Kiwi values at heart, and that keeps Kiwi money where it belongs—right here, in New Zealand. So we set up shop in PostShops throughout the country, putting us in more locations than any other bank in New Zealand literally overnight (without wasting millions on new premises!). |
| Kiwibank Limited Unsecured Notes @ 2.155% Mat 20/09/2024 - NZD (KI0924) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kiwibank.co.nz | Back in 2002, we launched with a thought: New Zealand needs a better banking alternative—a bank that provides real value for money, that has Kiwi values at heart, and that keeps Kiwi money where it belongs—right here, in New Zealand. So we set up shop in PostShops throughout the country, putting us in more locations than any other bank in New Zealand literally overnight (without wasting millions on new premises!). |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|--|---|---|---|---|---|
| Kiwibank Limited Unsecured Notes @ 5.737% Mat 19/10/2027 - (KIWIIBK) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kiwibank.co.nz | Back in 2002, we launched with a thought: New Zealand needs a better banking alternative—a bank that provides real value for money, that has Kiwi values at heart, and that keeps Kiwi money where it belongs—right here, in New Zealand. So we set up shop in PostShops throughout the country, putting us in more locations than any other bank in New Zealand literally overnight (without wasting millions on new premises!). |
| Kiwibank Limited Unsecured Notes @ 6.40% Mat 12/05/2033 - NZD (KWB1T2) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kiwibank.co.nz | Back in 2002, we launched with a thought: New Zealand needs a better banking alternative—a bank that provides real value for money, that has Kiwi values at heart, and that keeps Kiwi money where it belongs—right here, in New Zealand. So we set up shop in PostShops throughout the country, putting us in more locations than any other bank in New Zealand literally overnight (without wasting millions on new premises!). |
| KMD Brands Limited - AUD (KMD) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kmdbrands.com | KMD Brands is a global outdoor, lifestyle and sports company, consisting of three iconic brands: Kathmandu, Rip Curl and Oboz. |
| KMD Brands Limited - NZD (KMD) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kmdbrands.com | KMD Brands is a global outdoor, lifestyle and sports company, consisting of three iconic brands: Kathmandu, Rip Curl and Oboz. |
| L&G Cyber Security UCITS ETF - GBP (ISPY) (Cash Dist) | 0.00% | 0.00% | 1.28% | 1.30% | https://www.lgimetaf.com | The L&G Cyber Security UCITS ETF (the "ETF") aims to track the performance of the ISE Cyber Security® UCITS Index (the "Index"). |
| LI Capital Catalyst Fund (W) (Cash Dist) | Buy Spread 0.15%, Sell Spread 0.15% | 1.41% calculated daily and paid bi-annually in arrears. | 1.82% | 1.84% | https://www.li.com.au | The Catalyst Fund is a high conviction, activist fund that seeks to deliver positive catalysts that unlock major share price upside for investors. The fund invests in a small number of companies at any one time, ensuring the team has market-leading company and industry knowledge and is fully focused on providing value-adding opportunities to company boards and management teams |
| LI Capital Long Short (Daily Class) (W) (Cash Dist) | Buy Spread 0.15%, Sell Spread 0.15% | 20.5% p.a. of any increase in the NAV (after the payment of the management fee and expenses) subject to the high-water mark | 2.08% | 2.10% | https://www.lilongshort.com/ | LI Long Short Fund Limited provides investors access to an absolute return fund that offers a highly diversified portfolio of long and short positions based on a fundamental bottom-up research process. |
| LI Long Short Fund Limited - AUD (LSF) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.lilongshort.com/ | LI Long Short Fund Limited provides investors access to an absolute return fund that offers a highly diversified portfolio of long and short positions based on a fundamental bottom-up research process. |
| Lakehouse Small Companies Fund (W) (Cash Dist) | Buy Spread 0.25%, sell Spread 0.25% | 2.03% of the NAV of the Fund. | 1.30% | 1.32% | https://www.lakehousecapital.com.au/ | The Lakehouse Small Companies Fund invests in fast growing small companies listed in Australia and New Zealand. |
| Land Securities Group PLC - GBP (LAND) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.landsecurities.com/ | We are the largest commercial property company in the UK and a member of the FTSE 100. Founded in 1944, we now own and manage more than 26 million sq ft of property, from shopping centres to London offices. |
| Lend Lease Group Stapled Securities - AUD (LLC) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.lendlease.com/au/ | We are a leading international property and infrastructure group with operations in Australia, Asia, Europe and the Americas. Our vision is to create the best places; places that inspire and enrich the lives of people around the world. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|---------------------------------------|--|---|---|---|--|
| LendLease Group | 0.00% | 0.00% | 0.54% | 0.56% | http://www.lendlease.com/au/ | We are a leading international property and infrastructure group with operations in Australia, Asia, Europe and the Americas. Our vision is to create the best places; places that inspire and enrich the lives of people around the world. |
| Linde PLC | 0.00% | 0.00% | 0.54% | 0.56% | https://investors.linde.com/ | Linde plc is a global multinational chemical company founded in Germany and, since 2018, domiciled in Ireland and headquartered in the United Kingdom. Linde is the world's largest industrial gas company by market share and revenue. |
| Lloyds Banking Group Plc - GBP (LLOY) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.lloydsbankinggroup.com/ | We are a financial services group with millions of UK customers with a presence in nearly every community; we are familiar on the high street and with over 1 million businesses through our portfolio of brands. Our business is focused on retail and commercial financial services. We thrive based on how well we serve our customers; on our relationships within the communities we serve; and on helping Britain prosper. |
| Lloyds Banking Group Plc. | 0.00% | 0.00% | 0.54% | 0.56% | http://www.lloydsbankinggroup.com/ | We are a financial services group with millions of UK customers with a presence in nearly every community; we are familiar on the high street and with over 1 million businesses through our portfolio of brands. Our business is focused on retail and commercial financial services. We thrive based on how well we serve our customers; on our relationships within the communities we serve; and on helping Britain prosper. |
| London Stock Exchange Group Plc. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.lseg.com/en/investor-relations | LSEG is a leading global financial markets infrastructure and data provider that operates connected businesses to serve customers across the entire financial markets value chain. |
| LVMH Moët Hennessy Louis Vuitton SE | 0.00% | 0.00% | 0.54% | 0.56% | https://www.lvmh.com/investors/ | LVMH, the world's leading luxury products group, gathers 75 prestigious brands, with 79.2 billion euros revenue in 2022 and a retail network of over 5,600 stores worldwide. |
| Macquarie Group Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.macquarie.com | Macquarie Group Limited is an Australian multinational independent investment bank and financial services company. |
| Macquarie Group Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.macquarie.com | Macquarie Group Limited is an Australian multinational independent investment bank and financial services company. |
| Macquarie Group Limited Fully Paid - AUD (MQG) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.macquarie.com | Macquarie Group Limited is an Australian multinational independent investment bank and financial services company. |
| Macquarie Income Opportunities Fund (W) (Cash Dist) | 0.00% | 0.00% | 1.03% | 1.05% | https://www.macquarie.com | The Fund aims to outperform the Bloomberg AusBond Bank Bill Index over the medium term (before fees). It aims to provide higher income returns than traditional cash investments at all stages of interest rate and economic cycles. |
| Magellan Global Fund | By Spread 0.07%, sell Spread 0.07% | 10.0% of excess return over the higher of the Index Relative Hurdle (MSCI World Net Total Return Index (AUD)) and the Absolute Return Hurdle (the yield of a 10-year Australian Government Bonds). | 1.89% | 1.91% | https://www.magellangroup.com.au | To achieve attractive risk-adjusted investment returns over the medium to long-term; while reducing the risk of permanent capital loss. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------------------|--|---|---|---|---|
| Magellan Global Fund (Closed Class) - AUD (MGF) (Cash Dist) | 0.00% | 10.0% of excess return over the higher of the Index Relative Hurdle (MSCI World Net Total Return Index (AUD)) and the Absolute Return Hurdle (the yield of a 10-year Australian Government Bonds). | 1.89% | 1.91% | https://www.magellangroup.com.au | To achieve attractive risk-adjusted investment returns over the medium to long-term; while reducing the risk of permanent capital loss. |
| Magellan Global Fund (Hedged) (W) (Cash Dist) | By Spread 0.07%, sell Spread 0.07% | 10.0% of excess return over the higher of the Index Relative Hurdle (MSCI World Net Total Return Index (AUD)) and the Absolute Return Hurdle (the yield of a 10-year Australian Government Bonds). | 1.89% | 1.91% | https://www.magellangroup.com.au | To achieve attractive risk-adjusted investment returns over the medium to long-term; while reducing the risk of permanent capital loss. |
| Magellan Global Fund (Open Class Units) (W) | By Spread 0.07%, sell Spread 0.07% | 10.0% of excess return over the higher of the Index Relative Hurdle (MSCI World Net Total Return Index (AUD)) and the Absolute Return Hurdle (the yield of a 10-year Australian Government Bonds). | 1.89% | 1.91% | https://www.magellangroup.com.au | To achieve attractive risk-adjusted investment returns over the medium to long-term; while reducing the risk of permanent capital loss. |
| Magellan Global Fund (Open Class Units) (W) (Cash Dist) | By Spread 0.07%, sell Spread 0.07% | 10.0% of excess return over the higher of the Index Relative Hurdle (MSCI World Net Total Return Index (AUD)) and the Absolute Return Hurdle (the yield of a 10-year Australian Government Bonds). | 1.89% | 1.91% | https://www.magellangroup.com.au | To achieve attractive risk-adjusted returns over the medium to long term; while minimising the risk of permanent capital loss. |
| Magellan Global Fund/Close Class | 0.00% | 10.0% of excess return over the higher of the Index Relative Hurdle (MSCI World Net Total Return Index (AUD)) and the Absolute Return Hurdle (the yield of a 10-year Australian Government Bonds). | 1.89% | 1.91% | https://www.magellangroup.com.au | To achieve attractive risk-adjusted returns over the medium to long term; while minimising the risk of permanent capital loss. |
| Magellan Global Fund/Close Class - Option 01/03/2024 | 0.00% | 10.0% of excess return over the higher of the Index Relative Hurdle (MSCI World Net Total Return Index (AUD)) and the Absolute Return Hurdle (the yield of a 10-year Australian Government Bonds). | 1.89% | 1.91% | https://www.magellangroup.com.au | To achieve attractive risk-adjusted returns over the medium to long-term; while reducing the risk of permanent capital loss. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|---------------------------------------|--|---|---|---|--|
| Magellan Global Open Class | By Spread 0.07%, sell Spread 0.07% | 10.0% of excess return over the higher of the Index Relative Hurdle (MSCI World Net Total Return Index (AUD)) and the Absolute Return Hurdle (the yield of a 10-year Australian Government Bonds). | 1.89% | 1.91% | https://www.magellangroup.com.au | To achieve attractive risk-adjusted returns over the medium to long-term; while reducing the risk of permanent capital loss. |
| Magellan High Conviction Fund (W) (Re-Invest) | By Spread 0.07%, sell Spread 0.07% | 10% (20% for Class B units) of the excess return above the Absolute Return performance hurdle of 10% per annum. Additionally, Performance Fees are subject to a high water mark. | 2.04% | 2.06% | https://www.magellangroup.com.au | To achieve attractive risk-adjusted returns over the medium to long-term through investment in a concentrated portfolio (8-12 securities) of high-quality companies. |
| Magellan Infrastructure | By Spread 0.15%, sell Spread 0.15% | 10.0% of the excess return of the Units of the Fund above the higher of the Index Relative Hurdle (S&P Global Infrastructure Index A\$ Hedged Net Total Return) and the Absolute Return Hurdle (the yield of 10-year Australian Government Bonds). | 1.60% | 1.62% | https://www.magellangroup.com.au | To achieve attractive risk adjusted returns over the medium to long-term; while reducing the risk of permanent capital loss. |
| Magellan Infrastructure (Unhedged) | By Spread 0.15%, sell Spread 0.15% | 10.0% of the excess return of the Units of the Fund above the higher of the Index Relative Hurdle (S&P Global Infrastructure Index A\$ Hedged Net Total Return) and the Absolute Return Hurdle (the yield of 10-year Australian Government Bonds). | 1.60% | 1.62% | https://www.magellangroup.com.au | To achieve attractive risk adjusted returns over the medium to long-term; while reducing the risk of permanent capital loss. |
| Magellan Infrastructure Fund (Currency Hedged) - AUD (MICH) (Cash Dist) | By Spread 0.15%, sell Spread 0.15% | 10.0% of the excess return of the Units of the Fund above the higher of the Index Relative Hurdle (S&P Global Infrastructure Index A\$ Hedged Net Total Return) and the Absolute Return Hurdle (the yield of 10-year Australian Government Bonds). | 1.60% | 1.62% | https://www.magellangroup.com.au | To achieve attractive risk adjusted returns over the medium to long-term; while reducing the risk of permanent capital loss |
| Magellan Infrastructure Fund (Hedged) (W) (Cash Dist) | By Spread 0.15%, sell Spread 0.15% | 10.0% of the excess return of the Units of the Fund above the higher of the Index Relative Hurdle (S&P Global Infrastructure Index A\$ Hedged Net Total Return) and the Absolute Return Hurdle (the yield of 10-year Australian Government Bonds). | 1.60% | 1.62% | https://www.magellangroup.com.au | To achieve attractive risk adjusted returns over the medium to long-term; while reducing the risk of permanent capital loss |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------------------|--|---|---|---|---|
| Magellan Infrastructure Fund (Unhedged) (W) (Cash Dist) | By Spread 0.15%, sell Spread 0.15% | 10.0% of the excess return of the Units of the Fund above the higher of the Index Relative Hurdle (S&P Global Infrastructure Index A\$ Hedged Net Total Return) and the Absolute Return Hurdle (the yield of 10-year Australian Government Bonds). | 1.60% | 1.62% | https://www.magellangroup.com.au | To achieve attractive risk adjusted returns over the medium to long-term; while reducing the risk of permanent capital loss. |
| Mainfreight Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.mainfreight.com | As a global supply chain business with over 240 branches around the world, Mainfreight offers sophisticated logistics solutions in and out of the most dynamic economies in the world. |
| Mainfreight Limited - NZD (MFT) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.mainfreight.com | As a global supply chain business with over 240 branches around the world, Mainfreight offers sophisticated logistics solutions in and out of the most dynamic economies in the world. |
| Manawa Energy Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.manawaenergy.co.nz | Manawa Energy is one of Aotearoa New Zealand's largest renewable electricity generators. Our goal is to develop renewable generation to support our country's ambitions for a thriving, low-emissions and climate-resilient future. |
| Manawa Energy Limited 22/02/2029 6.56% | 0.00% | 0.00% | 0.54% | 0.56% | https://www.manawaenergy.co.nz | Manawa Energy is one of Aotearoa New Zealand's largest renewable electricity generators. Our goal is to develop renewable generation to support our country's ambitions for a thriving, low-emissions and climate-resilient future. |
| Manawa Energy Limited 5.36% 08/09/2027 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.manawaenergy.co.nz | Manawa Energy is one of Aotearoa New Zealand's largest renewable electricity generators. Our goal is to develop renewable generation to support our country's ambitions for a thriving, low-emissions and climate-resilient future. |
| Manawa Energy Ltd Unsub Bonds @ 5.36% Mat 08/09/2027 - NZD (MNW190) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.manawaenergy.co.nz | Manawa Energy is one of Aotearoa New Zealand's largest renewable electricity generators. Our goal is to develop renewable generation to support our country's ambitions for a thriving, low-emissions and climate-resilient future. |
| Maple-Brown Abbott Global Listed Infrs | By Spread 0.15%, sell Spread 0.15% | 0.00% | 1.52% | 1.54% | https://www.maple-brownabbott.com.au/ | The Fund aims to offer investors higher yield, inflation protection and portfolio diversification benefits through investing in global listed infrastructure equities. |
| Maple-Brown Abbott Global Listed Infrastructure Fund - Unhedged (W) (Cash Dist) | By Spread 0.15%, sell Spread 0.15% | 0.00% | 1.52% | 1.54% | https://www.maple-brownabbott.com.au/ | The Fund aims to offer investors higher yield, inflation protection and portfolio diversification benefits through investing in global listed infrastructure equities. |
| Martin Currie Portfolio Investment Trust Plc - GBP (MNP) (Cash Dist) | 0.00% | 0.00% | 1.56% | 1.58% | https://www.martincurrie.com/ | To achieve long-term growth in excess of capital return of the MSCI All Country World index by investing in international quoted companies. |
| McDonald's Corp. | 0.00% | 0.00% | 0.54% | 0.56% | https://corporate.mcdonalds.com/corpmcd/investors.html | Traded publicly on the New York Stock Exchange (NYSE:MCD), McDonald's stock has a long history of steady growth and stability. In this section, learn more about McDonald's Stock Information, including Historical Price Lookups & Charts, Analyst Coverage and How to Invest. |
| MDxHealth SA - USD (MDXH) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://mdxhealth.com/ | MDxHealth SA is a commercial-stage precision diagnostics company. The Company provides actionable molecular diagnostic information to personalize the diagnosis and treatment of prostate cancer and other urologic diseases. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|--|---|---|---|---|--|
| Mercer Australian Property Index Fund (MIF P) (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.19% | 1.21% | https://www.multimanager.mercer.co.nz/funds/overview.html | The fund is a passively managed portfolio that provides access to Australian listed property investments. It aims to generate medium to high returns over the long term by investing in property securities in Australia. The fund aims to provide a passively managed low tracking error portfolio managed against companies listed on S&P/ASX 200 A-REIT Accumulation Index fully hedged to New Zealand dollars. |
| Mercer Ethical Leaders Hedged Global Fixed Interest Index Fund (MIF PQ) | Buy Spread 0.16%, Sell Spread 0.16% | 0.00% | 1.00% | 1.02% | https://www.multimanager.mercer.co.nz/ | The fund is a passively managed international fixed interest portfolio that is designed to track the return of the Bloomberg Barclays MSCI Global Aggregate SRI Select ex Fossil Fuels Index. The fund is fully hedged to New Zealand dollars. The fund is governed by the Supplementary Sustainable Investment Policy and is managed to specific 'sustainable investment' criteria which prohibits investments in certain companies or activities, and encourages investment in companies with strong environmental, social and governance characteristics. |
| Mercer Income Generator Fund (MIG F) (PIE) - SR (Cash Dist) | 0.00% | 0.00% | 1.45% | 1.47% | https://www.multimanager.mercer.co.nz/ | The fund aims to provide a gross fixed monthly income in excess of bank deposit rates, along with a positive return on capital over the long term. To achieve this, the fund invests in a diversified mix of growth and defensive assets, with a focus on reliable income generation. Environmental, Social and Governance characteristics are integrated into the underlying investment managers' investment processes. |
| Mercer Income Generator Fund (MIG F) (PIE) (Cash Dist) | 0.00% | 0.00% | 1.45% | 1.47% | https://www.multimanager.mercer.co.nz/ | The fund aims to provide a gross fixed monthly income in excess of bank deposit rates, along with a positive return on capital over the long term. To achieve this, the fund invests in a diversified mix of growth and defensive assets, with a focus on reliable income generation. Environmental, Social and Governance characteristics are integrated into the underlying investment managers' investment processes. |
| Mercer Macquarie Global Income Opportunities Fund (MWQ3) (PIE) (Cash Dist) | 0.00% | 0.00% | 1.52% | 1.54% | https://www.multimanager.mercer.co.nz/ | The fund is a well-diversified portfolio of highly rated global fixed interest securities. At the total portfolio level the fund will have a shorter maturity than most traditional fixed interest funds, with an emphasis on minimising capital loss. Environmental, Social and Governance characteristics are integrated into the underlying investment manager's investment process. The fund aims to provide a Gross Return above the return of the Bloomberg NZBond Bank Bill Index on a rolling three-year basis. |
| Mercer Macquarie Global Listed Infrastructure Fund (MIF GI) (PIE) (Cash Dist) | 0.00% | 0.00% | 1.85% | 1.87% | https://www.multimanager.mercer.co.nz/ | The fund invests in infrastructure securities in both developed and emerging markets across a range of sectors. This provides access to a range of infrastructure sectors across geographic regions, with active portfolio management that seeks to target excess returns and predictable, stable cash flows. Environmental, Social and Governance characteristics are integrated into the investment process. The performance objective is to provide total returns (income and capital growth) after costs and before tax, above the Dow Jones Brookfield Global Infrastructure Index, fully hedged to New Zealand dollars, on a rolling three year basis. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| Mercer Macquarie Global Listed Real Estate Fund - MIF GP | 0.00% | 0.00% | 1.88% | 1.90% | https://www.multimanager.mercer.co.nz/funds/overview.html | The fund invests in a global portfolio of property securities listed on stock exchanges around the world. It aims to generate medium to high returns over the long term by investing in a broad range of property regions, sectors and securities through a single fund. Environmental, Social and Governance characteristics are integrated into the investment process. The performance objective is to provide a Gross Return above the FTSE EPRA/NAREIT Developed Total Return Index with net dividends reinvested, fully hedged to New Zealand dollars, on a rolling three year basis. |
| Mercer Macquarie Global Listed Real Estate Fund (MIF GP) (PIE) - SWM (Cash Dist) | 0.00% | 0.00% | 1.88% | 1.90% | https://www.multimanager.mercer.co.nz/funds/overview.html | The fund invests in a global portfolio of property securities listed on stock exchanges around the world. It aims to generate medium to high returns over the long term by investing in a broad range of property regions, sectors and securities through a single fund. Environmental, Social and Governance characteristics are integrated into the investment process. The performance objective is to provide a Gross Return above the FTSE EPRA/NAREIT Developed Total Return Index with net dividends reinvested, fully hedged to New Zealand dollars, on a rolling three year basis. |
| Mercer Macquarie NZ Cash Fund (MIF D) (PIE) - SWM (Cash Dist) | 0.00% | 0.00% | 0.79% | 0.81% | https://www.multimanager.mercer.co.nz/ | The fund is an actively managed portfolio of bank bills, floating rate notes and short term securities and liquid deposits, and is a very low risk investment product that targets capital security. Environmental, Social and Governance characteristics are integrated into our investment process where applicable. The fund aims to provide a Gross Return above the return of the Bloomberg NZBond Bank Bill Index on a rolling 12 month basis. |
| Mercer Macquarie NZ Fixed Interest Fund - MIF F | 0.00% | 0.00% | 1.10% | 1.12% | https://www.multimanager.mercer.co.nz/ | The fund is an actively managed portfolio of fixed interest securities. It is a medium risk investment product, focusing predominantly on government bonds and corporate securities in the New Zealand market. Environmental, Social and Governance characteristics are integrated into our investment process. The fund aims to provide a Gross Return above the return of the Bloomberg NZBond Composite 0+ Yr Index on a rolling three year basis. |
| Mercer Macquarie NZ Fixed Interest Fund (MIF F) (PIE) - NR (Cash Dist) | 0.00% | 0.00% | 1.10% | 1.12% | https://www.multimanager.mercer.co.nz/ | The fund is an actively managed portfolio of fixed interest securities. It is a medium risk investment product, focusing predominantly on government bonds and corporate securities in the New Zealand market. Environmental, Social and Governance characteristics are integrated into our investment process. The fund aims to provide a Gross Return above the return of the Bloomberg NZBond Composite 0+ Yr Index on a rolling three year basis. |
| Mercer Macquarie NZ Fixed Interest Fund (MIF F) (PIE) - SR (Cash Dist) | 0.00% | 0.00% | 1.10% | 1.12% | https://www.multimanager.mercer.co.nz/ | The fund is an actively managed portfolio of fixed interest securities. It is a medium risk investment product, focusing predominantly on government bonds and corporate securities in the New Zealand market. Environmental, Social and Governance characteristics are integrated into our investment process. The fund aims to provide a Gross Return above the return of the Bloomberg NZBond Composite 0+ Yr Index on a rolling three year basis. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|---|
| Mercer Macquarie NZ Fixed Interest Fund (MIF F) (PIE) - SWM (Cash Dist) | 0.00% | 0.00% | 1.10% | 1.12% | https://www.multimanager.mercer.co.nz/ | The fund is an actively managed portfolio of fixed interest securities. It is a medium risk investment product, focusing predominantly on government bonds and corporate securities in the New Zealand market. Environmental, Social and Governance characteristics are integrated into our investment process. The fund aims to provide a Gross Return above the return of the Bloomberg NZBond Composite 0+ Yr Index on a rolling three year basis. |
| Mercer Macquarie NZ Fixed Interest Fund (MIF F) (PIE) (Cash Dist) | 0.00% | 0.00% | 1.10% | 1.12% | https://www.multimanager.mercer.co.nz/ | The fund is an actively managed portfolio of fixed interest securities. It is a medium risk investment product, focusing predominantly on government bonds and corporate securities in the New Zealand market. Environmental, Social and Governance characteristics are integrated into our investment process. The fund aims to provide a Gross Return above the return of the Bloomberg NZBond Composite 0+ Yr Index on a rolling three year basis. |
| Mercer Macquarie NZ Short Duration Fund (MIF Y) (PIE) - NR (Cash Dist) | 0.00% | 0.00% | 1.14% | 1.16% | https://www.multimanager.mercer.co.nz/ | The fund is an actively managed portfolio of fixed interest securities. It is a low risk investment product, focusing predominantly on corporate securities in the New Zealand and Australian market with a shorter average maturity than a standard fixed interest fund. Environmental, Social and Governance characteristics are integrated into our investment process. The fund aims to provide a Gross Return above the return of the Bloomberg NZBond Swaps 1–3 Year Index on a rolling three year basis. |
| Mercer Macquarie NZ Short Duration Fund (MIF Y) (PIE) - SWM (Cash Dist) | 0.00% | 0.00% | 1.14% | 1.16% | https://www.multimanager.mercer.co.nz/ | The fund is an actively managed portfolio of fixed interest securities. It is a low risk investment product, focusing predominantly on corporate securities in the New Zealand and Australian market with a shorter average maturity than a standard fixed interest fund. Environmental, Social and Governance characteristics are integrated into our investment process. The fund aims to provide a Gross Return above the return of the Bloomberg NZBond Swaps 1–3 Year Index on a rolling three year basis. |
| Mercer Macquarie NZ Short Duration Fund (MIF Y) (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.14% | 1.16% | https://www.multimanager.mercer.co.nz/ | The fund is an actively managed portfolio of fixed interest securities. It is a low risk investment product, focusing predominantly on corporate securities in the New Zealand and Australian market with a shorter average maturity than a standard fixed interest fund. Environmental, Social and Governance characteristics are integrated into our investment process. The fund aims to provide a Gross Return above the return of the Bloomberg NZBond Swaps 1–3 Year Index on a rolling three year basis. |
| Mercer Macquarie NZ Short Duration Fund (MIF Y) (PIE) (Cash Dist) | 0.00% | 0.00% | 1.14% | 1.16% | https://www.multimanager.mercer.co.nz/ | The fund is an actively managed portfolio of fixed interest securities. It is a low risk investment product, focusing predominantly on corporate securities in the New Zealand and Australian market with a shorter average maturity than a standard fixed interest fund. Environmental, Social and Governance characteristics are integrated into our investment process. The fund aims to provide a Gross Return above the return of the Bloomberg NZBond Swaps 1–3 Year Index on a rolling three year basis. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|--|---|---|---|---|---|
| Mercer Macquarie NZ Short Duration Fund MIF Y | 0.00% | 0.00% | 1.14% | 1.16% | https://www.multimanager.mercer.co.nz/ | The fund is an actively managed portfolio of fixed interest securities. It is a low risk investment product, focusing predominantly on corporate securities in the New Zealand and Australian market with a shorter average maturity than a standard fixed interest fund. Environmental, Social and Governance characteristics are integrated into our investment process. The fund aims to provide a Gross Return above the return of the Bloomberg NZBond Swaps 1-3 Year Index on a rolling three year basis. |
| Mercer Socially Responsible Global Equities Fund (Hedged) (PIE) - SWM (Cash Dist) | Buy Spread 0.14%, Sell Spread 0.14% | 0.00% | 1.59% | 1.61% | https://www.mercer.com | This Class invests in global shares using a socially responsible and multi-manager approach, with returns unhedged for currency movements. |
| Mercer Socially Responsible Global Equities Fund (Unhedged) (PIE) - SWM (Cash Dist) | Buy Spread 0.14%, Sell Spread 0.14% | 0.00% | 1.57% | 1.59% | https://www.mercer.com | The Class aims to exceed the benchmark by 1.5% per annum, before management costs, on a rolling five year basis. |
| Merchants Trust PLC - GBP (MRCH) (Cash Dist) | 0.00% | 0.00% | 1.10% | 1.12% | https://www.merchantstrust.co.uk/ | The Trust's objective is to provide an above average level of income, income growth and long-term growth of capital through a policy of investing mainly in higher yielding large UK companies |
| Mercury NZ Limited | 0.00% | 0.00% | 0.54% | 0.56% | http://www.mercury.co.nz/ | Mercury NZ Limited is a New Zealand electricity generation and electricity retailing company. All of the company's electricity generation is renewable. It owns and operates nine hydroelectric generating stations on the Waikato River and five geothermal plants in the Taupo area. |
| Mercury NZ Limited @ 5.73% Mat 13/05/2052 - NZD (MCY050) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.mercury.co.nz/ | Mercury NZ Limited is a New Zealand electricity generation and electricity retailing company. All of the company's electricity generation is renewable. It owns and operates nine hydroelectric generating stations on the Waikato River and five geothermal plants in the Taupo area. |
| Mercury NZ Limited 5.64% 19/06/2028 | 0.00% | 0.00% | 0.54% | 0.56% | http://www.mercury.co.nz/ | Mercury NZ Limited is a New Zealand electricity generation and electricity retailing company. All of the company's electricity generation is renewable. It owns and operates nine hydroelectric generating stations on the Waikato River and five geothermal plants in the Taupo area. |
| Mercury NZ Limited Capital Bonds - 3.60% - Mat 11/07/2049 - Reset 11/07/2024 | 0.00% | 0.00% | 0.54% | 0.56% | http://www.mercury.co.nz/ | Mercury NZ Limited is a New Zealand electricity generation and electricity retailing company. All of the company's electricity generation is renewable. It owns and operates nine hydroelectric generating stations on the Waikato River and five geothermal plants in the Taupo area. |
| Mercury NZ Limited Capital Bonds - 5.73% - Mat 13/05/2052 - Callable 13/05/2027 | 0.00% | 0.00% | 0.54% | 0.56% | http://www.mercury.co.nz/ | Mercury NZ Limited is a New Zealand electricity generation and electricity retailing company. All of the company's electricity generation is renewable. It owns and operates nine hydroelectric generating stations on the Waikato River and five geothermal plants in the Taupo area. |
| Mercury NZ Limited Green Bond @ 5.64% Mat 19/06/2028 - NZD (MCY060) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.mercury.co.nz/ | Mercury NZ Limited is a New Zealand electricity generation and electricity retailing company. All of the company's electricity generation is renewable. It owns and operates nine hydroelectric generating stations on the Waikato River and five geothermal plants in the Taupo area. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|--|---|---|---|---|--|
| Mercury NZ Limited Green Bonds @ 2.16% Mat 29/09/2026 - NZD (MCY040) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.mercury.co.nz/ | Mercury NZ Limited is a New Zealand electricity generation and electricity retailing company. All of the company's electricity generation is renewable. It owns and operates nine hydroelectric generating stations on the Waikato River and five geothermal plants in the Taupo area. |
| Meridian Energy Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.meridianenergy.co.nz/ | Meridian Energy is a leading developer of renewable electricity and sells electricity to customers in New Zealand and Australia. |
| Meridian Energy Limited - NZD (MEL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.meridianenergy.co.nz/ | Meridian Energy is a leading developer of renewable electricity and sells electricity to customers in New Zealand and Australia. |
| Meridian Energy Limited @ 4.21% Mat 27/06/2025 - (MEL050) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.meridianenergy.co.nz/ | Meridian Energy is a leading developer of renewable electricity and sells electricity to customers in New Zealand and Australia. |
| Meridian Energy Limited Green Bonds - 4.21% - Mat 27/06/2025 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.meridianenergy.co.nz/ | Meridian Energy is a leading developer of renewable electricity and sells electricity to customers in New Zealand and Australia. |
| Meridian Energy Ltd | 0.00% | 0.00% | 0.54% | 0.56% | https://www.meridianenergy.co.nz/ | Meridian Energy is a leading developer of renewable electricity and sells electricity to customers in New Zealand and Australia. |
| Meridian Energy Ltd @ 5.91% Mat 20/09/2028 - (MEL060) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.meridianenergy.co.nz/ | Meridian Energy is a leading developer of renewable electricity and sells electricity to customers in New Zealand and Australia. |
| Meta Platforms Inc | 0.00% | 0.00% | 0.54% | 0.56% | https://about.facebook.com/meta | Meta Platforms, Inc., doing business as Meta and formerly known as Facebook, Inc., is a multinational technology conglomerate based in Menlo Park, California. The company is the parent organization of Facebook, Instagram, and WhatsApp, among other subsidiaries. |
| Meta Platforms Inc - USD (META) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://about.facebook.com/meta | Meta Platforms, Inc., doing business as Meta and formerly known as Facebook, Inc., is a multinational technology conglomerate based in Menlo Park, California. The company is the parent organization of Facebook, Instagram, and WhatsApp, among other subsidiaries. |
| Meta Platforms INC. | 0.00% | 0.00% | 0.54% | 0.56% | https://about.facebook.com/meta | Meta Platforms, Inc., doing business as Meta and formerly known as Facebook, Inc., is a multinational technology conglomerate based in Menlo Park, California. The company is the parent organization of Facebook, Instagram, and WhatsApp, among other subsidiaries. |
| Metrics Master Income Trust | 0.00% | 0.09% per annum paid by the Wholesale Funds once the cost is incurred | 1.15% | 1.17% | https://metrics.com.au | Provide exposure reflecting activity in the Australian corporate loan market with diversification by borrower, industry and credit quality. Metrics seeks to implement active strategies designed to balance delivery of the Target Return, while preserving investor capital. |
| MFF Capital Investments Limited - AUD (MFF) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.mffcapital.com.au/ | MFF Capital Investments Limited is a listed investment company incorporated in Australia. |
| MFS Concentrated Global Equity Trust W | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 1.44% | 1.46% | https://www.mfs.com/ | Seeks to outperform the MSCI World Index (net dividends reinvested before fees) over full market cycles while maintaining a consistent style discipline |
| Microsoft Corp. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.microsoft.com/en-nz/ | Founded in 1975, Microsoft (Nasdaq "MSFT") is the worldwide leader in software, services, devices and solutions that help people and businesses realize their full potential. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|---|
| Microsoft Corporation - USD (MSFT) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.microsoft.com/en-nz/ | Founded in 1975, Microsoft (Nasdaq "MSFT") is the worldwide leader in software, services, devices and solutions that help people and businesses realize their full potential. |
| MicroStrategy Inc | 0.00% | 0.00% | 0.54% | 0.56% | http://www.microstrategy.com/ | MicroStrategy, Inc. engages in the provision of enterprise analytics and mobility software. The firm designs, develops, markets, and sells software platform through licensing arrangements and cloud-based subscriptions and related services. |
| MicroStrategy Inc - USD (MSTR) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.microstrategy.com/ | MicroStrategy, Inc. engages in the provision of enterprise analytics and mobility software. The firm designs, develops, markets, and sells software platform through licensing arrangements and cloud-based subscriptions and related services. |
| Mid Wynd International Investment Trust Plc - GBP (MWY) (Cash Dist) | 0.00% | 0.00% | 1.70% | 1.72% | https://www.artemisfunds.com/ | Mid Wynd International Investment Trust aims to grow real wealth by investing in high-quality stocks worldwide. Regardless of the short-term economic environment, these companies are considered to have the strength and financial resources to grow and prosper. |
| Milford Active Growth Fund | 0.00% | 0.15% | 1.59% | 1.61% | https://milfordasset.com | A diversified fund that primarily invests in Australasian equities, with a moderate allocation to international equities and fixed interest securities. |
| Milford Active Growth Fund (PIE) | 0.00% | 0.15% | 1.59% | 1.61% | https://milfordasset.com | A diversified fund that primarily invests in Australasian equities, with a moderate allocation to international equities and fixed interest securities. |
| Milford Active Growth Fund (PIE) (Cash Dist) | 0.00% | 0.15% | 1.59% | 1.61% | https://milfordasset.com | A diversified fund that primarily invests in Australasian equities, with a moderate allocation to international equities and fixed interest securities. |
| Milford Australian Absolute Growth Fund | 0.00% | 0.20% | 1.59% | 1.61% | https://milfordasset.com | Diversified fund that primarily invests in Australasian equities, complemented by selective exposure to international equities, fixed interest securities and cash. |
| Milford Australian Absolute Growth Fund (PIE) NZD | 0.00% | 0.20% | 1.59% | 1.61% | https://milfordasset.com | Diversified fund that primarily invests in Australasian equities, complemented by selective exposure to international equities, fixed interest securities and cash. |
| Milford Australian Absolute Growth Fund (PIE) NZD (Cash Dist) | 0.00% | 0.20% | 1.59% | 1.61% | https://milfordasset.com | Diversified fund that primarily invests in Australasian equities, complemented by selective exposure to international equities, fixed interest securities and cash. |
| Milford Balanced Fund | 0.00% | 0.05% | 1.60% | 1.62% | https://milfordasset.com | Diversified fund that primarily invests in equities, with a significant allocation to fixed interest securities. |
| Milford Cash Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.74% | 0.76% | https://milfordasset.com | The Milford Cash Fund targets a return above the New Zealand Official Cash Rate. |
| Milford Conservative Fund | 0.00% | 0.00% | 1.49% | 1.51% | https://milfordasset.com | Diversified fund that primarily invests in fixed interest securities, with a moderate allocation to equities. |
| Milford Conservative Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.49% | 1.51% | https://milfordasset.com | Diversified fund that primarily invests in fixed interest securities, with a moderate allocation to equities. |
| Milford Diversified Income Fund | 0.00% | 0.20% | 1.19% | 1.21% | https://milfordasset.com | Diversified fund that primarily invests in fixed interest and equity income-generating securities. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|--|
| Milford Diversified Income Fund (PIE) (Cash Dist) | 0.00% | 0.20% | 1.19% | 1.21% | https://milfordasset.com | Diversified fund that primarily invests in fixed interest and equity income-generating securities. |
| Milford Diversified Income Fund (PIE) (Re-Invest) | 0.00% | 0.20% | 1.19% | 1.21% | https://milfordasset.com | Diversified fund that primarily invests in fixed interest and equity income-generating securities. |
| Milford Dynamic Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 3.33% | 3.35% | https://milfordasset.com | Capital growth by out-performing the relevant share market index over a minimum of five years. |
| Milford Dynamic Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 3.33% | 3.35% | https://milfordasset.com | Capital growth by out-performing the relevant share market index over a minimum of five years. |
| Milford Global Corporate Bond Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.39% | 1.41% | https://milfordasset.com | Protect capital and generate a positive NZD hedged return that exceeds the relevant benchmark over a minimum of three years. |
| Milford Global Equity Fund | 0.00% | 0.00% | 1.35% | 1.37% | https://milfordasset.com | The Fund targets capital growth by out-performing the MSCI World Index with net dividends reinvested (50% NZD-hedged) over 5 years. |
| Milford Global Equity Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.35% | 1.37% | https://milfordasset.com | The Fund targets capital growth by out-performing the MSCI World Index with net dividends reinvested (50% NZD-hedged) over 5 years. |
| Milford Trans-Tasman Bond Fund | 0.00% | 0.00% | 1.19% | 1.21% | https://milfordasset.com | Positive, low volatility return that exceeds the relevant benchmark over a minimum of three years. |
| Milford Trans-Tasman Bond Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.19% | 1.21% | https://milfordasset.com | Positive, low volatility return that exceeds the relevant benchmark over a minimum of three years. |
| Milford Trans-Tasman Bond Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.19% | 1.21% | https://milfordasset.com | Positive, low volatility return that exceeds the relevant benchmark over a minimum of three years. |
| Milford Trans-Tasman Equity Fund | 0.00% | 0.00% | 1.59% | 1.61% | https://milfordasset.com | Capital growth by out-performing a mix of two relevant share market indices over a minimum of five years. |
| Milford Trans-Tasman Equity Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.59% | 1.61% | https://milfordasset.com | Capital growth by out-performing a mix of two relevant share market indices over a minimum of five years. |
| Milford Trans-Tasman Equity Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.59% | 1.61% | https://milfordasset.com | Capital growth by out-performing a mix of two relevant share market indices over a minimum of five years. |
| Mint Australasian Equity Fund | 0.00% | 0.00% | 1.72% | 1.74% | https://www.mintasset.co.nz/ | This Fund typically invests in New Zealand and Australian listed equities and has an investment objective of outperforming the S&P/NZX50 Gross Index by 3% per annum, before fees, over the medium to long-term. |
| MINT Australasian Equity Fund (PIE) - SWM (Cash Dist) | 0.00% | 0.00% | 1.72% | 1.74% | https://www.mintasset.co.nz/ | This Fund typically invests in New Zealand and Australian listed equities and has an investment objective of outperforming the S&P/NZX50 Gross Index by 3% per annum, before fees, over the medium to long-term. |
| MINT Australasian Equity Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.72% | 1.74% | https://www.mintasset.co.nz/ | This Fund typically invests in New Zealand and Australian listed equities and has an investment objective of outperforming the S&P/NZX50 Gross Index by 3% per annum, before fees, over the medium to long-term. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|---|
| MINT Australasian Equity Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.72% | 1.74% | https://www.mintasset.co.nz/ | This Fund typically invests in New Zealand and Australian listed equities and has an investment objective of outperforming the S&P/NZX50 Gross Index by 3% per annum, before fees, over the medium to long-term. |
| MINT Australasian Property Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.52% | 1.54% | https://www.mintasset.co.nz/ | This is a single asset class Fund, which typically invests in New Zealand and Australian listed property securities. The Fund has an investment objective of outperforming the S&P/NZX All Real Estate (Industry Group) Gross Index by 1% per annum, before fees, over the medium to long-term. |
| MINT Australasian Property Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.52% | 1.54% | https://www.mintasset.co.nz/ | This is a single asset class Fund, which typically invests in New Zealand and Australian listed property securities. The Fund has an investment objective of outperforming the S&P/NZX All Real Estate (Industry Group) Gross Index by 1% per annum, before fees, over the medium to long-term. |
| MINT Diversified Growth Fund (PIE) | 0.00% | 0.00% | 1.73% | 1.75% | https://www.mintasset.co.nz/ | Aims to provide investors with long-term capital growth. Multi-asset class Fund that offers diversification by investing across a number of asset classes both in New Zealand and internationally |
| MINT Diversified Income Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.48% | 1.50% | https://www.mintasset.co.nz/ | This is a multi-asset class Fund that offers diversification by investing across a number of asset classes both in New Zealand and internationally. These include cash, fixed interest, listed property and equities. The objective of the Fund is to deliver a total return (through a combination of income and capital growth) in excess of the Consumers Price Index (CPI) by 3% per annum, before fees, over the medium to longterm. |
| MINT Diversified Income Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.48% | 1.50% | https://www.mintasset.co.nz/ | This is a multi-asset class Fund that offers diversification by investing across a number of asset classes both in New Zealand and internationally. These include cash, fixed interest, listed property and equities. The objective of the Fund is to deliver a total return (through a combination of income and capital growth) in excess of the Consumers Price Index (CPI) by 3% per annum, before fees, over the medium to longterm. |
| Mint New Zealand SRI Equity Fund | 0.00% | 0.00% | 1.49% | 1.51% | https://www.mintasset.co.nz/ | This Fund typically invests in New Zealand and Australian listed equities and has an investment objective of outperforming the S&P/NZX50 Gross Index by 3% per annum, before fees, over the medium to long-term. |
| MINT New Zealand SRI Equity Fund (PIE) - DR (Cash Dist) | 0.00% | 0.00% | 1.49% | 1.51% | https://www.mintasset.co.nz/ | This Fund typically invests in New Zealand and Australian listed equities and has an investment objective of outperforming the S&P/NZX50 Gross Index by 3% per annum, before fees, over the medium to long-term. |
| Mitie Group PLC - GBP (MTO) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.mitie.com/ | Mitie Group PLC is a British strategic outsourcing and energy services company. It provides infrastructure consultancy, facilities management, property management, energy and healthcare services. |
| Monks Investment Trust plc | 0.00% | 0.00% | 0.97% | 0.99% | https://www.bailliegifford.com | Monks Investment Trust aims for long-term capital growth which takes priority over income. This is pursued through applying a patient approach to investment, principally from a differentiated, actively managed global equity portfolio containing a diversified range of growth stocks. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|--|
| Monks Investment Trust Plc - GBP (MNKS) (Cash Dist) | 0.00% | 0.00% | 0.97% | 0.99% | https://www.bailliegifford.com | Monks Investment Trust aims for long-term capital growth which takes priority over income. This is pursued through applying a patient approach to investment, principally from a differentiated, actively managed global equity portfolio containing a diversified range of growth stocks. |
| Motor Trade Finance Limited Preference Shares - 8.33% - Reset 30/09/2024 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.mtf.co.nz/ | Motor Trade Finance Limited operates as a motor vehicle finance company. The Company offers vehicle loan, car leasing, insurance, and other financing services. |
| MSCI Inc | 0.00% | 0.00% | 0.54% | 0.56% | https://ir.msci.com/ | MSCI is a leading provider of critical decision support tools and services for the global investment community. With over 50 years of expertise in research, data and technology, we power better investment decisions by enabling clients to understand and analyze key drivers of risk and return and confidently build more effective portfolios. |
| Multi Strategy Alternative | 0.00% | 2.26% per annum of the NAV of the Fund based on the past performance fees that accrued in relation to the Fund averaged over the previous 4 financial years to 30 June 2022 | 2.05% | 2.07% | https://www.specialisedprivatecapital.com.au/multi-strategy-alternative-fund | Multi Strategy Alternative Fund intends to invest substantially all of its assets in shares in the GCM Fund. The GCM Fund is a multi-strategy, multi-manager fund offering access to a portfolio of diversified alternative investment strategies. |
| Murray International Trust PLC | 0.00% | 0.00% | 1.47% | 1.49% | https://www.murray-intl.co.uk | The aim of the Company is to achieve an above average dividend yield, with long term growth in dividends and capital ahead of inflation, by investing principally in global equities. |
| Murray International Trust PLC - GBP (MYI) (Cash Dist) | 0.00% | 0.00% | 1.47% | 1.49% | https://www.murray-intl.co.uk | The aim of the Company is to achieve an above average dividend yield, with long term growth in dividends and capital ahead of inflation, by investing principally in global equities. |
| Napier Port Holdings Bonds @ 5.52% Mat 23/03/2028 - NZD (NPH010) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.napierport.co.nz/investor-centre/ | Napier Port has been serving Hawke's Bay and its surrounding regions for nearly 150 years. As the fourth largest port in New Zealand by container volume, Napier Port helps to build a thriving region by connecting our customers and community to global markets. |
| Napier Port Holdings Ltd - NZD (NPH) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.napierport.co.nz/investor-centre/ | Napier Port has been serving Hawke's Bay and its surrounding regions for nearly 150 years. As the fourth largest port in New Zealand by container volume, Napier Port helps to build a thriving region by connecting our customers and community to global markets. |
| National Australia Bank Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.nationalaustraliabank.com/ | National Australia Bank (NAB) is a leader in providing banking services to Australian businesses, with specialist expertise in agribusiness, health, government, education and community banking - complemented by NAB Group businesses in New Zealand, Asia, United Kingdom (UK) and the United States of America (USA). Our vision is to be Australia and New Zealand's most respected bank. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|-------------------------------------|---|---|---|---|--|
| National Grid - GBP (NG/) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.nationalgrid.com/ | National Grid plc is an investor-owned utility company which is focused on the transmission and distribution of electricity and gas. The Company owns and operates the electricity transmission network in England and Wales, the gas transmission network in Great Britain, and electricity transmission networks in the Northeastern United States and Scotland. |
| NB Global Monthly Income Fund Ltd | 0.00% | 0.00% | 0.75% | 0.77% | https://www.nbgmif.com/ | To provide its shareholders with consistent levels of monthly income, while maintaining or increasing the Net Asset Value per Share over time. |
| Nestle S.A. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.nestle.com/ | Nestle S.A. is a multinational packaged food company, that manufactures and markets a wide range of food products. The Company's product line includes milk, chocolate, confectionery, bottled water, coffee, creamer, food seasoning and pet foods. |
| Nestle SA - Registered | 0.00% | 0.00% | 0.54% | 0.56% | https://www.nestle.com/ | Nestle S.A. is a multinational packaged food company, that manufactures and markets a wide range of food products. The Company's product line includes milk, chocolate, confectionery, bottled water, coffee, creamer, food seasoning and pet foods. |
| Netflix INC. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.netflix.com/ | Netflix, Inc. is an American subscription streaming service and production company. |
| New Zealand Exchange Limited - NZD (NZX) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.nzx.com | NZX is at its core a markets business operating equity, debt, derivatives and energy markets. |
| NewRiver REIT PLC | 0.00% | 0.00% | 0.54% | 0.56% | https://www.nrr.co.uk/ | NewRiver REIT plc is a specialist listed real estate investment trust, focused primarily on retail and leisure property. |
| NEXTDC Limited | 0.00% | 0.00% | 0.54% | 0.56% | http://www.nextdc.com/ | NEXTDC is an Australian data centre operator. As of July 2020, the company operates 11 data centres around Australia, with facilities in Melbourne, Sydney, Brisbane, Perth, and Canberra. |
| Nike INC. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.nike.com | Nike, Inc. is an American multinational corporation that is engaged in the design, development, manufacturing, and worldwide marketing and sales of footwear, apparel, equipment, accessories, and services. |
| Nikko AM ARK Disruptive Innovation Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.79% | 1.81% | https://www.nikkoam.co.nz/ | The Nikko AM ARK Global Disruptive Innovation Fund gains exposure to global equities that are relevant to the investment theme of disruptive innovation by investing in the Nikko AM ARK Disruptive Innovation Fund (Underlying Fund), a sub-fund of the Nikko AM Global Umbrella Fund. The Underlying Fund is an open-ended investment company established under Luxembourg law as a 'société d'investissement à capital variable' (SICAV). |
| Nikko AM Concentrated Equity Fund (PIE) (Cash Dist) | Buy Spread 0.29%, Sell Spread 0.29% | 10% on gains above benchmark over 12 month period after fees and expenses. High water mark applies. | 1.69% | 1.71% | https://www.nikkoam.co.nz | To construct a portfolio of permitted investments that outperform the Fund's benchmark return before fees, expenses and taxes over a rolling three year period. |
| Nikko AM Core Equity Fund | Buy Spread 0.29%, Sell Spread 0.29% | 0.00% | 1.49% | 1.51% | https://www.nikkoam.co.nz | To construct a portfolio of permitted investments that outperform the Fund's benchmark return by 3.0% per annum over a rolling three year period before fees, expenses and taxes. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|-------------------------------------|---|---|---|---|--|
| Nikko AM Global Bond Fund | 0.00% | 0.00% | 1.34% | 1.36% | https://www.nikkoam.co.nz | To construct a portfolio of permitted investments that outperform the Fund's benchmark return by 1.0% per annum over a rolling three year period before fees, expenses and taxes. |
| Nikko AM Global Bond Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.34% | 1.36% | https://www.nikkoam.co.nz | To construct a portfolio of permitted investments that outperform the Fund's benchmark return by 1.0% per annum over a rolling three year period before fees, expenses and taxes. |
| Nikko AM Global Shares Fund | Buy Spread 0.07%, Sell Spread 0.07% | 0.00% | 1.74% | 1.76% | https://www.nikkoam.co.nz | To outperform the MSCI ACWI, with net dividends reinvested, expressed in NZD (unhedged) by 3% per annum over a rolling three year period before fees, expenses and taxes. |
| Nikko AM Global Shares Fund (PIE) - XR (Cash Dist) | Buy Spread 0.07%, Sell Spread 0.07% | 0.00% | 1.74% | 1.76% | https://www.nikkoam.co.nz | To outperform the MSCI ACWI, with net dividends reinvested, expressed in NZD (unhedged) by 3% per annum over a rolling three year period before fees, expenses and taxes. |
| Nikko AM Global Shares Fund (PIE) (Cash Dist) | Buy Spread 0.07%, Sell Spread 0.07% | 0.00% | 1.74% | 1.76% | https://www.nikkoam.co.nz | To outperform the MSCI ACWI, with net dividends reinvested, expressed in NZD (unhedged) by 3% per annum over a rolling three year period before fees, expenses and taxes. |
| Nikko AM Global Shares Hedged Fund | Buy Spread 0.07%, Sell Spread 0.07% | 0.00% | 1.74% | 1.76% | https://www.nikkoam.co.nz | To outperform the MSCI ACWI, with net dividends reinvested, expressed in NZD (unhedged) by 3% per annum over a rolling three year period before fees, expenses and taxes. |
| Nikko AM Global Shares Hedged Fund (PIE) - XR (Cash Dist) | Buy Spread 0.07%, Sell Spread 0.07% | 0.00% | 1.74% | 1.76% | https://www.nikkoam.co.nz | To outperform the MSCI ACWI, with net dividends reinvested, expressed in NZD (unhedged) by 3% per annum over a rolling three year period before fees, expenses and taxes. |
| Nikko AM Global Shares Hedged Fund (PIE) (Cash Dist) | Buy Spread 0.07%, Sell Spread 0.07% | 0.00% | 1.74% | 1.76% | https://www.nikkoam.co.nz | To outperform the MSCI ACWI, with net dividends reinvested, expressed in NZD (unhedged) by 3% per annum over a rolling three year period before fees, expenses and taxes. |
| Nikko AM Growth Fund | Buy Spread 0.11%, Sell Spread 0.11% | 0.00% | 1.63% | 1.65% | https://www.nikkoam.co.nz | The Fund aims to achieve a return which exceeds the benchmark return by 2.00% p.a. over a rolling three year period before fees, expenses and taxes. The Nikko AM Growth Fund invests across a range of actively managed funds to create a diversified portfolio with a focus on higher risk assets. |
| Nikko AM NZ Bond Fund | 0.00% | 0.00% | 1.19% | 1.21% | https://www.nikkoam.co.nz | The fund aims to outperform the benchmark return by 0.60% per annum before fees, expenses and taxes over a rolling three year period. |
| Nikko AM NZ Bond Fund (PIE) | 0.00% | 0.00% | 1.19% | 1.21% | https://www.nikkoam.co.nz | The fund aims to outperform the benchmark return by 0.60% per annum before fees, expenses and taxes over a rolling three year period. |
| Nikko AM NZ Bond Fund (PIE) - AR (Cash Dist) | 0.00% | 0.00% | 1.19% | 1.21% | https://www.nikkoam.co.nz | The fund aims to outperform the benchmark return by 0.60% per annum before fees, expenses and taxes over a rolling three year period. |
| Nikko AM NZ Bond Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.19% | 1.21% | https://www.nikkoam.co.nz | The fund aims to outperform the benchmark return by 0.60% per annum before fees, expenses and taxes over a rolling three year period. |
| Nikko AM NZ Bond Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.19% | 1.21% | https://www.nikkoam.co.nz | The fund aims to outperform the benchmark return by 0.60% per annum before fees, expenses and taxes over a rolling three year period. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| Nikko AM NZ Cash Fund | 0.00% | 0.00% | 0.84% | 0.86% | https://www.nikkoam.co.nz | To construct a portfolio of permitted investments that outperform the Fund's benchmark return by 0.2% per annum over a rolling three year period before fees, expenses and taxes. |
| Nikko AM NZ Cash Fund (PIE) - RB (Cash Dist) | 0.00% | 0.00% | 0.84% | 0.86% | https://www.nikkoam.co.nz | To construct a portfolio of permitted investments that outperform the Fund's benchmark return by 0.2% per annum over a rolling three year period before fees, expenses and taxes. |
| Nikko AM NZ Cash Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.84% | 0.86% | https://www.nikkoam.co.nz | To construct a portfolio of permitted investments that outperform the Fund's benchmark return by 0.2% per annum over a rolling three year period before fees, expenses and taxes. |
| Nikko AM NZ Corporate Bond Fund | 0.00% | 0.00% | 1.24% | 1.26% | https://www.nikkoam.co.nz | The fund aims to outperform the benchmark return by 0.70% per annum before fees, expenses and taxes over a rolling three year period. |
| Nikko AM NZ Corporate Bond Fund (PIE) | 0.00% | 0.00% | 1.24% | 1.26% | https://www.nikkoam.co.nz | The fund aims to outperform the benchmark return by 0.70% per annum before fees, expenses and taxes over a rolling three year period. |
| Nikko AM NZ Corporate Bond Fund (PIE) - RB (Cash Dist) | 0.00% | 0.00% | 1.24% | 1.26% | https://www.nikkoam.co.nz | The fund aims to outperform the benchmark return by 0.70% per annum before fees, expenses and taxes over a rolling three year period. |
| Nikko AM NZ Corporate Bond Fund (PIE) - XR (Cash Dist) | 0.00% | 0.00% | 1.24% | 1.26% | https://www.nikkoam.co.nz | The fund aims to outperform the benchmark return by 0.70% per annum before fees, expenses and taxes over a rolling three year period. |
| Nikko AM NZ Corporate Bond Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.24% | 1.26% | https://www.nikkoam.co.nz | The fund aims to outperform the benchmark return by 0.70% per annum before fees, expenses and taxes over a rolling three year period. |
| North American Income Trust PLC | 0.00% | 0.00% | 1.79% | 1.81% | https://www.northamericanincome.co.uk/ | To provide investors with above average dividend income and long term capital growth through active management of a portfolio consisting predominantly of S&P 500 US equities. |
| Novo Nordisk | 0.00% | 0.00% | 0.54% | 0.56% | https://www.novonordisk.co.nz/about/what-we-do.html | For almost 100 years, we have been translating the unmet medical needs of people living with a serious chronic disease into innovative medicines and delivery systems, like our insulin pens. Our treatments today are benefiting millions of people living with diabetes, obesity, and rare blood and endocrine diseases. |
| Novonix Ltd - AUD (NVX) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.novonixgroup.com/ | Novonix Limited develops and manufactures energy storage equipment. The Company offers industrial batteries. Novonix serves customers worldwide. |
| Nutrien Ltd - USD (NTR) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.nutrien.com/investors | We produce and distribute over 27 million tonnes of potash, nitrogen and phosphate products for agricultural, industrial and feed customers world-wide. Combined with our leading agriculture retail network that services over 500,000 grower accounts, we are well positioned to meet the needs of a growing world and create value for our stakeholders. |
| Nvidia Corp | 0.00% | 0.00% | 0.54% | 0.56% | https://www.nvidia.com/ | Nvidia Corporation, more commonly referred to as Nvidia, is an American technology company incorporated in Delaware and based in Santa Clara, California. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|--|
| Nvidia Corp. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.nvidia.com/ | Nvidia Corporation, more commonly referred to as Nvidia, is an American technology company incorporated in Delaware and based in Santa Clara, California. |
| Nvidia Corporation - USD (NVDA) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.nvidia.com/ | Nvidia Corporation, more commonly referred to as Nvidia, is an American technology company incorporated in Delaware and based in Santa Clara, California. |
| NZ King Salmon Co Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.kingsalmon.co.nz/ | The New Zealand King Salmon company was a pioneer in marine salmon farming in New Zealand, utilising King salmon stock introduced from California over 100 years ago. We have been growing and selling King salmon to consumers in New Zealand and overseas for over 30 years. We have a well-established domestic market share along with a successful history in offshore markets. |
| NZX Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.nzx.com/ | New Zealand's Exchange, or Te Paehoko o Aotearoa in te reo Māori, is the national stock exchange for New Zealand and a publicly owned company. NZX is the parent company of Smartshares, LifeSaver, and Wealth Technologies. |
| Oceania Healthcare Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.oceaniahealthcare.co.nz/ | Oceania operates in the New Zealand residential aged care and retirement village sectors, offering residents villas and apartments within its retirement villages, and also providing a full range of residential aged care services (including rest home, hospital and dementia level care) at its aged care facilities. Oceania is currently New Zealand's third largest provider of residential aged care, and New Zealand's sixth largest retirement village business. |
| Oceania Healthcare Limited @2.3% Mat 19/10/2027 - NZD (OCA010) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.oceaniahealthcare.co.nz/ | Oceania operates in the New Zealand residential aged care and retirement village sectors, offering residents villas and apartments within its retirement villages, and also providing a full range of residential aged care services (including rest home, hospital and dementia level care) at its aged care facilities. Oceania is currently New Zealand's third largest provider of residential aged care, and New Zealand's sixth largest retirement village business. |
| Oceania Healthcare Limited @3.3% Mat 13/09/2028 - NZD (OCA020) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.oceaniahealthcare.co.nz/ | Oceania operates in the New Zealand residential aged care and retirement village sectors, offering residents villas and apartments within its retirement villages, and also providing a full range of residential aged care services (including rest home, hospital and dementia level care) at its aged care facilities. Oceania is currently New Zealand's third largest provider of residential aged care, and New Zealand's sixth largest retirement village business. |
| Oceania Healthcare Limited Bonds - 3.30% - Mat 13/09/2028 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.oceaniahealthcare.co.nz/ | Oceania operates in the New Zealand residential aged care and retirement village sectors, offering residents villas and apartments within its retirement villages, and also providing a full range of residential aged care services (including rest home, hospital and dementia level care) at its aged care facilities. Oceania is currently New Zealand's third largest provider of residential aged care, and New Zealand's sixth largest retirement village business. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|--|---|---|---|---|--|
| Oceania Healthcare Ltd - NZD (OCA) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.oceaniahealthcare.co.nz/ | Oceania operates in the New Zealand residential aged care and retirement village sectors, offering residents villas and apartments within its retirement villages, and also providing a full range of residential aged care services (including rest home, hospital and dementia level care) at its aged care facilities. Oceania is currently New Zealand's third largest provider of residential aged care, and New Zealand's sixth largest retirement village business. |
| OneAnswer Growth Fund | 0.00% | 0.00% | 1.59% | 1.61% | https://www.anz.co.nz/comms/investments/investment-funds/performance-and-fees/ | The Growth Fund invests mainly in growth assets (equities, listed property and listed infrastructure), with a smaller exposure to income assets (cash and cash equivalents and fixed interest). The fund may also invest in alternative assets. |
| OneAnswer International Listed Infrastructure Fund (SAC) (PIE) (Re-Invest) | 0.00% | 0.00% | 1.48% | 1.50% | https://investments.anz.co.nz/ | The OneAnswer International Listed Infrastructure Fund gives investors access to an actively managed portfolio of international listed infrastructure companies. |
| OneAnswer International Listed Infrastructure Fund (Single Asset Class) | 0.00% | 0.00% | 1.48% | 1.50% | https://investments.anz.co.nz/ | The OneAnswer International Listed Infrastructure Fund gives investors access to an actively managed portfolio of international listed infrastructure companies. |
| OneAnswer International Property Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.59% | 1.61% | https://investments.anz.co.nz/ | Invests mainly in international listed property assets. |
| OneAnswer International Property Fund (Single Asset Class) | 0.00% | 0.00% | 1.59% | 1.61% | https://investments.anz.co.nz/ | Invests mainly in international listed property assets. |
| OneAnswer International Share Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.59% | 1.61% | https://investments.anz.co.nz/ | Invests mainly in international equities. Investments may include equities in companies that are listed on a recognised stock exchange, and cash and cash equivalents. |
| OneAnswer International Share Fund (Single Asset Class) | 0.00% | 0.00% | 1.59% | 1.61% | https://investments.anz.co.nz/ | Invests mainly in international equities. Investments may include equities in companies that are listed on a recognised stock exchange, and cash and cash equivalents. |
| OneAnswer Property Securities Fund | 0.00% | 0.00% | 1.64% | 1.66% | https://investments.anz.co.nz/ | Invests mainly in New Zealand and Australian listed property assets. |
| OneAnswer Property Securities Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.64% | 1.66% | https://investments.anz.co.nz/ | Invests mainly in New Zealand and Australian listed property assets. |
| Ophir High Conviction Fund - AUD (OPH) (Cash Dist) | Buy spread 0.35%, sell spread 0.35% | 20.5% p.a. of the amount the fund outperforms its benchmark during a performance period, after deduction of other fees and expenses and provided any underperformance from previous periods have been recovered | 1.77% | 1.79% | https://www.ophiram.com.au/ | The Ophir High Conviction Fund is an ASX-listed long only, small and mid-cap Australian equities fund. The Fund typically invests in a concentrated portfolio of 15-30 companies listed outside the S&P/ASX 50. |
| Optinose Inc - USD (OPTN) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.optinose.com/ | Optinose is a specialty pharmaceutical company focused on creating and bringing to market innovative products for patients with diseases treated by ear, nose, and throat (ENT) and allergy specialists. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|-------------------------------------|---|---|---|---|---|
| Pacific Edge Limited | 0.00% | 0.00% | 0.54% | 0.56% | http://www.pacifiedgedx.com/ | Pacific Edge Limited is a cancer diagnostics New Zealand company that offers a genetic biomarker based suite of bladder cancer diagnostic tools. |
| Pacific Edge Limited - NZD (PEB) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.pacifiedgedx.com/ | Pacific Edge Limited is a cancer diagnostics New Zealand company that offers a genetic biomarker based suite of bladder cancer diagnostic tools. |
| Palo Alto Networks INC. | 0.00% | 0.00% | 0.54% | 0.56% | http://www.paloaltonetworks.com/ | Palo Alto Networks, Inc. is an American multinational cybersecurity company with headquarters in Santa Clara, California. Its core products are a platform that includes advanced firewalls and cloud-based offerings that extend those firewalls to cover other aspects of security. |
| Paragon 28 Inc - USD (FNA) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://paragon28.com/ | Paragon 28® was established in 2010 to address unmet and under-served needs of the foot and ankle community. We are dedicated to creating tailored solutions and tools to improve the treatment of even the most difficult foot and ankle conditions. |
| Pathfinder Global Property Fund (PIE) (Cash Dist) | Buy Spread 0.05%, Sell Spread 0.05% | 0.00% | 1.54% | 1.56% | https://www.path.co.nz/ | Global property offers significantly greater choice than New Zealand's small property sector. We invest in high ESG real estate companies from a broad selection of countries and real estate sectors. |
| Pathfinder Global Responsibility Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.47% | 1.49% | https://www.path.co.nz/ | Companies with strong environmental, social and governance (ESG) standards tend to have better customer engagement, higher productivity and make better decisions about the deployment of capital. |
| Pathfinder Global Water Fund (PIE) (Re-Invest) | Buy Spread 0.05%, Sell Spread 0.05% | 0.00% | 1.84% | 1.86% | https://www.path.co.nz/ | This Fund aims at above-average long term returns whilst helping solve the global water crisis. Investing in companies that produce things like water filtration systems, pumps and pipelines so that we can all have sustainable access to clean water. |
| PayPal Holdings Inc - USD (PYPL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.paypal.com/ | PayPal Holdings, Inc. operates as a technology platform company that enables digital and mobile payments on behalf of consumers and merchants. The Company offers online payment solutions. PayPal Holdings serves customers worldwide. |
| PayPal Holdings INC. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.paypal.com/ | PayPal Holdings, Inc. operates as a technology platform company that enables digital and mobile payments on behalf of consumers and merchants. The Company offers online payment solutions. PayPal Holdings serves customers worldwide. |
| Peabody Energy Corp - USD (BTU) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.peabodyenergy.com/ | Peabody Energy is a coal mining and energy company headquartered in St. Louis, Missouri. Its primary business consists of the mining, sale, and distribution of coal, which is purchased for use in electricity generation and steelmaking. |
| Pengana Australian Equities Class A | Buy Spread 0.15%, Sell Spread 0.15% | 10.25% (including GST, net of RITC) of increase in net asset value subject to the Australian cash rate plus 6% p.a and high water mark, paid half yearly. | 1.57% | 1.59% | https://www.pengana.com/ | The Pengana Australian Equities Fund provides exposure to a high conviction portfolio of listed Australian companies. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|--|--|---|---|---|--|
| Pengana Australian Equities Fund (W) (Cash Dist) | Buy Spread 0.15%, Sell Spread 0.15% | 10.25% (including GST, net of RITC) of increase in net asset value subject to the Australian cash rate plus 6% p.a and high water mark, paid half yearly. | 1.57% | 1.59% | https://www.pengana.com/ | The Pengana Australian Equities Fund provides exposure to a high conviction portfolio of listed Australian companies. |
| Pengana Axiom International Ethical Fund (W) (Cash Dist) | 0.00% | 0.00% | 1.89% | 1.91% | https://www.pengana.com/ | The Pengana Axiom International Ethical Fund invests in companies that are dynamically growing and changing for the better, more rapidly than generally expected and where the positive changes are not yet reflected in expectations or valuation. |
| Pengana Emerging Companies | Buy Spread 0.30%, Sell Spread 0.30% | 20.5% of the performance above the return of the benchmark. | 1.87% | 1.89% | https://www.pengana.com/ | The Pengana Emerging Companies Fund provides exposure to a diversified portfolio of Australian small cap industrial companies. |
| Pengana Emerging Companies Fund (W) (Cash Dist) | Buy Spread 0.30%, Sell Spread 0.30% | 20.5% of the performance above the return of the benchmark. | 1.87% | 1.89% | https://www.pengana.com/ | The Pengana Emerging Companies Fund provides exposure to a diversified portfolio of Australian small cap industrial companies. |
| Pengana Private Equity Trust - AUD (PEI) (Cash Dist) | 0.00% | A performance fee is potentially payable by the Trust equal to 20% of the Trust Outperformance, namely of the Trust Total Return in excess of the Hurdle Return (being 8% p.a.) and subject to the NAV being greater than the Trust's HWM. | 0.54% | 0.56% | https://www.pengana.com/ | The Pengana Private Equity Trust gives investors the opportunity to access a diversified portfolio of global private market investments, with a select allocation to private credit and opportunistic investments. |
| Perpetual Industrial Share Fund (W) (Cash Dist) | Buy Spread 0.12%, Sell Spread 0.12% | 0.00% | 1.90% | 1.92% | https://www.perpetual.com.au/ | The Perpetual Industrial Share Fund is an actively managed portfolio of quality industrial shares. The Fund aims to provide long-term capital growth and regular income. |
| Perpetual Industrial Share Fund (W) (Re-Invest) | Buy Spread 0.12%, Sell Spread 0.12% | 0.00% | 1.90% | 1.92% | https://www.perpetual.com.au/ | The Perpetual Industrial Share Fund is an actively managed portfolio of quality industrial shares. The Fund aims to provide long-term capital growth and regular income. |
| Personal Assets Trust PLC | 0.00% | 0.00% | 1.21% | 1.23% | https://patplc.co.uk/ | The Company invests in equities and fixed income securities and it may also hold cash and cash equivalents (which may, depending on circumstances, include gold). |
| PIE Australasian Dividend Growth Fund | 0.00% | 0.00% | 2.39% | 2.41% | https://www.piefunds.co.nz/ | The Dividend Growth Fund's objective is to deliver long-term capital growth and six-monthly distribution payments by investing predominantly in a concentrated portfolio of smaller and medium Australasian companies that pay a dividend or produce cash-flow available for future distributions. |
| PIE Australasian Dividend Growth Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 2.39% | 2.41% | https://www.piefunds.co.nz/ | The Dividend Growth Fund's objective is to deliver long-term capital growth and six-monthly distribution payments by investing predominantly in a concentrated portfolio of smaller and medium Australasian companies that pay a dividend or produce cash-flow available for future distributions. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|--|--|---|---|---|---|
| PIE Global Growth Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 2.15% | 2.17% | https://www.piefunds.co.nz | The Global Growth Fund gives investors access to a diversified portfolio of smaller international companies. Investments are made directly and through fund managers with similar investment philosophies to Pie Funds, but who invest in different areas or sectors. This fund provides investors global diversification to regions such as UK/Europe, North America, Australasia, Japan and Emerging Markets. |
| Pilbara Minerals Limited | 0.00% | 0.00% | 0.54% | 0.56% | http://www.pilbaraminerals.com.au | Pilbara Minerals is an emerging lithium and tantalum producer focused on the development of its world-class 100% owned Pilgangoora Lithium-Tantalum Project, located approximately 120kms from Port Hedland in the Pilbara region of Western Australia. |
| Platinum Asia | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 1.89% | 1.91% | https://www.platinum.com.au | To provide capital growth over the long-term by investing in undervalued companies in the Asian region (excluding Japan). |
| Platinum Asia Fund - C Class Units (W) (Cash Dist) | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 1.89% | 1.91% | https://www.platinum.com.au | To provide capital growth over the long-term by investing in undervalued companies in the Asian region (excluding Japan). |
| Platinum International Brands Fund - C Class Units (W) (Cash Dist) | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 1.89% | 1.91% | https://www.platinum.com.au | To provide capital growth over the long-term by investing in companies around the world with well-recognised consumer brands names. |
| Platinum International Fund | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 1.89% | 1.91% | https://www.platinum.com.au | To provide capital growth over the long-term by investing in undervalued companies from around the world. |
| Platinum International Fund - C Class Units (W) (Cash Dist) | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 1.89% | 1.91% | https://www.platinum.com.au | To provide capital growth over the long-term by investing in undervalued companies from around the world. |
| Platinum International Fund P | Buy Spread 0.15%, Sell Spread 0.15% | 15% of outperformance over benchmark | 1.89% | 1.91% | https://www.platinum.com.au | To provide capital growth over the long-term by investing in undervalued companies from around the world. |
| Platinum International Healthcare | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 1.89% | 1.91% | https://www.platinum.com.au | To provide capital growth over the long-term by investing in health care companies from around the world, ranging from those companies engaged in early exploratory research through to those providing health care services directly to consumers such as hospitals and health insurance providers. |
| Platinum International Healthcare Fund - C Class Units (W) (Cash Dist) | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 1.89% | 1.91% | https://www.platinum.com.au | To provide capital growth over the long-term by investing in health care companies from around the world, ranging from those companies engaged in early exploratory research through to those providing health care services directly to consumers such as hospitals and health insurance providers. |
| PM Capital Global Companies | Buy Spread 0.25%, Sell Spread 0.25% | 20% (subject to a high water mark) of the excess above the greater of the RBA cash rate and MSCI World Net Return Index (AUD). | 1.66% | 1.68% | https://www.pmcapital.com.au | The Fund's investment objective is to provide long term capital growth and outperform the greater of the MSCI World Net Total Return Index (AUD) or RBA cash rate over rolling seven year periods. The Fund is not intended to replicate the index, investing in a concentrated portfolio of predominantly undervalued equities and other global (including Australia) investment securities. |
| PM Capital Global Companies Fund (W) (Cash Dist) | Buy Spread 0.25%, Sell Spread 0.25% | 20% (subject to a high water mark) of the excess above the greater of the RBA cash rate and MSCI World Net Return Index (AUD). | 1.66% | 1.68% | https://www.pmcapital.com.au | The Fund's investment objective is to provide long term capital growth and outperform the greater of the MSCI World Net Total Return Index (AUD) or RBA cash rate over rolling seven year periods. The Fund is not intended to replicate the index, investing in a concentrated portfolio of predominantly undervalued equities and other global (including Australia) investment securities. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|-------------------------------------|---|---|---|---|---|
| Polar Capital Technology Trust - GBP (PCT) (Cash Dist) | 0.00% | 10% per annum of outperformance over benchmark. | 1.35% | 1.37% | https://www.polarcapitaltechnologytrust.co.uk/ | The Company aims to maximise long-term capital growth through investing in a diversified portfolio of technology companies around the world. |
| Polar Capital Technology Trust PLC | 0.00% | 10% per annum of outperformance over benchmark. | 1.35% | 1.37% | https://www.polarcapitaltechnologytrust.co.uk/ | The Company aims to maximise long-term capital growth through investing in a diversified portfolio of technology companies around the world. |
| Polar Capital Technology Trust Plc. | 0.00% | 10% per annum of outperformance over benchmark. | 1.35% | 1.37% | https://www.polarcapitaltechnologytrust.co.uk/ | The Company aims to maximise long-term capital growth through investing in a diversified portfolio of technology companies around the world. |
| Precinct Properties New Zealand Limited | 0.00% | 0.00% | 0.54% | 0.56% | http://www.precinct.co.nz/ | We focus on quality space and quality service. As Precinct, our inspiring commercial environments add to the life of our cities and provide space for businesses to thrive. Listed on the New Zealand Stock exchange since 1997, Precinct is an established New Zealand business, with around 7,800 New Zealand shareholders who together own around 75% of our company. |
| Precinct Properties NZ Ltd & Precinct Properties Investments Ltd - NZD (PCT) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.precinct.co.nz/ | We focus on quality space and quality service. As Precinct, our inspiring commercial environments add to the life of our cities and provide space for businesses to thrive. Listed on the New Zealand Stock exchange since 1997, Precinct is an established New Zealand business, with around 7,800 New Zealand shareholders who together own around 75% of our company. |
| Precinct Properties NZ Ltd Fixed Rate Green Bonds @2.85% Mat 28/05/2027 (PCT030) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.precinct.co.nz/ | We focus on quality space and quality service. As Precinct, our inspiring commercial environments add to the life of our cities and provide space for businesses to thrive. Listed on the New Zealand Stock exchange since 1997, Precinct is an established New Zealand business, with around 7,800 New Zealand shareholders who together own around 75% of our company. |
| Precinct Properties NZ Ltd Green Bonds @ 5.25% Mat 09/05/2028 (PCT040) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.precinct.co.nz/ | We focus on quality space and quality service. As Precinct, our inspiring commercial environments add to the life of our cities and provide space for businesses to thrive. Listed on the New Zealand Stock exchange since 1997, Precinct is an established New Zealand business, with around 7,800 New Zealand shareholders who together own around 75% of our company. |
| Premium Asia Fund (W) - Cash (Cash Dist) | Buy Spread 0.25%, Sell Spread 0.25% | 0.00% | 1.87% | 1.89% | http://www.premiumchinafunds.com.au | The Premium Asia Fund aims to generate positive returns, consisting of both capital growth and income, over a three to five-year period prior to accounting for movements in currency exchange rates. It will seek to achieve this objective by constructing a portfolio of securities which provides exposure to the Asia ex-Japan region. |
| Premium Asia Income | Buy Spread 0.50%, Sell Spread 0.50% | 0.00% | 1.67% | 1.69% | http://www.premiumchinafunds.com.au | The Premium Asia Income Fund is a managed investment scheme seeking current income and some capital appreciation by investing mostly in a diversified portfolio of high yielding, sub investment grade securities and non-rated securities across a range of markets in Asia and the Middle East (the "Region"). |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|-------------------------------------|--|---|---|---|---|
| Premium Asia Income Fund (W) (Cash Dist) | Buy Spread 0.50%, Sell Spread 0.50% | 0.00% | 1.67% | 1.69% | http://www.premiumchinafunds.com.au | The Premium Asia Income Fund is a managed investment scheme seeking current income and some capital appreciation by investing mostly in a diversified portfolio of high yielding, sub investment grade securities and non-rated securities across a range of markets in Asia and the Middle East (the "Region"). |
| Premium China | Buy Spread 0.25%, Sell Spread 0.25% | 15% performance fee on the outperformance of the Fund over MSCI China Free Index (subject to high-watermark) | 2.84% | 2.86% | http://www.premiumchinafunds.com.au | The Premium China Fund is a managed investment scheme which invests primarily in companies listed in Hong Kong, companies listed in Mainland China, companies listed in Taiwan and companies listed on other stock exchanges but with significant assets, investments, production activities, trading or other business interests in the Greater China region, or which derive a significant part of their revenue from the Greater China region. |
| Premium China Fund (W) - Cash (Cash Dist) | Buy Spread 0.25%, Sell Spread 0.25% | 15% performance fee on the outperformance of the Fund over MSCI China Free Index (subject to high-watermark) | 2.84% | 2.86% | http://www.premiumchinafunds.com.au | The Premium China Fund is a managed investment scheme which invests primarily in companies listed in Hong Kong, companies listed in Mainland China, companies listed in Taiwan and companies listed on other stock exchanges but with significant assets, investments, production activities, trading or other business interests in the Greater China region, or which derive a significant part of their revenue from the Greater China region. |
| Property For Industry Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.propertyforindustry.co.nz/ | PFI is NZ's largest specialist industrial property landlord. Its portfolio has 92 mostly industrial properties with 148 tenants and a total value of NZ\$1,211m as at December 2017. PFI's portfolio is ~80% weighted to Auckland and it has properties in most major Auckland industrial precincts. On 1 July 2013, PFI merged with Direct Property Fund, an unlisted property entity, substantially lifting the scale of the portfolio. |
| Property For Industry Limited- NZD (PFI) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.propertyforindustry.co.nz/ | PFI is NZ's largest specialist industrial property landlord. Its portfolio has 92 mostly industrial properties with 148 tenants and a total value of NZ\$1,211m as at December 2017. PFI's portfolio is ~80% weighted to Auckland and it has properties in most major Auckland industrial precincts. On 1 July 2013, PFI merged with Direct Property Fund, an unlisted property entity, substantially lifting the scale of the portfolio. |
| ProShares Bitcoin Strategy ETF | 0.00% | 0.00% | 1.49% | 1.51% | https://www.proshares.com/ | ProShares Bitcoin Strategy ETF (BITO) is the first U.S. bitcoin-linked ETF offering investors an opportunity to gain exposure to bitcoin returns in a convenient, liquid and transparent way. The Fund seeks to provide capital appreciation primarily through managed exposure to bitcoin futures contracts. |
| Prudential Corporation Plc - GBP (PRU) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.prudential.co.uk/ | Prudential plc is an international company which provides a wide assortment of insurance and investment products and services. Insurance products include life, accident and health, and property and casualty, as well as fixed and variable annuities. Prudential's services include personal and group pensions, equity plans, mortgages, and deposit accounts. |
| Purpose Bitcoin ETF - NZD (BTCC.CN) (Cash Dist) | 0.00% | 0.00% | 2.00% | 2.02% | https://www.bitcoin.org/ | Purpose Bitcoin ETF is the first ETF in the world to be physically backed by Bitcoin and not derivatives, making it similar to gold and silver ETFs already trading on the TSX. BTCC will retail investors to invest in Bitcoin without having to purchase and store the digital currency themselves. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| QBE Insurance Group Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.qbe.com/ | At QBE we're driven by our purpose of enabling a more resilient future – helping those around us build strength and embrace change to their advantage. We've been cultivating resilience since 1886, when our founders started a marine insurance company to give early pioneers a safeguard against uncertainty. |
| Qualcomm INC. | 0.00% | 0.00% | 0.54% | 0.56% | http://www.qualcomm.com/ | Qualcomm is an American multinational corporation headquartered in San Diego, California, and incorporated in Delaware. It creates intellectual property, semiconductors, software, and services related to wireless technology. |
| QUANTA SERVICES INC | 0.00% | 0.00% | 0.54% | 0.56% | http://www.quantaservices.com/ | Quanta Services is an American corporation that provides infrastructure services for electric power, pipeline, industrial and communications industries. Capabilities include the planning, design, installation, program management, maintenance and repair of most types of network infrastructure |
| QuayStreet AM Fixed Interest Fund | 0.00% | 0.00% | 1.31% | 1.33% | https://quaystreet.com/ | The QuayStreet Fixed Interest Fund invests in a diversified portfolio of fixed interest and derivative investments with an emphasis on corporate bonds. |
| QuayStreet Fixed Interest Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.31% | 1.33% | https://quaystreet.com/ | The QuayStreet Fixed Interest Fund invests in a diversified portfolio of fixed interest and derivative investments with an emphasis on corporate bonds. |
| QuayStreet NZ Equity Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.82% | 1.84% | https://quaystreet.com/ | The QuayStreet New Zealand Equity Fund invests in a portfolio of shares from the New Zealand market, targeting returns above the long term performance of the New Zealand sharemarket. |
| Ramsay Health Care Limited - AUD (RHC) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.ramsayhealth.com.au/ | Ramsay Health Care Ltd. provides health care services. The Company offers private hospital services, including, rehabilitation, psychiatric care, day, and complex surgery. Ramsay Health Care owns, operates, and manages health care facilities throughout Australia, Indonesia, and Europe. |
| Regal Investment Fund | 0.00% | 20% (plus GST) of the Fund's outperformance against the RBA cash rate, subject to the Fund's NAV being greater than the Fund's High Water Mark. | 2.04% | 2.06% | https://www.regalrm.com/ | The Fund's Investment Objective is to provide investors with exposure to a selection of alternative investment strategies managed by Regal, with the aim of producing attractive risk adjusted absolute returns over a period of more than five years with limited correlation to equity markets. |
| Reliance Worldwide Corp. Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.rwc.com/ | Reliance Worldwide Corporation Limited is an Australian-owned publicly listed company which designs, manufactures and supplies water flow and control products and solutions. |
| Renewables Infrastructure Group Ltd - GBP (TRIG) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.trig-ltd.com/ | To generate sustainable returns from a diversified portfolio of renewables infrastructure that contribute towards a zero-carbon future. The Company invests principally in operational assets which generate electricity from renewable energy sources, with a particular focus on onshore wind farms and solar PV parks. |
| ResMed Inc - AUD (RMD) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.resmed.com/au/en/index.html | ResMed Inc. is a holding company. The Company, through its subsidiaries, designs, manufactures and markets equipment for the diagnosis and treatment of sleep-disordered breathing and other respiratory disorders, including obstructive sleep apnea. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|-------------------------------------|--|---|---|---|--|
| Resolution Capital Glb Ppty Sec UH SII B | Buy Spread 0.20%, Sell Spread 0.20% | Plus a performance fee of 20% of the Fund's outperformance of the Benchmark net of the management fee and expenses | 1.34% | 1.36% | https://rescap.com/ | The Resolution Capital Global Property Securities Fund ('the Global Fund') gives investors exposure to the underlying returns of some of the world's highest quality real estate assets through a professionally managed portfolio of global real estate investment trusts ('REITs') and property companies. |
| Resolution Capital Global Property Secs | Buy Spread 0.20%, Sell Spread 0.20% | Plus a performance fee of 20% of the Fund's outperformance of the Benchmark net of the management fee and expenses | 1.34% | 1.36% | https://rescap.com/ | The Resolution Capital Global Property Securities Fund ('the Global Fund') gives investors exposure to the underlying returns of some of the world's highest quality real estate assets through a professionally managed portfolio of global real estate investment trusts ('REITs') and property companies. |
| Resolution Capital Global Property Securities Fund (W) (Cash Dist) | Buy Spread 0.20%, Sell Spread 0.20% | Plus a performance fee of 20% of the Fund's outperformance of the Benchmark net of the management fee and expenses | 1.34% | 1.36% | https://rescap.com/ | The Resolution Capital Global Property Securities Fund ('the Global Fund') gives investors exposure to the underlying returns of some of the world's highest quality real estate assets through a professionally managed portfolio of global real estate investment trusts ('REITs') and property companies. |
| Restaurant Brands NZ Limited | 0.00% | 0.00% | 0.54% | 0.56% | http://www.restaurantbrands.co.nz/ | Restaurant Brands New Zealand Limited, trading as Restaurant Brands is a New Zealand fast food company. Restaurant Brands currently operates and owns the master franchising rights for the Carl's Jr., KFC, Pizza Hut, and Taco Bell brands in New Zealand. |
| Rio Tinto Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.riotinto.com | Our long history is filled with firsts. We've developed some of the world's largest and best quality mines and operations, and our people work in around 35 countries across six continents. |
| RIT Capital Partners PLC | 0.00% | 1.66% | 1.43% | 1.45% | https://www.ritcap.com/ | To invest in a widely diversified, international portfolio across a range of asset classes, both quoted and unquoted; to allocate part of the portfolio to exceptional managers in order to ensure access to the best external talent available. |
| RIT Capital Partners PLC - GBP (RCP) (Cash Dist) | 0.00% | 1.66% | 1.43% | 1.45% | https://www.ritcap.com/ | To invest in a widely diversified, international portfolio across a range of asset classes, both quoted and unquoted; to allocate part of the portfolio to exceptional managers in order to ensure access to the best external talent available. |
| RIT Capital Partners Plc. | 0.00% | 1.66% | 1.43% | 1.45% | https://www.ritcap.com/ | To invest in a widely diversified, international portfolio across a range of asset classes, both quoted and unquoted; to allocate part of the portfolio to exceptional managers in order to ensure access to the best external talent available. |
| Rocket Lab USA Inc - USD (RKL B) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.rocketlabusa.com | Rocket Lab is a public American aerospace manufacturer and small satellite launch service provider with a wholly owned New Zealand subsidiary. |
| Rocket Lab USA Inc. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.rocketlabusa.com | Rocket Lab is a public American aerospace manufacturer and small satellite launch service provider with a wholly owned New Zealand subsidiary. |
| Rockwell Automation Inc | 0.00% | 0.00% | 0.54% | 0.56% | https://www.rockwellautomation.com | Rockwell Automation, Inc. is an American provider of industrial automation whose brands include Allen-Bradley, FactoryTalk software and LifecycleIQ Services. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|-------------------------------------|---|---|---|---|--|
| Rolls-Royce Holdings Plc - GBP (RR/) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.rolls-royce.com/ | Rolls-Royce Holdings plc is a British multinational aerospace and defence company incorporated in February 2011. The company owns Rolls-Royce, a business established in 1904 which today designs, manufactures and distributes power systems for aviation and other industries. |
| Ruffer Investment Company Ltd | 0.00% | 0.00% | 1.54% | 1.56% | https://www.ruffer.co.uk/ | Ruffer Investment Company Limited has a simple aim – consistent positive returns, regardless of how the financial markets perform. |
| Russell Australian Select Corporate Bond ETF - AUD (RCB) (Cash Dist) | 0.00% | 0.00% | 0.82% | 0.84% | https://russellinvestments.com | The Russell Investments Australian Select Corporate Bond ETF (the 'Fund') seeks to track the DBIQ 0-4 year Investment Grade Australian Corporate Bond Index (the Index) which comprises predominantly investment-grade Australian corporate fixed income securities. |
| Russell Investments Global Fixed Interest Fund - PIE | Buy Spread 0.25%, Sell Spread 0.25% | 0.00% | 1.13% | 1.15% | https://russellinvestments.com | Provides exposure to a global fixed interest portfolio managed actively on a multi-manager basis. The Fund's underlying investment exposure comprises of a diversified range of fixed interest investments, including international government securities, government-related securities, corporate securities, asset-backed securities and hybrid securities in both developed and emerging markets around the globe. |
| Russell Investments Global Fixed Interest Fund (PIE) - XR (Cash Dist) | Buy Spread 0.15%, Sell Spread 0.15% | 0.00% | 1.13% | 1.15% | https://russellinvestments.com | Provides exposure to a global fixed interest portfolio managed actively on a multi-manager basis. The Fund's underlying investment exposure comprises of a diversified range of fixed interest investments, including international government securities, government-related securities, corporate securities, asset-backed securities and hybrid securities in both developed and emerging markets around the globe. |
| Russell Investments Global Fixed Interest Fund (PIE) (Cash Dist) | Buy Spread 0.25%, Sell Spread 0.25% | 0.00% | 1.13% | 1.15% | https://russellinvestments.com | Provides exposure to a global fixed interest portfolio managed actively on a multi-manager basis. The Fund's underlying investment exposure comprises of a diversified range of fixed interest investments, including international government securities, government-related securities, corporate securities, asset-backed securities and hybrid securities in both developed and emerging markets around the globe. |
| Russell Investments Global Share Fund (PIE) (Cash Dist) | Buy Spread 0.18%, Sell Spread 0.13% | 0.00% | 1.43% | 1.45% | https://russellinvestments.com | Provides exposure to international shares and is actively managed using a multi manager approach. The objective is to outperform the MSCI ACWI Index - Net. |
| Russell Investments Hedged Global Shares Fund (PIE) - SWM (Cash Dist) | Buy Spread 0.14%, Sell Spread 0.12% | 0.00% | 1.47% | 1.49% | https://russellinvestments.com | Provides exposure to international shares and is actively managed using a multi manager approach. Targets being fully hedged back to New Zealand dollars. The objective is to outperform the MSCI ACWI Index – 100% Hedged to NZD – Net. |
| Russell Investments Hedged Global Shares Fund (PIE) (Cash Dist) | Buy Spread 0.14%, Sell Spread 0.12% | 0.00% | 1.47% | 1.49% | https://russellinvestments.com | Provides exposure to international shares and is actively managed using a multi manager approach. Targets being fully hedged back to New Zealand dollars. The objective is to outperform the MSCI ACWI Index – 100% Hedged to NZD – Net. |
| Russell Investments Hedged Sustainable Global Shares Fund | Buy Spread 0.14%, Sell Spread 0.12% | 0.00% | 0.88% | 0.90% | https://russellinvestments.com | The Fund invests predominantly in a broad range of international shares listed on developed and emerging market exchanges. |
| Russell Investments Sustainable Global Shares Fund | Buy Spread 0.12%, Sell Spread 0.10% | 0.00% | 0.86% | 0.88% | https://russellinvestments.com | The Fund invests predominantly in a broad range of international shares listed on developed and emerging market exchanges. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|-------------------------------------|---|---|---|---|--|
| Russell Investments Sustainable Global Shares Fund (PIE) - SWM (Cash Dist) | Buy Spread 0.12%, Sell Spread 0.10% | 0.00% | 0.86% | 0.88% | https://russellinvestments.com | The Fund invests predominantly in a broad range of international shares listed on developed and emerging market exchanges. |
| Ryman Healthcare Bonds - 2.55% - Mat 18/12/2026 | 0.00% | 0.00% | 0.54% | 0.56% | http://www.rymanhealthcare.co.nz/ | Ryman Healthcare Limited is a New Zealand retirement village and rest home operator. It is listed on the New Zealand Stock Exchange and is one of the largest companies listed on the NZX 50 Index. |
| Ryman Healthcare Limited | 0.00% | 0.00% | 0.54% | 0.56% | http://www.rymanhealthcare.co.nz/ | Ryman Healthcare Limited is a New Zealand retirement village and rest home operator. It is listed on the New Zealand Stock Exchange and is one of the largest companies listed on the NZX 50 Index. |
| Ryman Healthcare Limited - NZD (RYM) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.rymanhealthcare.co.nz/ | Ryman Healthcare Limited is a New Zealand retirement village and rest home operator. It is listed on the New Zealand Stock Exchange and is one of the largest companies listed on the NZX 50 Index. |
| S&P Global INC. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.spglobal.com/en/ | S&P Global Inc (SPGI) is a provider of financial intelligence solutions. It offers credit ratings, benchmarks, data, and digital and traditional financial research and analytical tools to the capital and commodity markets globally. |
| Salesforce.com Inc. | 0.00% | 0.00% | 0.54% | 0.56% | http://www.salesforce.com/ | Salesforce.com, Inc. is an American cloud-based software company headquartered in San Francisco, California. It provides customer relationship management service and also provides a complementary suite of enterprise applications focused on customer service, marketing automation, analytics, and application development. |
| Salt Long Short Fund | Buy Spread 0.20%, Sell Spread 0.20% | 0.47% | 2.23% | 2.25% | https://www.saltfunds.co.nz/ | The Fund seeks to provide equity-like returns over long timeframes with far less volatility than equities and no correlation to the NZ and Australian equity markets. |
| Salt Long/Short Fund (PIE) | Buy Spread 0.20%, Sell Spread 0.20% | 0.47% | 2.23% | 2.25% | https://www.saltfunds.co.nz/ | The Fund seeks to provide equity-like returns over long timeframes with far less volatility than equities and no correlation to the NZ and Australian equity markets. |
| Salt Long/Short Fund (PIE) - XR (Cash Dist) | Buy Spread 0.20%, Sell Spread 0.20% | 0.47% | 2.23% | 2.25% | https://www.saltfunds.co.nz/ | The Fund seeks to provide equity-like returns over long timeframes with far less volatility than equities and no correlation to the NZ and Australian equity markets. |
| Salt Long/Short Fund (PIE) (Cash Dist) | Buy Spread 0.20%, Sell Spread 0.20% | 0.47% | 2.23% | 2.25% | https://www.saltfunds.co.nz/ | The Fund seeks to provide equity-like returns over long timeframes with far less volatility than equities and no correlation to the NZ and Australian equity markets. |
| Salt NZ Dividend Appreciation Fund (PIE) (Cash Dist) | Buy Spread 0.20%, Sell Spread 0.20% | 0.00% | 1.64% | 1.66% | https://www.saltfunds.co.nz/ | The Fund's investment objective is to outperform the S&P/NZX 50 Gross Index on a rolling three year basis by investing predominantly in New Zealand shares that may pay high and sustainable dividends. |
| Samsung Electronics Co Ltd - USD (SMSN.L) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.samsung.com/nz/ | From our beginnings as a small trading company, Samsung has evolved to a world-class corporation with businesses that span advance technology, semiconductors, skyscraper and plant construction, petrochemical, fashion, medicine, finance, hotels and more. Our discoveries, inventions and breakthrough products have allowed us to be a leader in these fields, constantly pushing the industries forward. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|--|
| Samsung Electronics Co. Limited (GDR) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.samsung.com/nz/ | From our beginnings as a small trading company, Samsung has evolved to a world-class corporation with businesses that span advance technology, semiconductors, skyscraper and plant construction, petrochemical, fashion, medicine, finance, hotels and more. Our discoveries, inventions and breakthrough products have allowed us to be a leader in these fields, constantly pushing the industries forward. |
| Samsung Electronics GDR | 0.00% | 0.00% | 0.54% | 0.56% | https://www.samsung.com/nz/ | From our beginnings as a small trading company, Samsung has evolved to a world-class corporation with businesses that span advance technology, semiconductors, skyscraper and plant construction, petrochemical, fashion, medicine, finance, hotels and more. Our discoveries, inventions and breakthrough products have allowed us to be a leader in these fields, constantly pushing the industries forward. |
| Santos Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.santos.com/ | An Australian energy pioneer since 1954, Santos is one of the leading independent oil and gas producers in the Asia-Pacific region, supplying the energy needs of homes, businesses and major industries across Australia and Asia. |
| Santos Limited - AUD (STO) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.santos.com/ | An Australian energy pioneer since 1954, Santos is one of the leading independent oil and gas producers in the Asia-Pacific region, supplying the energy needs of homes, businesses and major industries across Australia and Asia. |
| SBS Unsecured Bond @ 4.32% Mat 18/03/2027 - NZD (SBS010) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.sbsbank.co.nz/ | SBS Bank is a registered bank in New Zealand which was founded in 1869. In October 2008 it gained bank registration and the Southland Building Society became SBS Bank. It is a 100% New Zealand owned registered bank that has retained a mutual building society structure. |
| SBS Unsecured Bond @ 6.14% Mat 07/03/2029 - NZD (SBS020) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.sbsbank.co.nz/ | SBS Bank is a registered bank in New Zealand which was founded in 1869. In October 2008 it gained bank registration and the Southland Building Society became SBS Bank. It is a 100% New Zealand owned registered bank that has retained a mutual building society structure. |
| SBSTD for 1 Year Fixed at 6.0000% p.a. 04/03/2024 on maturity | 0.00% | 0.00% | 0.54% | 0.56% | https://www.sbsbank.co.nz/ | SBS Bank is a registered bank in New Zealand which was founded in 1869. In October 2008 it gained bank registration and the Southland Building Society became SBS Bank. It is a 100% New Zealand owned registered bank that has retained a mutual building society structure. |
| Scales Corporation Limited - NZD (SCL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.scalescorporation.co.nz/ | Scales Corporation Limited is a New Zealand provider of essential supply chain services for primary exporters, importers and FMCG businesses. The Company's horticulture division involves the global exporting of apples while its storage and logistics division includes nationwide cold storage coverage and a logistics business specialising in sea and air freight of primary produce. |
| Scentre Group Stapled Securities - AUD (SCG) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.scentregroup.com/ | Scentre Group was created on 30 June 2014 through the merger of Westfield Retail Trust and Westfield Group's Australian and New Zealand management business. |
| Schroder Asia Pacific Fund - GBP (SDP) (Cash Dist) | 0.00% | 0.00% | 1.61% | 1.63% | https://www.schroders.com/ | The Schroder AsiaPacific Fund aims to achieve long-term capital growth by investing in a diversified portfolio of around 60 of the best quality but undervalued companies across Asia. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|---------------------------------------|---|---|---|---|--|
| Schroder AsiaPacific Fund Plc. | 0.00% | 0.00% | 1.61% | 1.63% | https://www.schroders.com/ | The Schroder AsiaPacific Fund aims to achieve long-term capital growth by investing in a diversified portfolio of around 60 of the best quality but undervalued companies across Asia. |
| Schroder Income Growth Fund Plc - GBP (SCF) (Cash Dist) | 0.00% | 0.00% | 1.74% | 1.76% | https://www.schroders.com/ | The Schroder Income Growth Fund aims to achieve income growth in excess of inflation and capital growth as a result of that rising income. |
| Schroder Japan Trust PLC - GBP (SJG) (Cash Dist) | 0.00% | 0.00% | 1.58% | 1.60% | https://www.schroders.com/ | The Schroder Japan Trust plc aims to achieve long-term capital growth by investing in a diversified portfolio of 50-60 of the best quality but undervalued companies in Japan. |
| Schroder Specialist Private Equity Fund (W) (Cash Dist) | 0.00% | 0.00% | 2.02% | 2.04% | https://www.schroders.com | Private equity provides access to a broader universe of companies than those listed on public exchanges, including many early stage and growth orientated companies. It offers investors the potential for enhanced overall returns and diversification to help meet longer-term investment goals. |
| Schroder Sustainable Global Core PIE Fund (Hedged) (PIE) - SR (Cash Dist) | Buy Spread 0.15% Sell Spread 0.10% | 0.00% | 0.93% | 0.95% | https://www.schroders.com/ | An actively managed, sustainable global equity fund that combines the risk and cost benefits of index-based investing with the advantage of relative performance upside potential (Wholesale Class APIR SCH0003AU). |
| Scottish Mortgage Investment Trust PLC | 0.00% | 0.00% | 0.99% | 1.01% | https://www.bailliegifford.com | Scottish Mortgage carries on business as an Investment Trust. The investment objective is to maximise total return from a portfolio of long term investments chosen on a global basis enabling the Company to provide capital and dividend growth. |
| Scottish Mortgage Investment Trust Plc - GBP (SMT) (Cash Dist) | 0.00% | 0.00% | 0.99% | 1.01% | https://www.bailliegifford.com | Scottish Mortgage carries on business as an Investment Trust. The investment objective is to maximise total return from a portfolio of long term investments chosen on a global basis enabling the Company to provide capital and dividend growth. |
| Scottish Mortgage Investment Trust Plc. | 0.00% | 0.00% | 0.99% | 1.01% | https://www.bailliegifford.com | Scottish Mortgage carries on business as an Investment Trust. The investment objective is to maximise total return from a portfolio of long term investments chosen on a global basis enabling the Company to provide capital and dividend growth. |
| Serko Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.serko.com/ | Our purpose is to transform the way businesses manage travel and expenses. We do this by helping companies drive down the cost of their travel program using smart technology, and making the process of booking and managing travel and reconciling expenses a positive experience for their people. |
| Serko Limited - NZD (SKO) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.serko.com | Serko is a technology company focused on innovative solutions that address the challenges of corporate travel and expense management. |
| Shopify Inc. - USD (SHOP) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.shopify.co.nz/ | Shopify Inc. is a Canadian multinational e-commerce company headquartered in Ottawa, Ontario. |
| Siemens AG. | 0.00% | 0.00% | 0.54% | 0.56% | https://hew.siemens.com/ | Siemens AG is a global technology powerhouse that brings together the digital and physical worlds to benefit customers and society. The company focuses on intelligent infrastructure for buildings and decentralized energy systems, on automation and digitalization in the process and manufacturing industries, and on smart mobility solutions for rail and road transport. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|---|
| Silvergate Capital Corporation - USD (SICP) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.silvergatebank.com/ | Silvergate Capital Corporation operates as a bank holding company for Silvergate Bank that provides banking products and services to business and individual clients in the United States and internationally. |
| Simplicity Hedged Global Bond Fund | 0.00% | 0.00% | 0.69% | 0.71% | https://simplicity.kiwi/ | The Hedged Global Share Fund invests in shares across developed markets, designed to generate a return similar to the performance of the Bloomberg DM ex NZ ESG Screened index (NZD hedged) and to be the hedged International shares component of a diversified investment portfolio |
| Simplicity Hedged Global Bond Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.69% | 0.71% | https://simplicity.kiwi/ | The Hedged Global Share Fund invests in shares across developed markets, designed to generate a return similar to the performance of the Bloomberg DM ex NZ ESG Screened index (NZD hedged) and to be the hedged International shares component of a diversified investment portfolio |
| Simplicity NZ Bond Fund | 0.00% | 0.00% | 0.64% | 0.66% | https://simplicity.kiwi/ | The NZ Bond Fund invests in New Zealand Government bonds and investment grade liquid bonds issued in New Zealand dollars. |
| Simplicity NZ Bond Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.64% | 0.66% | https://simplicity.kiwi/ | This document tells you how the Simplicity Hedged Global Share Fund (Hedged Global Share Fund) has performed and what |
| Simplicity NZ Share Fund | 0.00% | 0.00% | 0.64% | 0.66% | https://simplicity.kiwi/ | The NZ Share Fund invests in securities listed in the New Zealand stock exchange (NZX), designed to be the New Zealand share component of a diversified investment portfolio. |
| Simplicity NZ Share Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 0.64% | 0.66% | https://simplicity.kiwi/ | The NZ Share Fund invests in securities listed in the New Zealand stock exchange (NZX), designed to be the New Zealand share component of a diversified investment portfolio. |
| Simplicity Unhedged Global Share Fund | 0.00% | 0.00% | 0.69% | 0.71% | https://simplicity.kiwi/ | The Unhedged Global Share Fund invests in shares across developed markets, designed to generate a return similar to the performance of the Bloomberg DM ex NZ ESG Screened Index (unhedged) and to be the unhedged International shares component of a diversified investment portfolio. |
| Skellerup Holdings Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.skellerupholdings.com/ | Skellerup designs, manufactures and distributes essential high-performance components to customers around the world. Our products are trusted across dairy, potable and waste water, construction, sport and leisure, electrical, health and medical, automotive and mining sectors globally. |
| Skellerup Holdings Limited - NZD (SKL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.skellerupholdings.com/ | Skellerup designs, manufactures and distributes essential high-performance components to customers around the world. Our products are trusted across dairy, potable and waste water, construction, sport and leisure, electrical, health and medical, automotive and mining sectors globally. |
| SkyCity Entertainment Group Limited @ 3.02% Mat 21/05/2027 - (SKC050) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.skycityentertainmentgroup.com/ | The Unhedged Global Share Fund invests in shares across developed markets, designed to generate a return similar to |
| SkyCity Entertainment Group Limited Bonds - 3.02% - Mat 21/05/2027 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.skycityentertainmentgroup.com/ | the performance of the Bloomberg DM ex NZ ESG Screened Index (unhedged) and to be the unhedged International |
| Smartshares - New Zealand Property Trust | 0.00% | 0.00% | 1.08% | 1.10% | https://smartshares.co.nz/ | Invests in New Zealand property and is designed to track the return (before tax, fees and other expenses) of the S&P/NZX Real Estate Select Index. |

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|---|------------------------|---|---|---|---|--|
| Smartshares Australian Financials ETF - NZD (ASF) (Cash Dist) | 0.00% | 0.00% | 1.08% | 1.10% | https://smartshares.co.nz/ | The Smartshares Australian Financials ETF invests in financial products listed on the ASX and is designed to track the return on the S&P/ASX 200 Financials Ex-A-REIT Index. |
| Smartshares Australian Mid Cap ETF - NZD (MZY) (Cash Dist) | 0.00% | 0.00% | 1.29% | 1.31% | https://smartshares.co.nz/ | The Smartshares Australian Mid Cap ETF invests in financial products listed on the ASX and is designed to track the return on the S&P/ASX Mid Cap 50 Index. The S&P/ASX Mid Cap 50 Index is made up of financial products listed on the ASX and included in the S&P/ASX 100 Index, but excludes products included in the S&P/ASX 50 Index. |
| Smartshares Australian Top 20 ETF - NZD (OZY) (Cash Dist) | 0.00% | 0.00% | 1.14% | 1.16% | https://smartshares.co.nz/ | The Smartshares Australian Top 20 ETF invests in financial products listed on the ASX and is designed to track the return on the S&P/ASX 20 Index. The S&P/ASX 20 Index is made up of 20 of the largest financial products listed on the ASX. |
| Smartshares Emerging Markets Equities ESG ETF - NZD (EMG) (Cash Dist) | 0.00% | 0.00% | 1.13% | 1.15% | https://smartshares.co.nz/ | Invests in the iShares MSCI EM IMI ESG Screened UCITS ETF USD (Acc), which is designed to track the return on the MSCI EM IMI ESG Screened Index. |
| Smartshares Emerging Markets ETF - NZD (EMF) (Cash Dist) | 0.00% | 0.00% | 1.13% | 1.15% | https://smartshares.co.nz/ | The Smartshares Emerging Markets ETF invests in the Vanguard FTSE Emerging Markets ETF, which is designed to track the return on the FTSE Emerging Markets All Cap China A Inclusion Index. The Vanguard FTSE Emerging Markets ETF invests all, or substantially all, of its assets in the financial products included in the FTSE Emerging Markets All Cap China A Inclusion Index. |
| Smartshares Global Aggregate Bond ETF - NZD (AGG) (Cash Dist) | 0.00% | 0.00% | 0.84% | 0.86% | https://smartshares.co.nz/ | Invests in international bonds and is designed to track the return (before tax, fees and other expenses) of the Bloomberg Global Aggregate Bond Index, hedged to the New Zealand dollar. |
| Smartshares Global Bond ETF - NZD (GBF) (Cash Dist) | 0.00% | 0.00% | 1.08% | 1.10% | https://smartshares.co.nz/ | The Smartshares Global Bond ETF invests in international fixed interest assets, with the objective of outperforming the Barclays Global Aggregate Index by 1% per annum over rolling 3-year periods, hedged against the New Zealand dollar. The investment manager for the fund is PIMCO Australia Pty Ltd. |
| Smartshares Japan Equities ESG ETF - NZD (JPN) (Cash Dist) | 0.00% | 0.00% | 1.09% | 1.11% | https://smartshares.co.nz/types-of-funds/esg-shares/japan-equities | Invests in the iShares MSCI Japan ESG Screened UCITS ETF USD (Acc), which is designed to track the return on the MSCI Japan ESG Screened Index. |
| SmartShares NZ Bond ETF | 0.00% | 0.00% | 1.08% | 1.10% | https://smartshares.co.nz/ | The Smartshares NZ Bond ETF invests in New Zealand fixed interest assets, with the objective of outperforming the S&P/NZX A-Grade Corporate Bond Index over rolling 3-year periods. The investment manager for the fund is Nikko Asset Management New Zealand Limited. |
| Smartshares NZ Bond ETF - NZD (NZB) (Cash Dist) | 0.00% | 0.00% | 1.08% | 1.10% | https://smartshares.co.nz/ | The Smartshares NZ Bond ETF invests in New Zealand fixed interest assets, with the objective of outperforming the S&P/NZX A-Grade Corporate Bond Index over rolling 3-year periods. The investment manager for the fund is Nikko Asset Management New Zealand Limited. |
| Smartshares NZ Cash ETF - NZD (NZC) (Cash Dist) | 0.00% | 0.00% | 0.74% | 0.76% | https://smartshares.co.nz/types-of-funds/bonds-and-cash/nzcashtrust | The Smartshares NZ Cash ETF invests in cash and cash equivalents, with the objective of outperforming the S&P/NZX Bank Bill 90-Day Index over rolling 1-year periods. |

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|---|--|---|---|---|---|--|
| Smartshares NZ Core Equity | Buy Spread 0.10%, Sell Spread 0.10% | 0.00% | 1.04% | 1.06% | https://smartshares.co.nz/ | The NZ Core Equity Trust aims to provide investors with the benefits of long-term capital growth by investing in a diversified portfolio of financial products listed in New Zealand, with increased exposure to small companies and value companies relative to a market weighted portfolio. |
| Smartshares NZ Dividend ETF - NZD (DIV) (Cash Dist) | 0.00% | 0.00% | 1.08% | 1.10% | https://smartshares.co.nz/ | The Smartshares NZ Dividend ETF invests in financial products listed on the NZX Main Board and is designed to track the return on the S&P/NZX 50 High Dividend Index. The S&P/NZX 50 High Dividend Index is made up of 25 high yielding financial products listed on the NZX Main Board and included in the S&P/NZX 50 Index. |
| Smartshares NZ Mid Cap ETF - NZD (MDZ) (Cash Dist) | 0.00% | 0.00% | 1.14% | 1.16% | https://smartshares.co.nz/ | The Smartshares NZ Mid Cap ETF invests in financial products listed on the NZX Main Board and is designed to track the return on the S&P/NZX Mid Cap Index. The S&P/NZX Mid Cap Index is made up of financial products listed on the NZX Main Board and included in the S&P/NZX 50 Index, but excludes products included in the S&P/NZX 10 Index and products issued by non-New Zealand issuers. |
| Smartshares NZ Property ETF | 0.00% | 0.00% | 1.08% | 1.10% | https://smartshares.co.nz/ | The Smartshares NZ Property ETF invests in property assets listed on the NZX Main Board and is designed to track the return on the S&P/NZX Real Estate Select Index. The S&P/NZX Real Estate Select Index is made up of the largest and most liquid members of the S&P/NZX All Index classified under the GICS Real Estate Industry Group, but excludes products issued by non-New Zealand issuers. |
| Smartshares NZ Property ETF - NZD (NPF) (Cash Dist) | 0.00% | 0.00% | 1.08% | 1.10% | https://smartshares.co.nz/ | The Smartshares NZ Property ETF invests in property assets listed on the NZX Main Board and is designed to track the return on the S&P/NZX Real Estate Select Index. The S&P/NZX Real Estate Select Index is made up of the largest and most liquid members of the S&P/NZX All Index classified under the GICS Real Estate Industry Group, but excludes products issued by non-New Zealand issuers. |
| Smartshares NZ Top 50 ETF | 0.00% | 0.00% | 1.04% | 1.06% | https://smartshares.co.nz/ | The Smartshares NZ Top 50 ETF invests in financial products listed on the NZX Main Board and is designed to track the return on the S&P/NZX 50 Portfolio Index. The S&P/NZX 50 Portfolio Index is made up of 50 of the largest financial products listed on the NZX Main Board. The S&P/NZX 50 Portfolio Index is made up of the same financial products as the S&P/NZX 50 Index, but with a 5% cap on the weight of each product. |
| Smartshares NZ Top 50 ETF - NZD (FNZ) (Cash Dist) | 0.00% | 0.00% | 1.04% | 1.06% | https://smartshares.co.nz/ | The Smartshares NZ Top 50 ETF invests in financial products listed on the NZX Main Board and is designed to track the return on the S&P/NZX 50 Portfolio Index. The S&P/NZX 50 Portfolio Index is made up of 50 of the largest financial products listed on the NZX Main Board. The S&P/NZX 50 Portfolio Index is made up of the same financial products as the S&P/NZX 50 Index, but with a 5% cap on the weight of each product. |
| Smartshares S&P/ASX 200 ETF - NZD (AUS) (Cash Dist) | 0.00% | 0.00% | 0.84% | 0.86% | https://smartshares.co.nz | The Smartshares S&P/ASX 200 ETF is designed to track the return on the S&P/ASX 200 Index (in NZD). The S&P/ASX 200 Index is made up of 200 of the largest companies listed on the ASX. The weighting of each company in the index is based on its market capitalisation. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|---|
| Smartshares S&P/NZX 50 ETF - NZD (NZG) (Cash Dist) | 0.00% | 0.00% | 0.74% | 0.76% | https://smartshares.co.nz/types-of-funds/new-zealand-shares/nzg | The Smartshares S&P/NZX 50 ETF is designed to track the return on the S&P/NZX 50 Index. The S&P/NZX 50 Index is made up of 50 of the largest companies listed on the NZX Main Board. The weighting of each company in the index is based on its market capitalisation. |
| Smartshares S&P/NZX NZ Government Bond | 0.00% | 0.00% | 0.74% | 0.76% | https://smartshares.co.nz/types-of-funds/bonds-and-cash/hngb | The Smartshares S&P/NZX NZ Government Bond ETF is designed to track the return on the S&P/NZX NZ Government Bond Index. The S&P/NZX NZ Government Bond Index is made up of sovereign bonds issued by the New Zealand Government. The weighting of each bond in the index is based on its market value. |
| Smartshares US 500 ETF - NZD (USF) (Cash Dist) | 0.00% | 0.00% | 0.92% | 0.94% | https://smartshares.co.nz/ | The Smartshares US 500 ETF invests in the Vanguard S&P 500 ETF, which is designed to track the return on the S&P 500 Index. The Vanguard S&P 500 ETF invests all, or substantially all, of its assets in the financial products included in the S&P 500 Index. |
| Smartshares US Equities ESG ETF - NZD (USA) (Cash Dist) | 0.00% | 0.00% | 0.88% | 0.90% | https://smartshares.co.nz/types-of-funds/esg-shares/us-enquities | Invests in the iShares MSCI USA ESG Screened UCITS ETF USD (Acc), which is designed to track the return on the MSCI USA ESG Screened Index. |
| Smithson Investment Trust Plc - GBP (SSON) (Cash Dist) | 0.00% | 0.00% | 1.47% | 1.49% | https://www.hl.co.uk | The investment policy is to invest in shares issued by small and mid sized listed or traded companies globally with a market cap (at the time of investment) of between GBP500 m to GBP15 bn (although the Company expects that the average market cap of the companies in which it invests to be approximately GBP7 bn). |
| Snowflake Inc - USD (SNOW) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://investors.snowflake.com/overview/ | Snowflake's founders started from scratch and built a solution that would harness the immense power available in the public cloud. They created the Data Cloud — a global network where thousands of organizations mobilize data with near-unlimited scale, concurrency, and performance. |
| Snowflake INC. | 0.00% | 0.00% | 0.54% | 0.56% | https://investors.snowflake.com/overview/ | Snowflake's founders started from scratch and built a solution that would harness the immense power available in the public cloud. They created the Data Cloud — a global network where thousands of organizations mobilize data with near-unlimited scale, concurrency, and performance. |
| Sonic Healthcare Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.sonichealthcare.com.au/ | Sonic Healthcare Limited is a medical diagnostics company with operations in Australia, New Zealand, and Europe. The Company provides a comprehensive range of pathology and diagnostic imaging services to medical practitioners, hospitals and their patients along with providing administrative services and facilities to medical practitioners. |
| Southland Building Society 7.62% 22/08/2029(R) | 0.00% | fees) relative to its Benchmark return, | 0.54% | 0.56% | https://www.sbsbank.co.nz/ | SBS Bank is a registered bank in New Zealand which was founded in 1869. In October 2008 it gained bank registration and the Southland Building Society became SBS Bank. It is a 100% New Zealand owned registered bank that has retained a mutual building society structure. |
| Spark Finance Limited @ 3.37% Mat 07/03/2024 - SPF580 (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.sparknz.co.nz/ | Spark New Zealand (formerly Telecom New Zealand) is a New Zealand-wide communications service provider (CSP), providing fixed line telephone services, a mobile network, an internet service provider, and a major ICT provider to NZ businesses (through its Spark Digital division). |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|--|
| Spark Finance Ltd Bonds @ 3.94% Mat 07/09/2026 - NZD (SPF570) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.sparknz.co.nz/ | Spark New Zealand (formerly Telecom New Zealand) is a New Zealand-wide communications service provider (CSP), providing fixed line telephone services, a mobile network, an internet service provider, and a major ICT provider to NZ businesses (through its Spark Digital division). |
| Spark New Zealand Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.sparknz.co.nz/ | Spark New Zealand (formerly Telecom New Zealand) is a New Zealand-wide communications service provider (CSP), providing fixed line telephone services, a mobile network, an internet service provider, and a major ICT provider to NZ businesses (through its Spark Digital division). |
| Spark New Zealand Limited - NZD (SPK) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.sparknz.co.nz/ | Spark New Zealand (formerly Telecom New Zealand) is a New Zealand-wide communications service provider (CSP), providing fixed line telephone services, a mobile network, an internet service provider, and a major ICT provider to NZ businesses (through its Spark Digital division). |
| SPDR Bloomberg Barclays 0-5 Year Sterling Corp Bond UCITS ETF | 0.00% | 0.00% | 0.74% | 0.76% | https://www.ssga.com/ | The investment objective of the Fund is to track the performance of the short-dated, fixed-rate, investment-grade sterling-denominated corporate bond market |
| SPDR Dow Jones Global Real Estate Fund - AUD (DJRE) (Cash Dist) | 0.00% | 0.00% | 1.04% | 1.06% | https://www.ssga.com/ | The SPDR Dow Jones Global Real Estate Fund seeks to closely track, before fees and expenses, the returns of the Dow Jones Global Select Real Estate Securities Index. |
| SPDR Dow Jones Global Real Estate Fund - AUD (DJRE) (Re-Invest) | 0.00% | 0.00% | 1.04% | 1.06% | https://www.ssga.com/ | The SPDR Dow Jones Global Real Estate Fund seeks to closely track, before fees and expenses, the returns of the Dow Jones Global Select Real Estate Securities Index. |
| SPDR Gold Shares - USD (GLD) (Cash Dist) | 0.00% | 0.00% | 0.94% | 0.96% | https://www.ssga.com/ | The investment objective of the Trust is for the Shares to reflect the performance of the price of gold bullion, less the Trust's expenses. The Sponsor believes that, for many investors, the Shares represent a cost-effective investment in gold. |
| SPDR MSCI World Small Cap UCITS ETF | 0.00% | 0.00% | 0.99% | 1.01% | https://www.ssga.com/ | The investment objective of the Fund is to track the performance of small cap equities in developed markets globally. |
| SPDR S&P 500 ETF Trust | 0.00% | 0.00% | 0.63% | 0.65% | https://www.ssga.com/ | The SPDR® S&P 500® ETF Trust seeks to provide investment results that, before expenses, correspond generally to the price and yield performance of the S&P 500® Index. |
| SPDR S&P 500 ETF Trust | 0.00% | 0.00% | 0.63% | 0.65% | https://www.ssga.com/ | The SPDR® S&P 500® ETF Trust seeks to provide investment results that, before expenses, correspond generally to the price and yield performance of the S&P 500® Index. |
| SPDR S&P 500 ETF Trust - AUD (SPY) (Cash Dist) | 0.00% | 0.00% | 0.63% | 0.65% | https://www.SPDRS.com.au | The SPDR® S&P 500® ETF Trust seeks to provide investment results that, before expenses, correspond generally to the price and yield performance of the S&P 500® Index. |
| SPDR S&P 500 ETF Trust - USD (SPY) (Cash Dist) | 0.00% | 0.00% | 0.63% | 0.65% | https://www.ssga.com/ | The SPDR® S&P 500® ETF Trust seeks to provide investment results that, before expenses, correspond generally to the price and yield performance of the S&P 500® Index. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|---|
| SPDR S&P Biotech ETF | 0.00% | 0.00% | 0.89% | 0.91% | https://www.ssga.com/us/en/intermediary/etfs/funds/spdr-sp-biotech-etf-xbi | The SPDR® S&P® Biotech ETF seeks to provide investment results that, before fees and expenses, correspond generally to the total return performance of the S&P® Biotechnology Select Industry™ Index (the "Index") |
| SPDR S&P Dividend ETF - USD (SDY) (Cash Dist) | 0.00% | 0.00% | 0.90% | 0.92% | https://www.ssga.com/ | The SPDR® S&P® Dividend ETF seeks to provide investment results that, before fees and expenses, correspond generally to the total return performance of the S&P® High Yield Dividend Aristocrats™ Index (the "Index"). |
| SPDR S&P Homebuilders - USD (XHB) (Cash Dist) | 0.00% | 0.00% | 0.90% | 0.92% | https://www.ssga.com/ | The SPDR® S&P® Homebuilders ETF seeks to provide investment results that, before fees and expenses, correspond generally to the total return performance of the S&P® Homebuilders Select Industry™ Index (the "Index") |
| SPDR S&P Homebuilders ETF | 0.00% | 0.00% | 0.90% | 0.92% | https://www.ssga.com/ | The SPDR® S&P® Homebuilders ETF seeks to provide investment results that, before fees and expenses, correspond generally to the total return performance of the S&P® Homebuilders Select Industry™ Index (the "Index") |
| SPDR S&P Oil & Gas Exploration & Production ETF - USD (XOP) (Cash Dist) | 0.00% | 0.00% | 0.90% | 0.92% | https://www.ssga.com/ | The investment seeks to provide investment results that, before fees and expenses, correspond generally to the total return performance of an index derived from the oil and gas exploration and production segment of a U.S. total market composite index. |
| SPDR S&P Regional Banking ETF - USD (KRE) (Cash Dist) | 0.00% | 0.00% | 0.90% | 0.92% | https://www.ssga.com/ | The investment seeks to provide investment results that, before fees and expenses, correspond generally to the total return performance of an index derived from the regional banking segment of the U.S. banking industry. |
| SPDR S&P/ASX 200 Fund - AUD (STW) (Cash Dist) | 0.00% | 0.00% | 0.67% | 0.69% | https://www.ssga.com/ | SPDR S&P/ASX 200 Fund is an exchange traded fund which tracks the performance of the S&P/ASX 200 Index. The Fund will invest in the securities comprising the Index in proportion to their relative weightings. The Index is comprised of the 200 largest securities by market capitalization and most liquid securities in Australia. |
| SPDR S&P/ASX 200 Listed Property Fund - AUD (SLF) (Cash Dist) | 0.00% | 0.00% | 0.94% | 0.96% | https://www.ssga.com/ | SPDR S&P/ASX 200 Listed Property Fund is an exchange traded fund which tracks the performance of the S&P/ASX Listed Property Trust Index. The Fund will invest in the securities comprising the Index in proportion to their relative weightings. The Index is comprised of the 200 largest listed property investments by market capitalization and most liquid securities in Australia. |
| Sprott Physical Gold Trust ETF | 0.00% | 0.00% | 0.95% | 0.97% | https://sprott.com/ | Sprott is a global asset manager providing investors with access to highly-differentiated precious metals and real assets investment strategies. |
| Sprott Physical Gold Trust ETV - USD (PHYS) (Cash Dist) | 0.00% | 0.00% | 0.95% | 0.97% | https://sprott.com/ | Sprott is a global asset manager providing investors with access to highly-differentiated precious metals and real assets investment strategies. |
| Sprott Physical Silver Trust | 0.00% | 0.00% | 1.13% | 1.15% | https://sprott.com | Sprott is a global asset manager providing investors with access to highly-differentiated precious metals and real assets investment strategies. |
| Sprott Physical Silver Trust - USD (PSLV) (Cash Dist) | 0.00% | 0.00% | 1.13% | 1.15% | https://sprott.com | Sprott is a global asset manager providing investors with access to highly-differentiated precious metals and real assets investment strategies. |
| State Gas Ltd - AUD (GAS) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.state-gas.com/ | State Gas Limited operates as a gas exploration company. The Company explores and produces liquefied natural gas. State Gas serves clients in Australia. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|---|---|---|---|---|--|
| Stride Prop & Stride Invest Mgmt Ltd Stapled Security -NZD (SPG) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.strideproperty.co.nz/ | Stride believes the core determinant of property investment performance over the long term is the demand for the business space that we provide to our customers (our tenants). Ultimately that space is a workplace, a place of business; it is used by our customers and their staff, visitors and customers. To be the best, our places need to provide accessibility, amenity, functionality and a value proposition that is compelling. |
| Stride Property Group | 0.00% | 0.00% | 0.54% | 0.56% | http://www.strideproperty.co.nz/ | Stride believes the core determinant of property investment performance over the long term is the demand for the business space that we provide to our customers (our tenants). Ultimately that space is a workplace, a place of business; it is used by our customers and their staff, visitors and customers. To be the best, our places need to provide accessibility, amenity, functionality and a value proposition that is compelling. |
| Summerset Group Holdings Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.summerset.co.nz/ | Summerset is the third largest retirement village operator and the second largest developer of villages in New Zealand. We have 21 villages as well as five sites for development across the country, built in under 20 years. |
| Summerset Group Holdings Limited - NZD (SUM) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.summerset.co.nz/ | Summerset is the third largest retirement village operator and the second largest developer of villages in New Zealand. We have 21 villages as well as five sites for development across the country, built in under 20 years. |
| Summerset Group Holdings Limited Bonds - 4.20% - Mat 24/09/2025 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.summerset.co.nz/ | Summerset is the third largest retirement village operator and the second largest developer of villages in New Zealand. We have 21 villages as well as five sites for development across the country, built in under 20 years. |
| Summerset Group Holdings Ltd @ 2.30% Mat 21/09/2027 - NZD (SUM030) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.summerset.co.nz/ | Summerset is the third largest retirement village operator and the second largest developer of villages in New Zealand. We have 21 villages as well as five sites for development across the country, built in under 20 years. |
| Summerset Group Holdings Ltd @ 6.59% Mat 09/03/2029 - NZD (SUM040) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.summerset.co.nz/ | Summerset is the third largest retirement village operator and the second largest developer of villages in New Zealand. We have 21 villages as well as five sites for development across the country, built in under 20 years. |
| SuperLife Invest Global Aggregate Bond Fund | 0.00% | 0.00% | 1.03% | 1.05% | https://www.superlife.co.nz/ | The Global Aggregate Bond Fund invests in international bonds and is designed to track the return (before tax, fees and other expenses) of the Bloomberg Global Aggregate Bond Index, 100% hedged to the New Zealand dollar. |
| SuperLife NZ Bonds Fund | \$12 a year (regardless of the number of investment options you invest in, or the number of times you change investment options). | 0.00% | 0.98% | 1.00% | https://www.superlife.co.nz/ | The NZ Bonds Fund is designed to provide a return (after tax, fees and other expenses) that reflects the after-tax return on the New Zealand bond market. |
| Synlait Milk Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.synlait.com/ | Synlait Milk Limited is a dairy processing company. The Company is engaged in manufacturing of nutritional solutions, value added products and specialty ingredients. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|--|
| Synlait Milk Limited - NZD (SML) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.synlait.com/ | Synlait Milk Limited is a dairy processing company. The Company is engaged in manufacturing of nutritional solutions, value added products and specialty ingredients. |
| Synlait Milk Ltd Fixed Rate Bond @3.83% Mat 17/12/2024 - (SML010) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.synlait.com/ | Synlait Milk Limited is a dairy processing company. The Company is engaged in manufacturing of nutritional solutions, value added products and specialty ingredients. |
| TAHITO Te Tai o Rehua Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.80% | 1.82% | https://tahito.co.nz/ | The Fund is an indigenous ethical and sustainable fund. The Fund uses positive ESG integrated screens in selecting investments. Māori indigenous values and principles serve as the foundation to the Fund's philosophy and investment selection process. |
| Taiwan Semiconductor Manufacturing Co Limited (ADR) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.tsmc.com.tw/ | Taiwan Semiconductor Manufacturing Company Ltd. manufactures integrated circuits based on its proprietary designs. The Company offers a comprehensive set of integrated circuit fabrication processes to manufacture CMOS logic, mixed-mode, volatile and non-volatile memory and BiCMOS chips. Taiwan Semiconductor is an affiliate of Philips Electronics N.V. |
| TASK Group Holdings | 0.00% | 0.00% | 0.54% | 0.56% | http://www.plexure.com/ | Task Group Holdings Ltd, formerly Plexure Group Limited, is a New Zealand-based company, which is engaged in the development and deployment of cloud-based, transactional management and mobile customer engagement solutions that offer hospitality clients a single solution for all customer interactions. |
| Te Ahumairangi Global Equity Fund (PIE) (Cash Dist) | 0.00% | 0.00% | 1.16% | 1.18% | https://teahumairangi.co.nz/our-fund/ | Te Ahumairangi Global Equity Fund is an actively managed fund dedicated to investing in global equity markets. We manage the fund with a particular focus on building a portfolio that should perform relatively well compared to other equity funds if equity markets are weak. |
| Telstra Group Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.telstra.com.au/ | Telstra is Australia's leading telecommunications and technology company, offering a full range of communications services and competing in all telecommunications markets. |
| Templeton Emerging Markets Investment Trust - GBP (TEM) (Cash Dist) | 0.00% | 0.00% | 1.50% | 1.52% | https://www.temit.co.uk/ | TEMIT seeks long-term capital appreciation through investment in companies listed in emerging markets or companies which earn a significant amount of their revenues in emerging markets, but are listed on stock exchanges in developed countries. TEMIT will normally invest in equity instrument |
| Templeton Emerging Markets Investment Trust PLC | 0.00% | 0.00% | 1.50% | 1.52% | https://www.temit.co.uk/ | TEMIT seeks long-term capital appreciation through investment in companies listed in emerging markets or companies which earn a significant amount of their revenues in emerging markets, but are listed on stock exchanges in developed countries. TEMIT will normally invest in equity instrument |
| Tencent Holdings Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.tencent.com/ | Tencent Holdings Limited operates as a holding company. The Company, through its subsidiaries, provides social networking, music, web portals, e-commerce, mobile games, Internet services, payment systems, entertainment, artificial intelligence, and technology solutions. Tencent Holdings serves customers worldwide. |
| Tesco Plc - GBP (TSCO) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.tescopl.com/ | As one of the world's largest retailers with 476,000 colleagues, we serve millions of customers a week in our stores and online. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| Tetragon Financial Group Limited | 0.00% | 25% over the hurdle rate | 3.25% | 3.27% | https://www.tetragoninv.com | Tetragon Financial Group Limited is a closed-ended investment company which is traded on the Euronext exchange in Amsterdam and on the Specialist Fund Segment of the main market of the London Stock Exchange. The firm's strategy is to provide stable returns to its investors through a wide range of credit, equity, interest rate and real estate cycles. |
| The a2 Milk Company Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://thea2milkcompany.com/ | The a2 Milk Company was founded in New Zealand by Dr. Corran McLachlan after a scientific discovery; while studying at Cambridge University, Corran learned that proteins in milk affect people differently. |
| The City of London Investment Trust PLC - GBP (CTV) (Cash Dist) | 0.00% | 0.00% | 1.28% | 1.30% | https://www.janushenderson.com/ | The Company's objective is to provide long-term growth in income and capital, principally by investment in equities listed on the London Stock Exchange. The Board continues to recognise the importance of dividend income to shareholders. |
| The City of London Investment Trust PLC - NZD (TCL) (Cash Dist) | 0.00% | 0.00% | 1.28% | 1.30% | https://www.janushenderson.com/ | The Company's objective is to provide long-term growth in income and capital, principally by investment in equities listed on the London Stock Exchange. The Board continues to recognise the importance of dividend income to shareholders. |
| The Coca-Cola Company | 0.00% | 0.00% | 0.54% | 0.56% | http://www.coca-colacompany.com/ | The Coca-Cola Company is an American multinational beverage corporation incorporated under Delaware's General Corporation Law and headquartered in Atlanta, Georgia. The Coca-Cola Company has interests in the manufacturing, retailing, and marketing of nonalcoholic beverage concentrates and syrups. |
| The Global Smaller Companies Trust Plc - GBP (GSCT) (Cash Dist) | 0.00% | 0.00% | 1.48% | 1.50% | https://www.hl.co.uk/ | The fund invests in smaller companies worldwide in order to secure a high total return. The fund pursues this investment objective by investing in a large number of stocks in various industry sectors and geographic locations. |
| The Warehouse Group Limited - NZD (WHS) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.thewarehousegroup.co.nz/ | The Warehouse operates discount retail department stores selling a broad range of non-grocery and grocery products. As of January 2015, The Warehouse employed over 12,000 people in New Zealand.[4] The Warehouse's corporate headquarters are located in North Shore, New Zealand. |
| Thermo Fisher Scientific INC. | 0.00% | 0.00% | 0.54% | 0.56% | https://www.thermofisher.com/us/en/home.html | Thermo Fisher Scientific is an American provisioner of scientific instrumentation, reagents and consumables, and software and services to healthcare, life science, and other laboratories in academia, government, and industry. |
| Tourism Holdings Limited | 0.00% | 0.00% | 0.54% | 0.56% | http://www.thlonline.com/ | Tourism Holdings Limited (thl) is New Zealand's premier tourism company and are the largest provider of holiday vehicles for rent and sale in Australia and New Zealand under the Maui, Britz, Mighty, KEA Australia and Motek Vehicle Sales brands. |
| Tourism Holdings Limited - NZD (THL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.thlonline.com/ | Tourism Holdings Limited (thl) is New Zealand's premier tourism company and are the largest provider of holiday vehicles for rent and sale in Australia and New Zealand under the Maui, Britz, Mighty, KEA Australia and Motek Vehicle Sales brands. |
| Tower Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.tower.co.nz/ | Born and bred in New Zealand, Tower has been supporting Kiwis when they need it most for 150 years. In that time, we've grown to operate across New Zealand and the Pacific Islands, providing our customers with cover for their houses, cars, contents, businesses and more. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|--|---|---|---|--|
| Toyota Finance NZ Ltd @ 5.75% Mat 06/12/2027 - NZD (TFS821) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.toyota.co.nz/toyota-finance-leasing/ | Toyota Finance New Zealand Limited operates as a car dealer. The Company offers new and used cars, trucks, SUVs, parts, and accessories, as well as financing, maintenance, and repairing services. Toyota Finance New Zealand serves clients in New Zealand. |
| Toyota Motor Corporation - USD (TM) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.toyota-global.com/ | Ever since its founding, Toyota has sought to contribute to a more prosperous society through the manufacture of automobiles, operating its business with a focus on vehicle production and sales. |
| TR Property Inv Tr | 0.00% | 0.15%. A performance fee is payable if the total return NAV at 31 March each year outperforms the benchmark plus a 1% hurdle rate. | 1.31% | 1.33% | https://www.trproperty.com/ | The objective of TR Property Investment Trust plc ("the Trust", "the Company" or "the Group") is to maximise shareholders' total returns by investing in property shares and investment property. |
| TR Property Investment Trust - GBP (TRY) (Cash Dist) | 0.00% | 0.15%. A performance fee is payable if the total return NAV at 31 March each year outperforms the benchmark plus a 1% hurdle rate. | 1.31% | 1.33% | https://www.trproperty.com/ | The objective of TR Property Investment Trust plc ("the Trust", "the Company" or "the Group") is to maximise shareholders' total returns by investing in property shares and investment property. |
| Trade Window Holding Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://tradewindow.io | Trade Window Holdings Limited provides digital trade solutions for exporters, importers, freight forwarders, and customs brokers. |
| Transpower NZ Fixed Rate Bonds @2.047% Mat 08/09/2026 - NZD (TRP090) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.transpower.co.nz | Transpower New Zealand Limited is the state-owned enterprise responsible for electric power transmission in New Zealand. |
| Transurban Group | 0.00% | 0.00% | 0.54% | 0.56% | https://www.transurban.com/ | As one of the world's largest toll-road operators, everything we do works to get people where they want to go, as quickly and safely as possible – from designing and building new roads to researching new vehicle and road safety technology. |
| Transurban Group Limited - AUD (TCL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.transurban.com/ | As one of the world's largest toll-road operators, everything we do works to get people where they want to go, as quickly and safely as possible – from designing and building new roads to researching new vehicle and road safety technology. |
| Travelers Companies INC. | 0.00% | 0.00% | 0.54% | 0.56% | http://www.travelers.com/ | The Travelers Companies, Inc., commonly known as Travelers, is an American insurance company. It is the second-largest writer of U.S. commercial property casualty insurance, and the sixth-largest writer of U.S. personal insurance through independent agents. |
| Troy Income & Growth Trust - GBP (TIGT) (Cash Dist) | 0.00% | 0.00% | 1.51% | 1.53% | https://www.tigt.co.uk/ | Troy Income & Growth Trust is an investment trust managed by Troy Asset Management. The principal objective of the Company is to provide shareholders with an attractive income yield and the prospect of income and capital growth through investing in a portfolio of predominantly UK equities. |
| Turners Automotive Group Ltd - NZD (TRA) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.turnersautogroup.co.nz/ | We are focused on delivering integrated services to New Zealanders by providing strength in three key areas: Automotive Retail . Finance and Insurance . Debt Management Services |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|--|
| Uber Technologies Inc | 0.00% | 0.00% | 0.54% | 0.56% | https://investor.uber.com/financials/default.aspx | We are Uber. The go-getters. The kind of people who are relentless about our mission to help people go anywhere and get anything and earn their way. Movement is what we power. It's our lifeblood. It runs through our veins. It's what gets us out of bed each morning. |
| UK Commercial Property REIT Ltd | 0.00% | 0.00% | 1.07% | 1.09% | https://www.ukcpreit.com/ | UK Commercial Property Trust is a large British investment trust dedicated to investments in UK commercial properties. Established in 2006, the company is a constituent of the FTSE 250 Index. |
| Unibail-Rodamco-Westfield SE | 0.00% | 0.00% | 0.54% | 0.56% | https://www.urw.com/ | Unibail-Rodamco-Westfield trades together as a stapled security. Unibail-Rodamco-Westfield leases and rents building space, finances real estate investments, and renovates real estate for sale. The Company's properties are mainly shopping centers, office buildings, and convention-exhibition centers. |
| uniQure N.V. - USD (QURE) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.uniqure.com | uniQure N.V. delivers gene therapy with single treatments for curative results. The Company develops a modular platform to bring new disease modifying therapies to patients with severe genetic diseases, as well as offers ongoing clinical programs in hemophilia B and pre-clinical proof-of-concept in Huntington's disease. |
| Unitedhealth Group Inc - USD (UNH) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.unitedhealthgroup.com/ | UnitedHealth Group Incorporated is an American multinational managed healthcare and insurance company based in Minnetonka, Minnesota. It offers health care products and insurance services. |
| US Treasury 2 Year Note ETF - USD (UTWO) (Cash Dist) | 0.00% | 0.00% | 0.69% | 0.71% | https://www.ustreasuryetf.com/etf/utwo/ | The investment seeks investment results that correspond (before fees and expenses) generally to the price and yield performance of the ICE BofA Current 2-Year US Treasury Index. Under normal market conditions, the Adviser seeks to achieve the investment objective by investing at least 80% of net assets (plus any borrowings for investment purposes) in the component securities of the index. The index is a one-security index comprised of the most recently issued 2-year US Treasury note. |
| Valaris Limited - USD (VAL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.valaris.com/home/default.aspx | With 100 years of experience and a commitment to efficiency, capability and ingenuity, our sights are set on a bold future – one built with purpose and backed with the world's most technologically advanced offshore drilling operations. |
| VanEck S&P/ASX Midcap ETF - AUD (MVE) (Cash Dist) | 0.00% | 0.00% | 0.99% | 1.01% | https://www.vaneck.com.au/etf/equity/mve/snapshot/ | MVE gives investors exposure to a diversified portfolio of ASX-listed securities. MVE aims to provide investment returns before fees and other costs which track the returns of the Index. |
| VanEck Semiconductor ETF - USD (SMH) (Cash Dist) | 0.00% | 0.00% | 0.89% | 0.91% | https://www.vaneck.com/ | VanEck Semiconductor ETF (SMH®) seeks to replicate as closely as possible, before fees and expenses, the price and yield performance of the MVIS® US Listed Semiconductor 25 Index (MVSMHTR), which is intended to track the overall performance of companies involved in semiconductor production and equipment. |
| VanEck Vectors Australian Equal Weight ETF | 0.00% | 0.00% | 0.89% | 0.91% | https://www.vaneck.com/ | The VanEck Australian Equal Weight ETF invests in a diversified portfolio of ASX-listed securities with the aim of providing investment returns (before management costs) that closely track the returns of the MVIS Australia Equal Weight Index. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|--|---|---|---|---|--|
| VanEck Vectors Australian Equal Weight ETF - AUD (MVW) (Cash Dist) | 0.00% | 0.00% | 0.89% | 0.91% | https://www.vaneck.com/ | The VanEck Australian Equal Weight ETF invests in a diversified portfolio of ASX-listed securities with the aim of providing investment returns (before management costs) that closely track the returns of the MVIS Australia Equal Weight Index. |
| Vaneck Vectors MSCI International Sust Eq ETF - AUD (ESGI) (Cash Dist) | 0.00% | 0.00% | 1.09% | 1.11% | https://www.vaneck.com.au/ | ESGI gives investors exposure to a diversified portfolio of sustainable international companies listed on exchanges in developed markets around the world (ex Australia). ESGI aims to provide investment returns before fees and other costs which track the performance of the Index. |
| Vanguard Australian Fixed Interest Index ETF - AUD (VAF) (Cash Dist) | 0.00% | 0.00% | 0.64% | 0.66% | https://www.vanguardinvestments.com.au/ | Vanguard Australian Fixed Interest ETF seeks to track the return of the Bloomberg AusBond Composite 0+ Yr Index before taking into account fees, expenses and tax. |
| Vanguard Australian Property Securities Index ETF | 0.00% | 0.00% | 0.77% | 0.79% | https://www.vanguardinvestments.com.au/ | Vanguard Australian Property Securities Index ETF seeks to track the return of the S&P/ASX 300 A-REIT Index before taking into account fees, expenses and tax. |
| Vanguard Australian Property Securities Index ETF - AUD (VAP) (Cash Dist) | 0.00% | 0.00% | 0.77% | 0.79% | https://www.vanguardinvestments.com.au/ | Vanguard Australian Property Securities Index ETF seeks to track the return of the S&P/ASX 300 A-REIT Index before taking into account fees, expenses and tax. |
| Vanguard Australian Shares High Yield ETF | 0.00% | 0.00% | 0.79% | 0.81% | https://www.vanguardinvestments.com.au/ | Vanguard Australian Shares High Yield ETF seeks to track the return of the FTSE Australia High Dividend Yield Index before taking into account fees, expenses and tax. |
| Vanguard Australian Shares Index ETF - AUD (VAS) (Cash Dist) | 0.00% | 0.00% | 0.61% | 0.63% | https://www.vanguardinvestments.com.au/ | Vanguard Australian Shares Index ETF seeks to track the return of the S&P/ASX 300 Index before taking into account fees, expenses and tax. |
| Vanguard Australian Shares Index Fund ETF | 0.00% | 0.00% | 0.61% | 0.63% | https://www.vanguardinvestments.com.au/ | Vanguard Australian Shares Index ETF seeks to track the return of the S&P/ASX 300 Index before taking into account fees, expenses and tax. |
| Vanguard Ethclly Cons Glb Agg Bd IdxHNZDH | Buy Spread 0.13%, Sell Spread 0.13% | 0.00% | 0.80% | 0.82% | https://www.vanguardinvestments.com.au/ | Vanguard Ethically Conscious Global Aggregate Bond Index Fund (Hedged) – NZD Hedged seeks to track the return of the Bloomberg Barclays MSCI Global Aggregate SRI Exclusions Float Adjusted Hedged into New Zealand dollars Index before taking into account fees, expenses and tax. |
| Vanguard Ethically Cons Intl Shrs Idx | Buy Spread 0.10%, Sell Spread 0.10% | 0.00% | 0.74% | 0.76% | https://www.vanguardinvestments.com.au/ | Vanguard Ethically Conscious International Shares Index ETF seeks to track the return of the FTSE Developed ex Australia ex Non-renewable Energy, Vice Products and Weapons (with net dividends reinvested) Index in Australian dollars before taking into account fees, expenses and tax. |
| Vanguard Ethically Cons Intl ShrsIdxNZDH | Buy Spread 0.10%, Sell Spread 0.10% | 0.00% | 0.74% | 0.76% | https://www.vanguardinvestments.com.au/ | Vanguard Ethically Conscious International Shares Index ETF seeks to track the return of the FTSE Developed ex Australia ex Non-renewable Energy, Vice Products and Weapons (with net dividends reinvested) Index in Australian dollars before taking into account fees, expenses and tax. |
| Vanguard Ethically Conscious International Shares Index Fund - NZD Hedged (W) (Cash Dist) | Buy Spread 0.10%, Sell Spread 0.10% | 0.00% | 0.74% | 0.76% | https://www.vanguardinvestments.com.au/ | Vanguard Ethically Conscious International Shares Index ETF seeks to track the return of the FTSE Developed ex Australia ex Non-renewable Energy, Vice Products and Weapons (with net dividends reinvested) Index in Australian dollars before taking into account fees, expenses and tax. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|-------------------------------------|---|---|---|---|--|
| Vanguard Extended Market ETF | 0.00% | 0.00% | 0.60% | 0.62% | https://investor.vanguard.com/ | Seeks to track the performance of a benchmark index that measures the investment return of stocks from small and midsize companies. |
| Vanguard Financials ETF | 0.00% | 0.00% | 0.64% | 0.66% | https://investor.vanguard.com/ | Seeks to track the performance of a benchmark index that measures the investment return of stocks in the financials sector. |
| Vanguard FTSE 100 UCITS ETF | 0.00% | 0.00% | 0.63% | 0.65% | https://www.vanguardinvestor.co.uk/ | The Fund employs a passive management – or indexing – investment approach, through physical acquisition of securities, and seeks to track the performance of the FTSE 100 Index (the "Index"). |
| Vanguard FTSE 100 UCITS ETF - GBP (VUKE) (Cash Dist) | 0.00% | 0.00% | 0.63% | 0.65% | https://www.vanguardinvestor.co.uk/ | The Fund employs a passive management – or indexing – investment approach, through physical acquisition of securities, and seeks to track the performance of the FTSE 100 Index (the "Index"). |
| Vanguard FTSE 250 ETF - GBP (VMID) (Cash Dist) | 0.00% | 0.00% | 0.64% | 0.66% | https://www.vanguardinvestor.co.uk/ | The Fund seeks to track the performance of the FTSE 250 Index (the "Index"). |
| Vanguard FTSE 250 UCITS ETF | 0.00% | 0.00% | 0.64% | 0.66% | https://www.vanguardinvestor.co.uk/ | The Fund seeks to track the performance of the FTSE 250 Index (the "Index"). |
| Vanguard FTSE Asia Pacific ex Japan UCITS ETF - GBP (VAPX) (Cash Dist) | 0.00% | 0.00% | 0.69% | 0.71% | https://www.vanguardinvestor.co.uk/ | The Fund seeks to track the performance of the index, a widely recognised benchmark of the large- and mid-cap sized companies of the developed markets of the Asia Pacific region, excluding Japan. |
| Vanguard FTSE Developed Markets ETF | 0.00% | 0.00% | 0.59% | 0.61% | https://investor.vanguard.com/investment-products/etfs/profile/vea#performance-fees | Seeks to track the investment performance of the FTSE Developed All Cap ex US Index. Provides a convenient way to match the performance of a diversified group of stocks of large-, mid-, and small-cap companies located in Canada and the major markets of Europe and the Pacific region. Follows a passively managed full-replication approach. |
| Vanguard FTSE Emerging Markets ETF | 0.00% | 0.00% | 0.62% | 0.64% | https://investor.vanguard.com/ | Invests in stocks of companies located in emerging markets around the world, such as China, Brazil, Taiwan, and South Africa. |
| Vanguard FTSE Emerging Markets ETF - USD (VWO) (Cash Dist) | 0.00% | 0.00% | 0.62% | 0.64% | https://investor.vanguard.com/ | Invests in stocks of companies located in emerging markets around the world, such as China, Brazil, Taiwan, and South Africa. |
| Vanguard FTSE Emerging Mkts UCITS ETF USD - GBP (VFEM) (Cash Dist) | Buy Spread 0.26%, Sell Spread 0.26% | 0.00% | 0.76% | 0.78% | https://investor.vanguard.com/home | The Fund employs a passive management – or indexing – investment approach, through physical acquisition of securities, and seeks to track the performance of the FTSE Emerging Index (the "Index"). The Index is comprised of large and mid-sized company stocks in emerging markets. |
| Vanguard FTSE Europe ETF - USD (VGK) (Cash Dist) | 0.00% | 0.00% | 0.63% | 0.65% | https://investor.vanguard.com/ | Seeks to track the performance of the FTSE Developed Europe All Cap Index, which measures the investment return of stocks issued by companies located in the major markets of Europe. |
| Vanguard FTSE European ETF | 0.00% | 0.00% | 0.63% | 0.65% | https://investor.vanguard.com/ | Seeks to track the performance of the FTSE Developed Europe All Cap Index, which measures the investment return of stocks issued by companies located in the major markets of Europe. |
| Vanguard Global Infrastructure Index | Buy Spread 0.06%, Sell Spread 0.06% | 0.00% | 1.01% | 1.03% | https://investor.vanguard.com/home | Vanguard Global Infrastructure Index ETF seeks to track the return of the FTSE Developed Core Infrastructure Index (with net dividends reinvested), in Australian dollars, before taking into account fees, expenses and tax. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|-------------------------------------|---|---|---|---|---|
| Vanguard Global Infrastructure Index Fund - AUD (VBLD) (Cash Dist) | Buy Spread 0.06%, Sell Spread 0.06% | 0.00% | 1.01% | 1.03% | https://investor.vanguard.com/home | Vanguard Global Infrastructure Index ETF seeks to track the return of the FTSE Developed Core Infrastructure Index (with net dividends reinvested), in Australian dollars, before taking into account fees, expenses and tax. |
| Vanguard Health Care ETF | 0.00% | 0.00% | 0.64% | 0.66% | https://investor.vanguard.com/ | Health Care Index Fund seeks to track the performance of a benchmark index that measures the investment return of health care stocks. |
| Vanguard Health Care ETF | 0.00% | 0.00% | 0.64% | 0.66% | https://investor.vanguard.com/ | Health Care Index Fund seeks to track the performance of a benchmark index that measures the investment return of health care stocks. |
| Vanguard Health Care ETF - USD (VHT) (Cash Dist) | 0.00% | 0.00% | 0.64% | 0.66% | https://investor.vanguard.com/ | Health Care Index Fund seeks to track the performance of a benchmark index that measures the investment return of health care stocks. |
| Vanguard International Credit Securities Index (Hedged) ETF - AUD (VCF) (Cash Dist) | 0.00% | 0.00% | 0.84% | 0.86% | https://investor.vanguard.com/home | Vanguard International Credit Securities Index (Hedged) ETF seeks to track the return of the Bloomberg Global Aggregate Corporate and Government-Related Scaled Index hedged into Australian dollars before taking into account fees, expenses and tax. |
| Vanguard International Property Secs Idx | Buy Spread 0.08%, Sell Spread 0.08% | 0.00% | 0.94% | 0.96% | https://investor.vanguard.com/ | Vanguard International Property Securities Index Fund seeks to track the return of the FTSE EPRA/NAREIT developed ex Australia rental index (with net dividends reinvested) in Australian dollars before taking into account fees, expenses and tax. |
| Vanguard International Shares Index | Buy Spread 0.06%, Sell Spread 0.06% | 0.00% | 0.72% | 0.74% | https://www.vanguardinvestments.com.au/ | Vanguard International Shares Index Fund seeks to track the return of the MSCI World ex-Australia Index (with net dividends reinvested) in Australian dollars before taking into account fees, expenses and tax. |
| Vanguard International Shares Select Exclusions Index Fund - NZD Hedged (W) (Cash Dist) | Buy Spread 0.08%, Sell Spread 0.08% | 0.00% | 0.80% | 0.82% | https://www.vanguardinvestments.com.au/ | Vanguard International Shares Select Exclusions Index Fund - NZD Hedged seeks to track the return of the MSCI World ex Australia, ex Tobacco, ex Controversial Weapons, ex Nuclear Weapons (with net dividends reinvested) hedged into New Zealand dollars Index before taking into account fees, expenses and tax. |
| Vanguard International Shares Select Exclusions Index Fund (W) (Cash Dist) | Buy Spread 0.07%, Sell Spread 0.07% | 0.00% | 0.74% | 0.76% | https://www.vanguardinvestments.com.au/ | Vanguard International Shares Select Exclusions Index Fund - NZD Hedged seeks to track the return of the MSCI World ex Australia, ex Tobacco, ex Controversial Weapons, ex Nuclear Weapons (with net dividends reinvested) hedged into New Zealand dollars Index before taking into account fees, expenses and tax. |
| Vanguard Intl Shs Sel Exclusions IdxNZDH | Buy Spread 0.08%, Sell Spread 0.08% | 0.00% | 0.80% | 0.82% | https://www.vanguardinvestments.com.au/ | Vanguard International Shares Select Exclusions Index Fund - NZD Hedged seeks to track the return of the MSCI World ex Australia, ex Tobacco, ex Controversial Weapons, ex Nuclear Weapons (with net dividends reinvested) hedged into New Zealand dollars Index before taking into account fees, expenses and tax. |
| Vanguard MSCI Australian Large Companies ETF | 0.00% | 0.00% | 0.74% | 0.76% | https://www.vanguardinvestments.com.au/ | The ETF provides low-cost, diversified exposure to the largest companies and property trusts listed on the Australian Securities Exchange. It offers potential long-term capital growth along with dividend income and franking credits. |
| Vanguard MSCI Australian Large Companies Index ETF -AUD (VLC) (Cash Dist) | 0.00% | 0.00% | 0.74% | 0.76% | https://www.vanguardinvestments.com.au/ | The ETF provides low-cost, diversified exposure to the largest companies and property trusts listed on the Australian Securities Exchange. It offers potential long-term capital growth along with dividend income and franking credits. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|--|
| Vanguard MSCI Australian Small Companies ETF - AUD (VSO) (Cash Dist) | 0.00% | 0.00% | 0.84% | 0.86% | https://www.vanguardinvestments.com.au/ | The ETF provides low-cost, broadly diversified exposure to small companies listed on the Australian Securities Exchange. The sectors in which the ETF invests include industrials, materials and consumer discretionary. The ETF offers potential long-term capital growth, which can be typical of the small company market sector. |
| Vanguard MSCI Australian Small Companies Index ETF | 0.00% | 0.00% | 0.84% | 0.86% | https://www.vanguardinvestments.com.au/ | The ETF provides low-cost, broadly diversified exposure to small companies listed on the Australian Securities Exchange. The sectors in which the ETF invests include industrials, materials and consumer discretionary. The ETF offers potential long-term capital growth, which can be typical of the small company market sector. |
| Vanguard MSCI Index International Shares ETF | 0.00% | 0.00% | 0.72% | 0.74% | https://www.vanguard.com.au | Vanguard MSCI Index International Shares ETF seeks to track the return of the MSCI World ex-Australia (with net dividends reinvested), in Australian dollars Index, before taking into account fees, expenses and tax. |
| Vanguard MSCI Index International Shares ETF - AUD (VGS) (Cash Dist) | 0.00% | 0.00% | 0.72% | 0.74% | https://www.vanguard.com.au | Vanguard MSCI Index International Shares ETF seeks to track the return of the MSCI World ex-Australia (with net dividends reinvested), in Australian dollars Index, before taking into account fees, expenses and tax. |
| Vanguard MSCI International Small Companies Index ETF | 0.00% | 0.00% | 0.87% | 0.89% | https://www.vanguardinvestments.com.au/ | The ETF provides low-cost, broadly diversified exposure to small companies listed on the Australian Securities Exchange. The sectors in which the ETF invests include industrials, materials and consumer discretionary. The ETF offers potential long-term capital growth, which can be typical of the small company market sector. |
| Vanguard REIT - ETF | 0.00% | 0.00% | 0.66% | 0.68% | https://investor.vanguard.com/ | Invests in stocks issued by real estate investment trusts (REITs), companies that purchase office buildings, hotels, and other real property. |
| Vanguard REIT Index ETF - USD (VNQ) (Cash Dist) | 0.00% | 0.00% | 0.66% | 0.68% | https://investor.vanguard.com/ | Invests in stocks issued by real estate investment trusts (REITs), companies that purchase office buildings, hotels, and other real property. |
| Vanguard S&P 500 EFT - GBP (VUSA) (Cash Dist) | 0.00% | 0.00% | 0.57% | 0.59% | https://www.vanguardinvestor.co.uk/ | The Fund seeks to track the performance of the index, a widely recognised benchmark of U.S. stock market performance that is comprised of the stocks of large U.S. companies. |
| Vanguard S&P 500 ETF | 0.00% | 0.00% | 0.57% | 0.59% | https://investor.vanguard.com/ | Invests in stocks in the S&P 500 Index, representing 500 of the largest U.S. companies. |
| Vanguard S&P 500 ETF | 0.00% | 0.00% | 0.57% | 0.59% | https://investor.vanguard.com/ | Invests in stocks in the S&P 500 Index, representing 500 of the largest U.S. companies. |
| Vanguard S&P 500 ETF - USD (VOO) (Cash Dist) | 0.00% | 0.00% | 0.57% | 0.59% | https://investor.vanguard.com/ | Invests in stocks in the S&P 500 Index, representing 500 of the largest U.S. companies. |
| Vanguard S&P 500 UCITS ETF | 0.00% | 0.00% | 0.57% | 0.59% | https://investor.vanguard.com/ | Invests in stocks in the S&P 500 Index, representing 500 of the largest U.S. companies. |
| Vanguard Small-Cap ETF - USD (VB) (Cash Dist) | 0.00% | 0.00% | 0.59% | 0.61% | https://investor.vanguard.com/ | Seeks to track the performance of the CRSP US Small Cap Index, which measures the investment return of small-capitalization stocks. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|------------------------|---|---|---|---|---|
| Vanguard Total World Stock Index ETF - USD (VT) (Cash Dist) | 0.00% | 0.00% | 0.61% | 0.63% | https://investor.vanguard.com/ | Seeks to track the performance of the CRSP US Total Market Index. |
| Vanguard Total World Stock Index Fund | 0.00% | 0.00% | 0.61% | 0.63% | https://investor.vanguard.com/ | Seeks to track the performance of the FTSE Global All Cap Index, which covers both well-established and still-developing markets. |
| Vanguard U.K Gilt UCITS ETF | 0.00% | 0.00% | 0.61% | 0.63% | https://www.vanguardinvestor.co.uk/ | The Fund employs a passive management – or indexing – investment approach, through physical acquisition of securities, and seeks to track the performance of the Bloomberg Barclays Sterling Gilt Float Adjusted Index (the "Index"). |
| Vanguard UK Government Bond UCITS ETF - GBP (VGOV) (Cash Dist) | 0.00% | 0.00% | 0.61% | 0.63% | https://investor.vanguard.com/ | The Fund seeks to provide returns consistent with the performance of the Bloomberg Barclays Sterling Gilt Float Adjusted Index (the "Index"). |
| Vanguard Value ETF | 0.00% | 0.00% | 0.58% | 0.60% | https://www.vanguard.com/ | Vanguard Value ETF (the Fund) is an exchange-traded share class of Vanguard Value Index Fund, which employs a passive management or indexing investment approach designed to track the performance of the MSCI US Prime Market Value Index (the Index). |
| Vector Limited | 0.00% | 0.00% | 0.54% | 0.56% | https://www.vector.co.nz/investors/bonds | Vector is leading the transformation of the energy sector to create a new energy future; identifying and developing options that will provide value, choice and service for our customers throughout New Zealand and deliver sustainable shareholder returns. |
| Vector Limited Bonds - 3.45% - Mat 27/05/2025 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.vector.co.nz/investors/bonds | Vector is leading the transformation of the energy sector to create a new energy future; identifying and developing options that will provide value, choice and service for our customers throughout New Zealand and deliver sustainable shareholder returns. |
| Vector Limited Bonds - 3.69% - Mat 26/11/2027 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.vector.co.nz/investors/bonds | Vector is leading the transformation of the energy sector to create a new energy future; identifying and developing options that will provide value, choice and service for our customers throughout New Zealand and deliver sustainable shareholder returns. |
| Vector Limited Capital Bonds - 6.23% - Next Election 15/06/2027 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.vector.co.nz/investors/bonds | Vector is leading the transformation of the energy sector to create a new energy future; identifying and developing options that will provide value, choice and service for our customers throughout New Zealand and deliver sustainable shareholder returns. |
| Vector Limited Ordinary Shares - NZD (VCT) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.vector.co.nz/investors/bonds | Vector is leading the transformation of the energy sector to create a new energy future; identifying and developing options that will provide value, choice and service for our customers throughout New Zealand and deliver sustainable shareholder returns. |
| Vector Limited Unsecured Bond @ 3.69% Mat 26/11/2027 - NZD (VCT100) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.vector.co.nz/investors/bonds | Vector is leading the transformation of the energy sector to create a new energy future; identifying and developing options that will provide value, choice and service for our customers throughout New Zealand and deliver sustainable shareholder returns. |
| Ventia Services Group - AUD (VNT) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.ventia.co.nz/ | Ventia Services Group Limited (ASX:VNT) is one of the largest infrastructure service providers in Australia and New Zealand. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|---|--|---|---|---|---|--|
| Veralto Corp | 0.00% | 0.00% | 0.54% | 0.56% | https://www.veralto.com/ | Veralto is a new company with long-standing global leadership in essential technology solutions. Our operating companies share a powerful vision for a better tomorrow. |
| Verizon Communications Inc | 0.00% | 0.00% | 0.54% | 0.56% | https://www.verizon.com/ | Verizon Communications Inc. is an American multinational telecommunications conglomerate and a corporate component of the Dow Jones Industrial Average. |
| Vestas Wind Systems A/S | 0.00% | 0.00% | 0.54% | 0.56% | https://www.vestas.com/en | With a vision to become the global leader in sustainable energy solutions, everything we do revolves around the development and deployment of sustainable energy solutions. |
| Vinva Global Systematic Equities Fund | Buy spread 0.35%, Sell spread 0.35% | 0.00% | 1.18% | 1.20% | https://www.specialisedprivatecapital.com.au/ | Global Systematic Equities Fund's ("GSEF" or "the Fund") Aim to provide investors with a total investment return (after fees) that outperforms the MSCI World ex Australia (unhedged with net dividends reinvested in Australian dollars) over periods of seven years or longer. |
| Visa Inc | 0.00% | 0.00% | 0.54% | 0.56% | https://usa.visa.com/ | We are a global payments technology company working to enable consumers, businesses, banks and governments to use digital currency. |
| Visa INC. | 0.00% | 0.00% | 0.54% | 0.56% | https://usa.visa.com/ | We are a global payments technology company working to enable consumers, businesses, banks and governments to use digital currency. |
| Visa Incorporated - USD (V) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://usa.visa.com/ | We are a global payments technology company working to enable consumers, businesses, banks and governments to use digital currency. |
| Vista Group International Limited | 0.00% | 0.00% | 0.54% | 0.56% | http://www.vistagroup.co.nz/ | Vista Group is a world leading New Zealand company comprising nine businesses that provide software, digital or online solutions to the global film industry. Building on the leading position of Vista Entertainment Solutions in the cinema exhibition sector, Vista Group is expanding to offer solutions to other sectors of the industry. |
| Vista Group Ltd | 0.00% | 0.00% | 0.54% | 0.56% | http://www.vistagroup.co.nz/ | Vista Group is a world leading New Zealand company comprising nine businesses that provide software, digital or online solutions to the global film industry. Building on the leading position of Vista Entertainment Solutions in the cinema exhibition sector, Vista Group is expanding to offer solutions to other sectors of the industry. |
| Vital Healthcare Property Trust | 0.00% | 0.00% | 0.54% | 0.56% | http://www.vitalhealthcareproperty.co.nz/ | Vital Healthcare Property Trust is a property trust that invests in and manages healthcare facilities in New Zealand and Australia. |
| Vital Healthcare Property Trust Units - NZD (VHP) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.vitalhealthcareproperty.co.nz/ | Vital Healthcare Property Trust is a property trust that invests in and manages healthcare facilities in New Zealand and Australia. |
| Vodafone Group Plc - GBP (VOD) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | http://www.vodafone.com/ | We are one of the world's largest telecommunications companies providing a wide range of services including voice, messaging and data across mobile and fixed networks. |
| Vodafone Group Plc. | 0.00% | 0.00% | 0.54% | 0.56% | http://www.vodafone.com/ | We are one of the world's largest telecommunications companies providing a wide range of services including voice, messaging and data across mobile and fixed networks. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| Volution Group PLC - GBP (FAN) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.volutiongroupplc.com/ | Our purpose is to provide healthy indoor air, sustainably. This commitment is integral to everything we do. It shapes our values, steers our strategy and informs our capital allocation. We are closely aligned with environmental, health, regulatory and consumer developments that are reshaping the world's expectation of how we live life indoors. |
| Vulcan Steel Limited - AUD (VSL) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://vulcan.co/ | Since Vulcan was founded in 1995, we have become Australasia's highest performing metals distributor and processor. Our sustained growth is the product of superior service and dedication to continuously improving every facet of our business. |
| Walmart Inc - USD (WMT) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.walmart.com/ | Walmart Inc. operates discount stores, supercenters, and neighborhood markets. The Company offers merchandise such as apparel, house wares, small appliances, electronics, musical instruments, books, home improvement, shoes, jewelry, toddler, games, household essentials, pets, pharmaceutical products, party supplies, and automotive tools. Walmart serves customers worldwide. |
| Walt Disney Co | 0.00% | 0.00% | 0.54% | 0.56% | https://thewaltdisneycompany.com/ | The Walt Disney Company, commonly known as Disney, is an American multinational mass media and entertainment conglomerate headquartered at the Walt Disney Studios complex in Burbank, California. |
| Warner Bros Discovery Inc | 0.00% | 0.00% | 0.54% | 0.56% | https://wbd.com/ | Warner Bros. Discovery, Inc. (WBD) is an American multinational mass media and entertainment conglomerate. |
| WaveStone Australian Share I | 0.00% | 0.00% | 1.51% | 1.53% | https://www.wavestonecapital.com.au/ | The WaveStone Australian Share Fund is an actively selected portfolio of Australian shares managed with the aim of outperforming the S&P/ASX 300 Accumulation Index over rolling three-year periods. |
| Wellington International Airport Limited Bonds - 2.50% - Mat 14/08/2026 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.wellingtonairport.co.nz/ | Wellington Airport is a vitally important piece of national infrastructure providing a significant ongoing contribution to the region's economy as well as delivering a return to the city and shareholders on the funds invested. Of New Zealand's major airports, Wellington Airport is the most cost efficient. It provides a highly enjoyable experience for travellers and its close proximity to the CBD saves travellers time and money. |
| Wellington International Airport Limited Bonds - 4.00% - Mat 01/04/2030 - Reset 01/04/2025 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.wellingtonairport.co.nz/ | Wellington Airport is a vitally important piece of national infrastructure providing a significant ongoing contribution to the region's economy as well as delivering a return to the city and shareholders on the funds invested. Of New Zealand's major airports, Wellington Airport is the most cost efficient. It provides a highly enjoyable experience for travellers and its close proximity to the CBD saves travellers time and money. |
| Wellington International Airport Ltd @ 2.50% Mat 14/08/2026 - NZD(WIA070) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.wellingtonairport.co.nz/ | Wellington Airport is a vitally important piece of national infrastructure providing a significant ongoing contribution to the region's economy as well as delivering a return to the city and shareholders on the funds invested. Of New Zealand's major airports, Wellington Airport is the most cost efficient. It provides a highly enjoyable experience for travellers and its close proximity to the CBD saves travellers time and money. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| Wellington International Airport Ltd @ 3.32% Mat 24/09/2031 - NZD (WIA080) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.wellingtonairport.co.nz/ | Wellington Airport is a vitally important piece of national infrastructure providing a significant ongoing contribution to the region's economy as well as delivering a return to the city and shareholders on the funds invested. Of New Zealand's major airports, Wellington Airport is the most cost efficient. It provides a highly enjoyable experience for travellers and its close proximity to the CBD saves travellers time and money. |
| Wellington International Airport Ltd @ 5% Mat 16/06/2025 - NZD (WIA050) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.wellingtonairport.co.nz/ | Wellington Airport is a vitally important piece of national infrastructure providing a significant ongoing contribution to the region's economy as well as delivering a return to the city and shareholders on the funds invested. Of New Zealand's major airports, Wellington Airport is the most cost efficient. It provides a highly enjoyable experience for travellers and its close proximity to the CBD saves travellers time and money. |
| Wellington International Airport Ltd @ 5.78% Mat 24/08/2028 - NZD (WIA090) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.wellingtonairport.co.nz/ | Wellington Airport is a vitally important piece of national infrastructure providing a significant ongoing contribution to the region's economy as well as delivering a return to the city and shareholders on the funds invested. Of New Zealand's major airports, Wellington Airport is the most cost efficient. It provides a highly enjoyable experience for travellers and its close proximity to the CBD saves travellers time and money. |
| Wellington Intl Airport 3.32% 24/09/2026 (R) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.wellingtonairport.co.nz/ | Wellington Airport is a vitally important piece of national infrastructure providing a significant ongoing contribution to the region's economy as well as delivering a return to the city and shareholders on the funds invested. Of New Zealand's major airports, Wellington Airport is the most cost efficient. It provides a highly enjoyable experience for travellers and its close proximity to the CBD saves travellers time and money. |
| Wells Fargo & Co | 0.00% | 0.00% | 0.54% | 0.56% | https://www.wellsfargo.com/ | Wells Fargo & Company is a diversified financial services company providing banking, insurance, investments, mortgage, leasing, credit cards, and consumer finance. The Company operates through physical stores, the internet, and other distribution channels worldwide. |
| Wells Fargo & Company - USD (WFC) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.wellsfargo.com/ | Wells Fargo & Company is a diversified financial services company providing banking, insurance, investments, mortgage, leasing, credit cards, and consumer finance. The Company operates through physical stores, the internet, and other distribution channels worldwide. |
| Wesfarmers Limited - AUD (WES) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.wesfarmers.com.au/ | Wesfarmers Limited is an Australian conglomerate, headquartered in Perth, Western Australia. It has interests predominantly in Australian and New Zealand, operating in retail, chemical, fertiliser, industrial and safety products. |
| Westpac 3.696% 16/02/2027 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.westpac.co.nz/ | Westpac has 5 customer facing divisions serving around 13 million customers. The operating structure aligns Westpac's business with key customer segments. Under this structure, the Group retains a unique portfolio of brands including Westpac, St.George, Bank of Melbourne, BankSA and RAMS. These brands operate under the Consumer Bank and Business Bank divisions. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| Westpac Banking Corporation | 0.00% | 0.00% | 0.54% | 0.56% | https://www.westpac.co.nz/ | Westpac has 5 customer facing divisions serving around 13 million customers. The operating structure aligns Westpac's business with key customer segments. Under this structure, the Group retains a unique portfolio of brands including Westpac, St.George, Bank of Melbourne, BankSA and RAMS. These brands operate under the Consumer Bank and Business Bank divisions. |
| Westpac New Zealand Limited 6.73% 14/02/2029 (R) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.westpac.co.nz/ | Westpac has 5 customer facing divisions serving around 13 million customers. The operating structure aligns Westpac's business with key customer segments. Under this structure, the Group retains a unique portfolio of brands including Westpac, St.George, Bank of Melbourne, BankSA and RAMS. These brands operate under the Consumer Bank and Business Bank divisions. |
| Westpac NZ Limited - 6.19% - Mat 16/09/32 - Callable 16/09/2027 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.westpac.co.nz/ | Westpac has 5 customer facing divisions serving around 13 million customers. The operating structure aligns Westpac's business with key customer segments. Under this structure, the Group retains a unique portfolio of brands including Westpac, St.George, Bank of Melbourne, BankSA and RAMS. These brands operate under the Consumer Bank and Business Bank divisions. |
| Westpac NZ Limited - 6.73% - Mat 14/02/34 - Callable 14/02/29 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.westpac.co.nz/ | Westpac has 5 customer facing divisions serving around 13 million customers. The operating structure aligns Westpac's business with key customer segments. Under this structure, the Group retains a unique portfolio of brands including Westpac, St.George, Bank of Melbourne, BankSA and RAMS. These brands operate under the Consumer Bank and Business Bank divisions. |
| Westpac NZ Limited @ 6.19% Mat 16/09/2032 - (WNZ1T2) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.westpac.co.nz/ | Westpac has 5 customer facing divisions serving around 13 million customers. The operating structure aligns Westpac's business with key customer segments. Under this structure, the Group retains a unique portfolio of brands including Westpac, St.George, Bank of Melbourne, BankSA and RAMS. These brands operate under the Consumer Bank and Business Bank divisions. |
| Westpac NZ Limited @ 6.73% Mat 14/02/2034 - NZD (WNZ2T2) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.westpac.co.nz/ | Westpac has 5 customer facing divisions serving around 13 million customers. The operating structure aligns Westpac's business with key customer segments. Under this structure, the Group retains a unique portfolio of brands including Westpac, St.George, Bank of Melbourne, BankSA and RAMS. These brands operate under the Consumer Bank and Business Bank divisions. |
| Westpac NZ Limited @6.4055 % Mat 06/07/2026 - (WNZ-0726) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.westpac.co.nz/ | Westpac has 5 customer facing divisions serving around 13 million customers. The operating structure aligns Westpac's business with key customer segments. Under this structure, the Group retains a unique portfolio of brands including Westpac, St.George, Bank of Melbourne, BankSA and RAMS. These brands operate under the Consumer Bank and Business Bank divisions. |
| Winton Land Limited - NZD (WIN) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.winton.nz/ | Our vision is to set the standard as a world-class property group that creates thoughtful master-planned neighbourhoods that are best by design. |
| WisdomTree Bloomberg US Dollar Bullish Fund - USD (USDU) (Cash Dist) | 0.00% | 0.00% | 1.04% | 1.06% | https://www.wisdomtree.com/ | The WisdomTree Bloomberg U.S. Dollar Bullish Fund seeks to provide total returns, before fees and expenses, that exceed the performance of the Bloomberg Dollar Total Return Index. |
| Wise PLC | 0.00% | 0.00% | 0.54% | 0.56% | https://wise.com/ | We're at scale, growing fast and profitable. In bringing transparency and fairness into how we price our products, we've found a common ground of creating massive value for our customers and for our shareholders. |

| Asset Name | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV up to 31 March 2024 | Total Annual Estimated Fund Charges as % of NAV from 1 April 2024 | Link to publicly available information about investment | Description of Investment by Investment Manager |
|--|------------------------|---|---|---|---|---|
| Woodside Energy Group Ltd - AUD (WDS) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.woodside.com.au/ | Woodside Energy Group Ltd (formerly Woodside Petroleum Ltd) is an Australian petroleum exploration and production company. Woodside is the operator of oil and gas production in Australia and also Australia's largest independent dedicated oil and gas company. |
| Works Infrastructure Finance Perp 9.81% 16/06/2024 | 0.00% | 0.00% | 0.54% | 0.56% | https://www.downergroup.co.nz/works-finance-nz-limited | Works Finance (NZ) Limited operates as a special purpose entity. The Company was formed for the purpose of issuing debt securities to repay existing credit facilities, refinance indebtedness, and for acquisition purposes. |
| Worldwide Healthcare Trust | 0.00% | 0.00% | 1.56% | 1.58% | https://www.worldwidewh.com/ | In order to achieve a high level of capital growth, the Company invests in the global healthcare sector and in a diversified portfolio of shares in pharmaceutical and biotechnology companies and related securities in the healthcare sector. |
| Worldwide Healthcare Trust PLC - GBP (WWH) (Cash Dist) | 0.00% | 0.00% | 1.56% | 1.58% | https://www.worldwidewh.com/ | In order to achieve a high level of capital growth, the Company invests in the global healthcare sector and in a diversified portfolio of shares in pharmaceutical and biotechnology companies and related securities in the healthcare sector. |
| Worldwide Healthcare Trust Plc. | 0.00% | 0.00% | 1.56% | 1.58% | https://www.worldwidewh.com/ | In order to achieve a high level of capital growth, the Company invests in the global healthcare sector and in a diversified portfolio of shares in pharmaceutical and biotechnology companies and related securities in the healthcare sector. |
| Xero Limited - AUD (XRO) (Cash Dist) | 0.00% | 0.00% | 0.54% | 0.56% | https://www.xero.com | Founded in 2006 in New Zealand, Xero is one of the fastest growing Software as a Service companies globally. We lead the New Zealand, Australian, and United Kingdom cloud accounting markets, employing a world-class team of more than 1,400 people in 20 offices across the planet. Forbes identified Xero as the World's Most Innovative Growth Company in 2014 and 2015. |
| Xero Ltd | 0.00% | 0.00% | 0.54% | 0.56% | https://www.xero.com | Founded in 2006 in New Zealand, Xero is one of the fastest growing Software as a Service companies globally. We lead the New Zealand, Australian, and United Kingdom cloud accounting markets, employing a world-class team of more than 1,400 people in 20 offices across the planet. Forbes identified Xero as the World's Most Innovative Growth Company in 2014 and 2015. |
| Zoetis Inc. | 0.00% | 0.00% | 0.54% | 0.56% | https://investor.zoetis.com/home/default.aspx | As the world's leading animal health company, Zoetis exists to nurture our world and humankind by advancing care for animals. With 70 years of experience innovating a leading portfolio and pipeline of medicines, vaccines, diagnostics, and technologies, we stand by those caring for animals by providing solutions worldwide. |

i-Select Limited (i-Select) is the issuer of membership of the i-Select Superannuation Scheme. None of i-Select, Public Trust, or any director, board member or nominee of any of those entities, or any other person guarantees the Scheme's performance, returns or repayment of capital.

A copy of the Scheme's Product Disclosure Statement is available at

www.i-select.co.nz

To find out more about the i-Select Superannuation Scheme, talk to your adviser, visit our website or call us on 03 308 0144.

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The Scheme Product Disclosure Statement is available from your adviser, on request and free of charge.

