CAPITAL
INTERNATIONAL GROUP

Asset Transfer

Application Form

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Asset Transfer

Application Form

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This form is for applicants who wish to transfer existing assets into their Capital International Limited (CIL) portfolio.

Please Note: This form has been optimised for digital use to avoid as much paper waste as possible. Please download and save this file locally on your device that you are using and open with Adobe Acrobat.

You do not need a paid account, but you may need to configure your digital ID for signing (please follow the 'on screen' steps when using Adobe Acrobat for full details on how to do this).

How does an Asset Transfer Work?

Your existing assets can be transferred into your account directly, subject to them being acceptable to us. On receipt of all necessary documentation, we will transfer each asset as required. **You should note that the process can take a substantial length of time, in some cases several months or longer to complete.** Whilst we will initiate your request upon receipt of this instruction, we are reliant on third parties to complete the request. We can only transfer assets where this form and your application (where applicable) are correctly completed.

Completing this Form

By completing this form, you will be providing authority to CIL to arrange the transfer of your assets listed on page 2 of this form by you. Please complete all fields, as missing information will cause delays when processing your application.

The form must be signed by all of the registered owner(s) of the assets to be transferred.

A form is required for each transfer where assets are held with numerous investment managers, platforms or providers.

Important Notes

Whilst we endeavour to use all best efforts to undertake a transfer on your behalf, the period of time it takes to complete is outside of our control. We accept no liability for any consequences that may arise from a delay.

When You have Completed this Form

Please make sure that you provide a recent statement of holdings with this form.

Wherever possible these should not be printouts of online statements as they rarely provide vital contact information. We cannot proceed without these.

Once complete please return this form with your New Business application.

If you are an existing client, please send this form to the relevant client services team: clientservices@capital-iom.com

clientsupport@capital-sa.com

1. Current Custodian

Account Name:

Account Number:

Contact Name:

Contact Number:

Authorised Signatory:

Authorised Signatory:

2. Please transfer the below from the Current Custodian to CIL

Assets are to be transferred at: Please Select

Full Asset Transfer (all assets in specie): ☐ YES ☐ NO

Full Cash Balance: ☐ YES ☐ NO

Partial Asset Transfer: ☐ YES* ☐ NO

*If you are making a **Partial Transfer,** please fill in Section 3 & Section 4

If it is a **Full Transfer**, please go straight to Section 4

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3. Schedule of Assets for Partial Transfer

If a partial asset transfer, please list SEDOLs / ISINs and the unit amount (we do not accept monetary amounts or percentages), in the table below:

	Full description of the investment as shown on the document of title, including any ISIN or SEDOL code	Number of units or shares to be transferred (if all, please state ALL)
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		

4. Declaration

By signing this declaration, I/we give our express consent to CIL to arrange the transfer of the assets listed above into the name of Capital International (Nominees) Limited. CIL can only accept original written instructions that have been signed by all of the owners of the investments to be transferred.

First Owner Signature:

Name:

Signature:

Date Signed: (dd/mm/yyyy)

Second Owner Signature:

Name:

Signature:

Date Signed: (dd/mm/yyyy)

Regulated investment activities are carried out on behalf of Capital International Group by its licensed member companies.
Capital International Limited and Capital Financial Markets Limited are licensed by the Isle of Man Financial Services Authority.
Capital International Limited is a member of the London Stock Exchange. CILSA Investments (PTY) Ltd (FSP No. 44894) and CILSA Solutions (PTY) Ltd (FSP No. 6650), t/a Capital International SA are licenced by the Financial Sector Conduct Authority in South Africa.
All subsidiary companies across both jurisdictions are represented under the Capital International Group brand.

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