



DUE DILIGENCE FORM

Individual Self-Certification

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Please complete all fields as missing information will cause delays when processing your form.

Instructions

Capital International Group (and subsidiaries) are obliged under the Isle of Man Income Tax Act 1970, Regulations, Guidance Notes made pursuant to that Law and Treaties and Intergovernmental Agreements entered into by the Isle of Man in relation to the automatic exchange of information for tax matters (collectively 'AEOI'), to collect certain information about each account holder's tax status.

Please complete all sections below and provide any additional information that is requested. Please note that we may be obliged to share this information with relevant tax authorities. Terms referenced in this form shall have the same meaning as applicable under the relevant Isle of Man Regulations, Guidance Notes or Agreements.

If any of the information below regarding your tax residence or AEOI classification changes in the future, please ensure you advise us of these changes promptly.

If we do not receive a completed self-certification form from you, we will be obliged to share information about your account(s) with our local tax authority even if we would not have been so obliged had you completed the self-certification form.

Please note joint account holders are each required to complete a **separate Self-Certification form**.

1 Account Details

Account Name
Capital Account Reference Note: If you do not have or know your Capital Account Reference then please leave the field blank.

2 Account Holder Identification

Title
Surname
Forename(s)
Other/Former Name(s)

Applicants must complete the following details with their permanent residential address. 'Care Of' & PO Box addresses are not acceptable.

Residential Address Mailing Address
(If different from across)
Postcode Postcode
Contact Number H / W / M
E-mail Address
Date of Birth
Town/City of Birth
Country of Birth

3 Declaration of US Citizenship or US Residence for Tax Purposes

Please tick either (a) or (b) or (c) and complete as appropriate.

(a) I can confirm that I am a US citizen and/or resident in the US for tax purposes (green card holder or resident under the substantial presence test) and my US federal taxpayer identification number (US TIN) is as follows:

US TIN

(b) I confirm that I was born in the US (or a US territory) but I am no longer a US citizen as I have voluntarily surrendered my citizenship as evidenced by the attached documents.

(c) I confirm that **I am not** a US citizen or resident in the US for tax purposes.

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4 Declaration of Tax Residency (other than US)

I hereby confirm that I am, for tax purposes, resident in the following country(ies) and the appropriate tax identification number(s) and/or National Insurance number (for UK purposes) is:

Country/Countries of Tax Residence	Tax Identification/National Insurance Number
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

If a Tax Identification Number (TIN) is not available please provide a functional equivalent (such as your social security, national insurance, citizen, personal identification or a resident registration number). If no TIN or functional equivalent is available for any of the jurisdictions listed please advise the reason why (such as the jurisdiction does not issue such numbers) below:

Further information on the issuance rules for TINs and their format can be found on the OECD's Automatic Exchange Portal at www.bit.ly/OECD-Portal

5 Declaration **You must sign and date the form below**

I understand that the information I provide on this form, and any additional information supplied, will be processed in accordance with Capital International Group's data protection statement.

I declare that:

- I am 18 years of age or over;
- I agree that this Due Diligence Form forms part of our agreement with you;
- I agree that the information contained within this form is true and accurate;
- I agree to notify Capital International Group of any changes to the information provided on this form;
- I undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be inaccurate or incomplete;
- Where I am legally obliged to do so, I hereby consent to the recipient sharing this information with the relevant tax information authorities.

Signature of Account Holder

Account Holder's Signature

Print Name

Date

Capital International Group

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